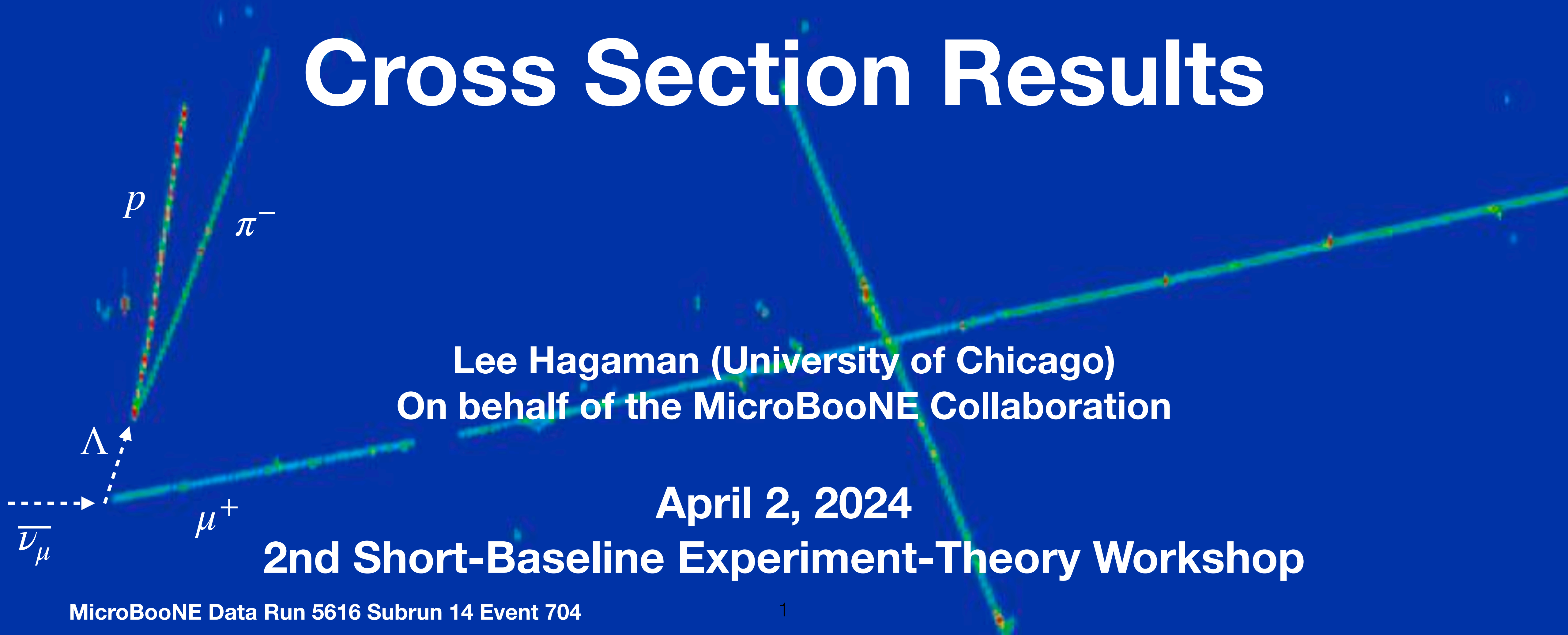


MicroBooNE's Recent Cross Section Results

Lee Hagaman (University of Chicago)
On behalf of the MicroBooNE Collaboration

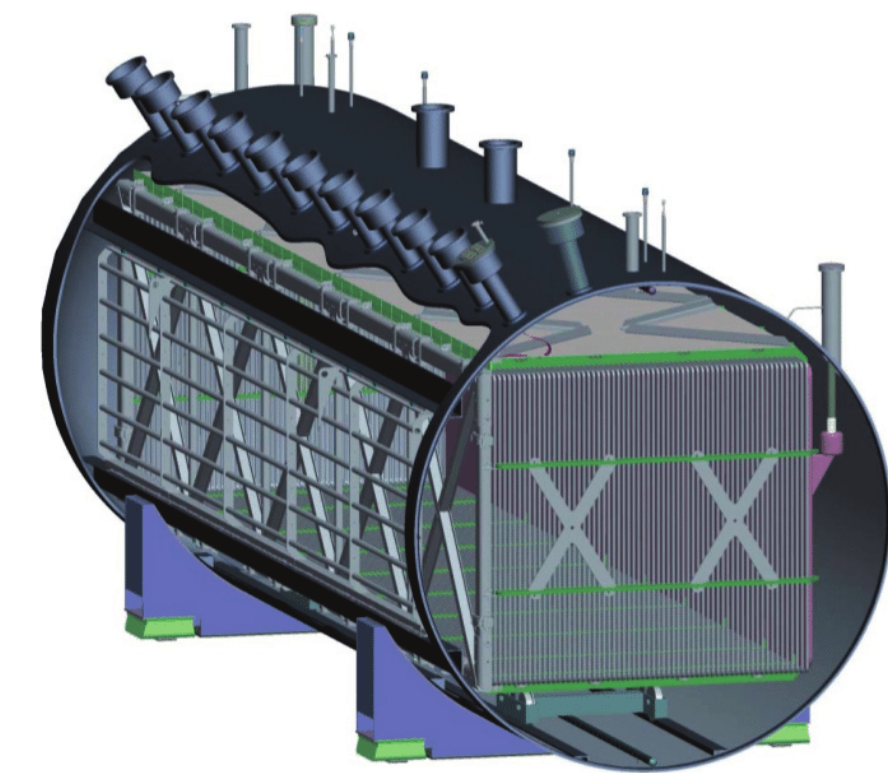
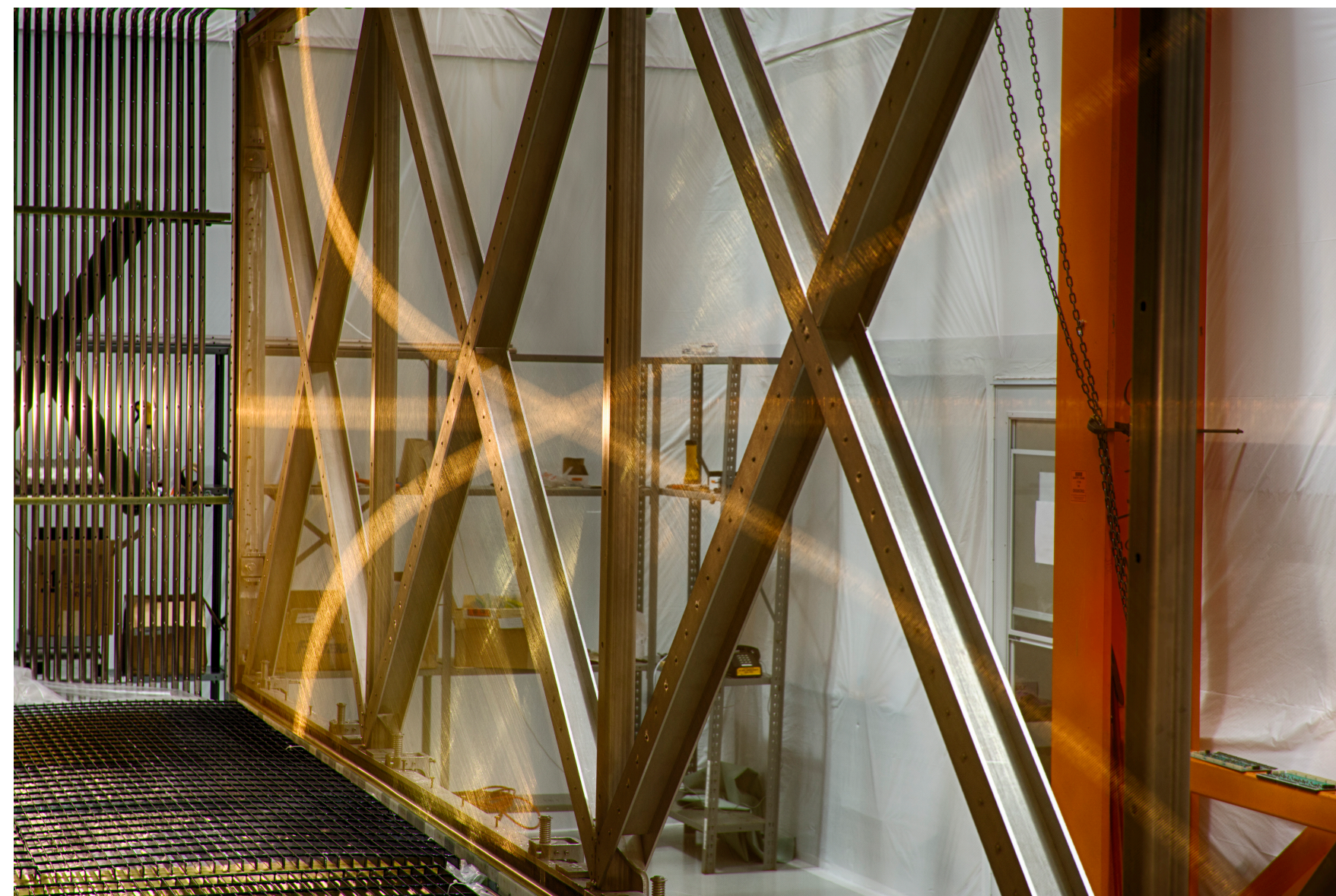
April 2, 2024

2nd Short-Baseline Experiment-Theory Workshop

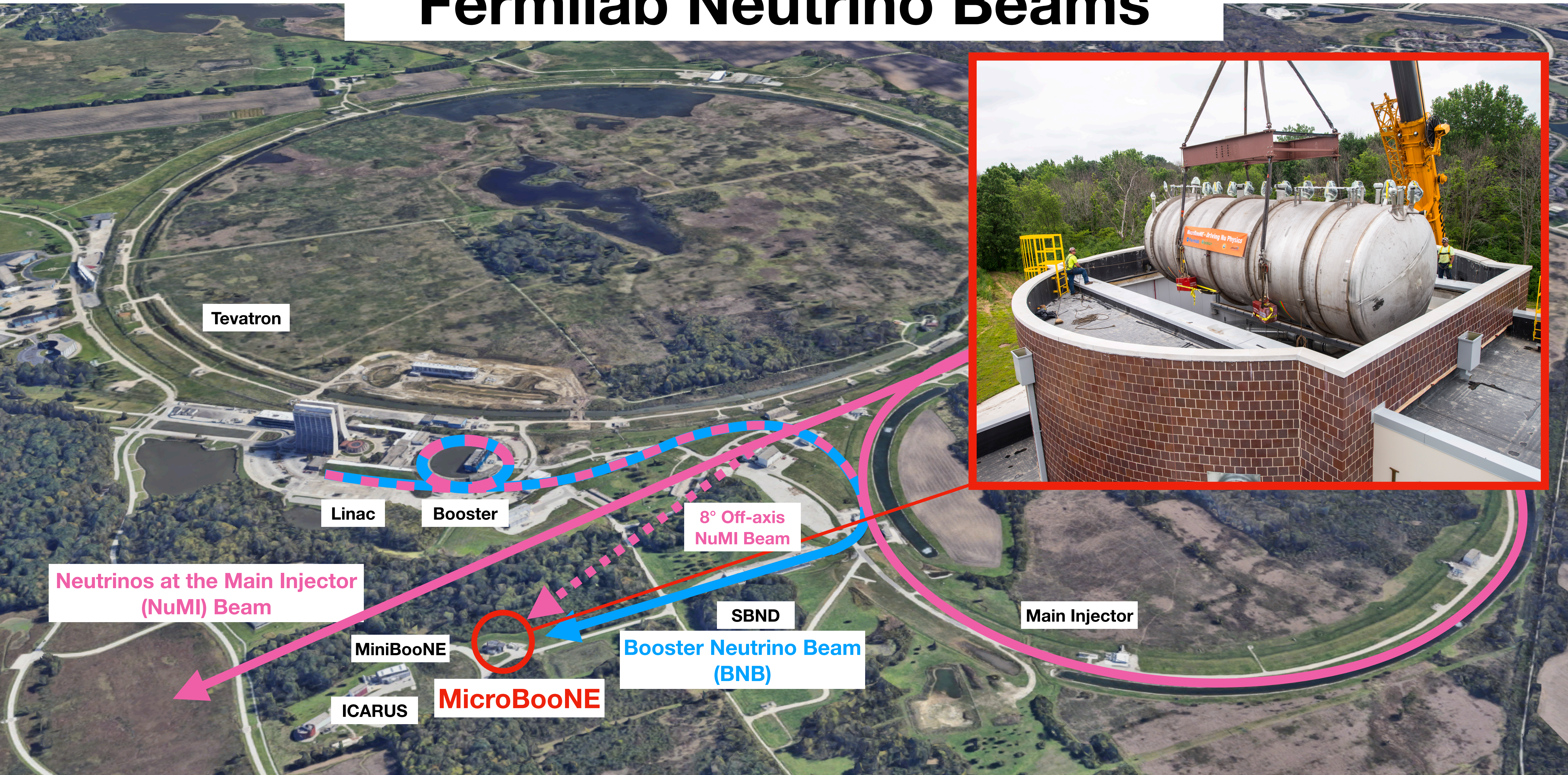


MicroBooNE

- MicroBooNE's more unique features among neutrino cross section experiments:
 - We use argon, and developing our understanding of neutrino-argon interactions is very important for DUNE oscillation searches
 - LArTPC technology allows low energy thresholds for individual particles in each event
 - We see two neutrino beams simultaneously, BNB on-axis and NuMI off-axis



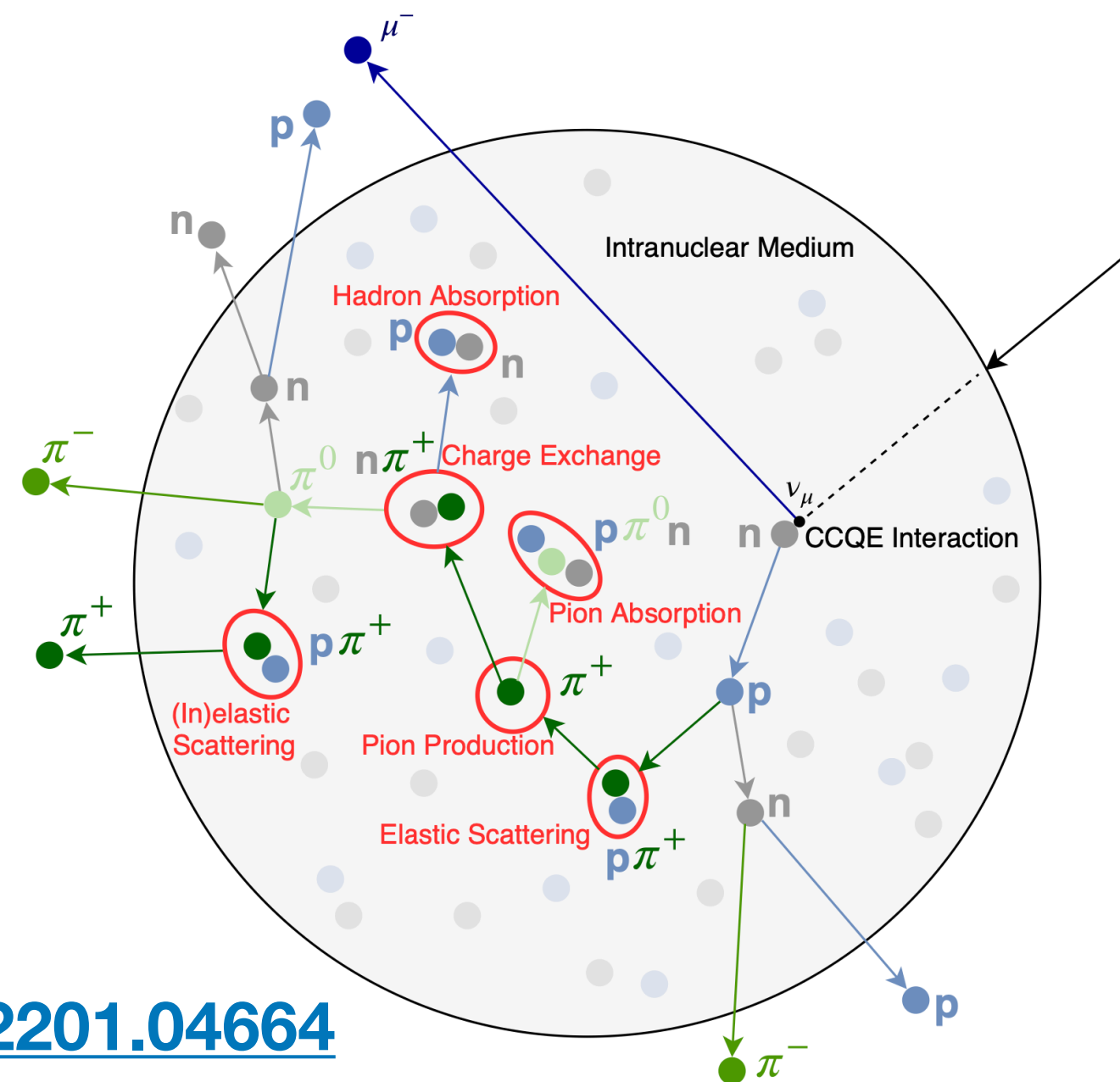
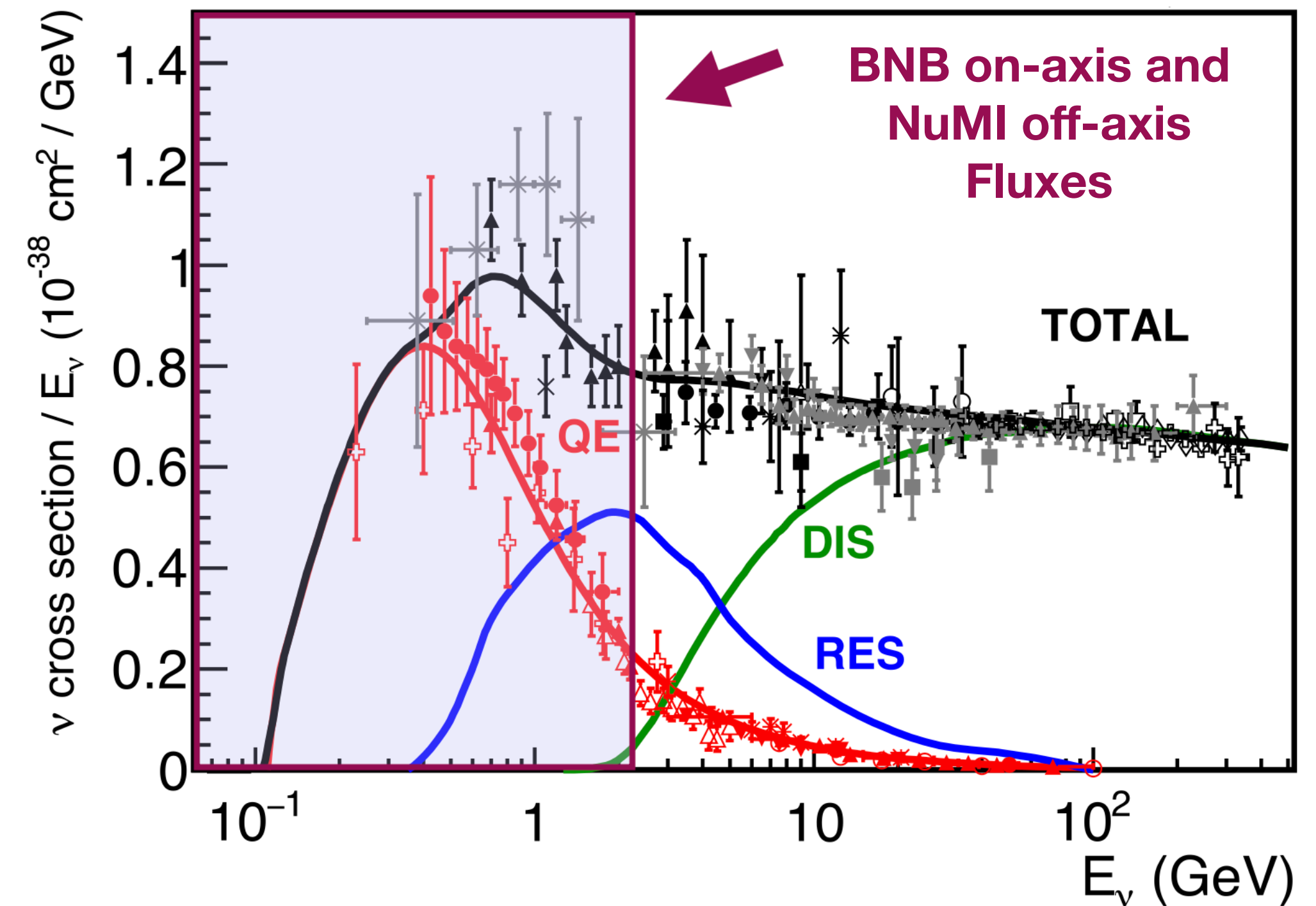
Fermilab Neutrino Beams



Different Interaction Mechanisms

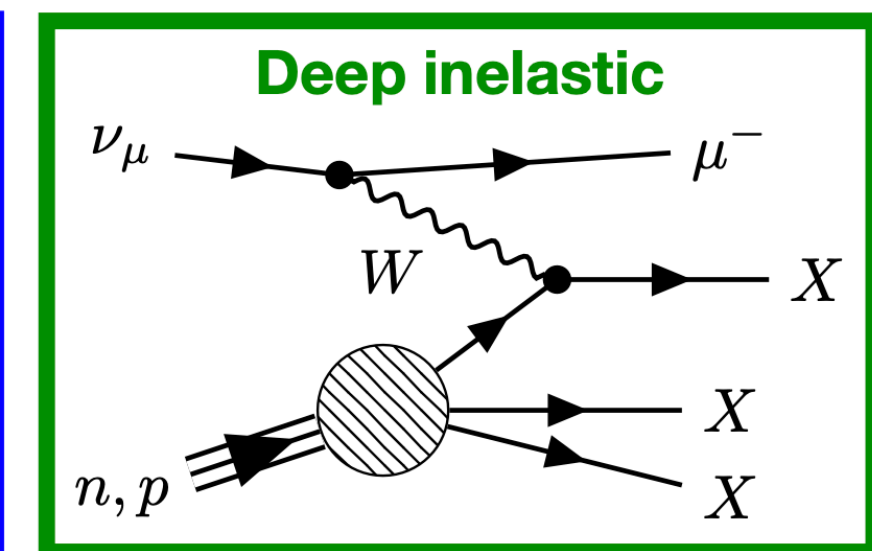
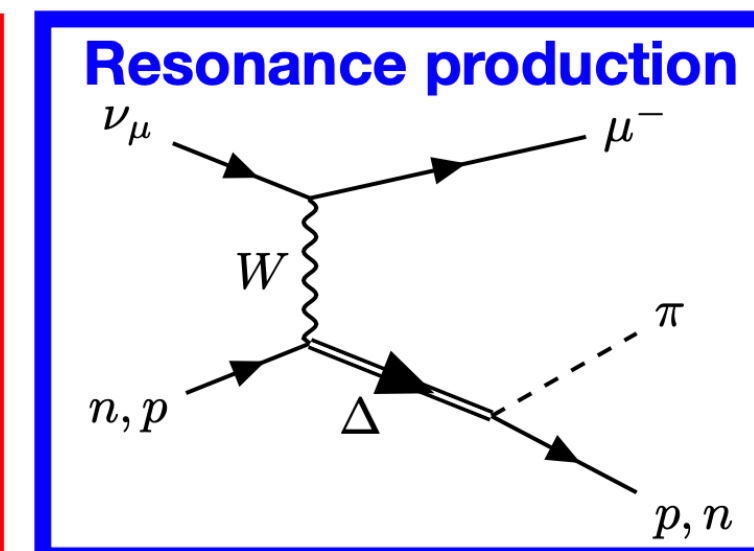
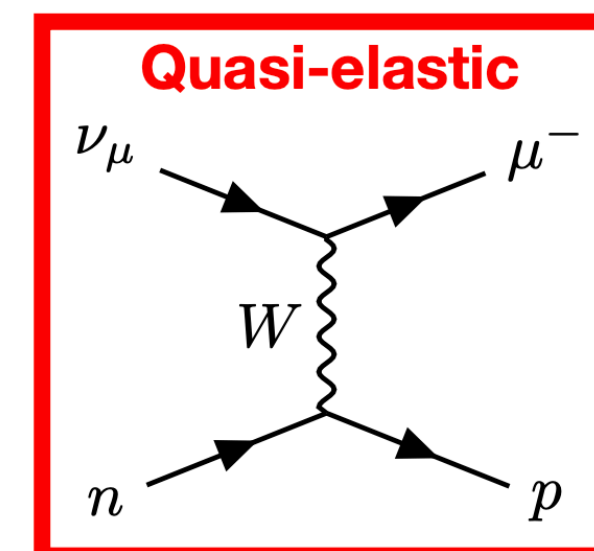
- Different neutrino energies cause different interaction mechanisms
- MicroBooNE sees many QE and RES events from the BNB and NuMI neutrino beams
- Final state interactions complicate this picture, changing the kinematics and topologies of events in complex ways

[Rev. Mod. Phys. 84, 1307 \(2012\)](#)



* Meson Exchange Current (MEC) is another interaction type that often expels two nucleons

[arXiv:2201.04664](#)



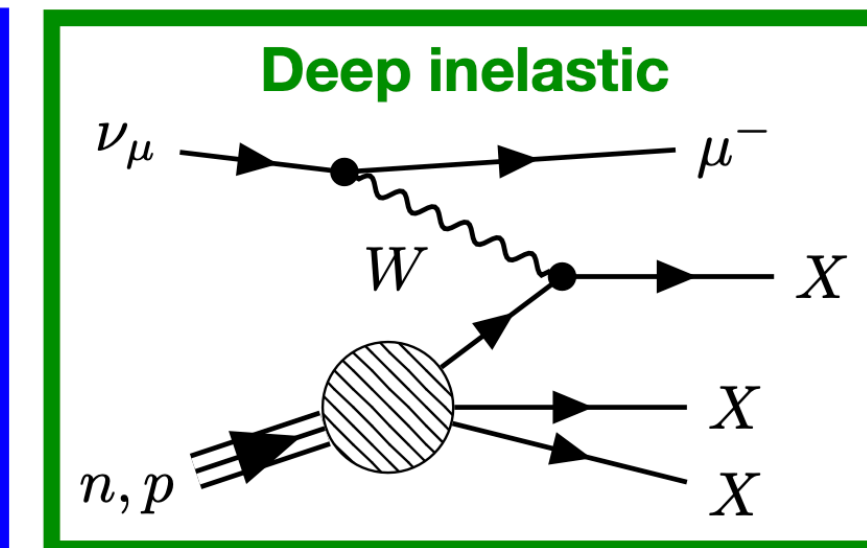
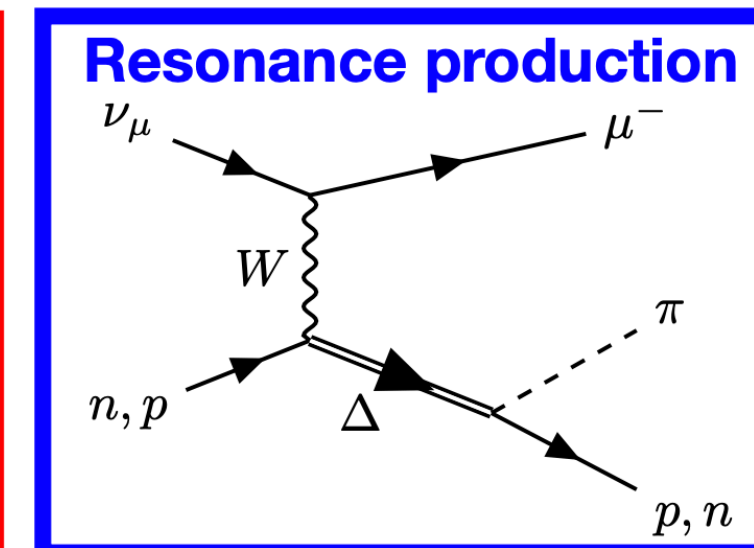
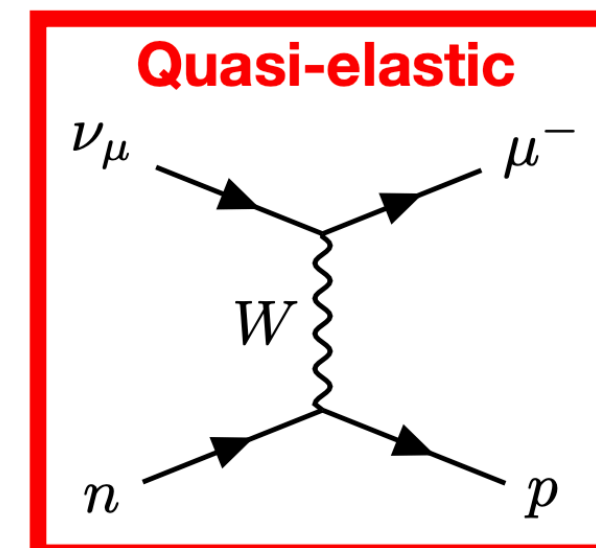
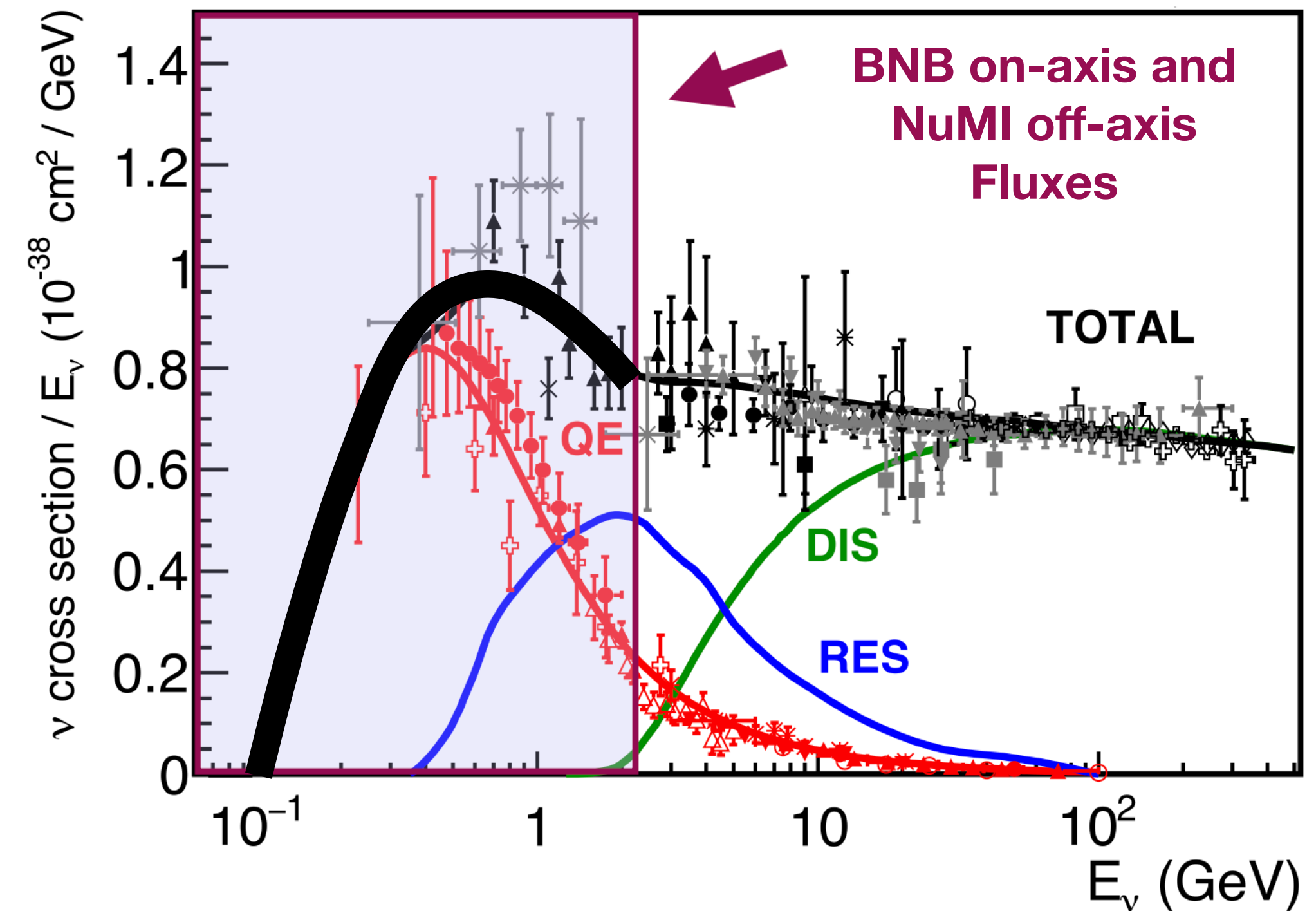
Outline

- In this talk, I will discuss:

- Our latest results on inclusive ν_μ CC cross sections, including all these interaction types

- Our latest results on exclusive ν_μ CC 0π topologies, giving particular insight into QE interactions
- Latest results on rare cross sections
 - A Cabibbo-suppressed version of QE: Λ production
 - A heavy version of RES interactions, producing an $N(1535)$ rather than a $\Delta(1232)$

[Rev. Mod. Phys. 84, 1307 \(2012\)](#)



Outline

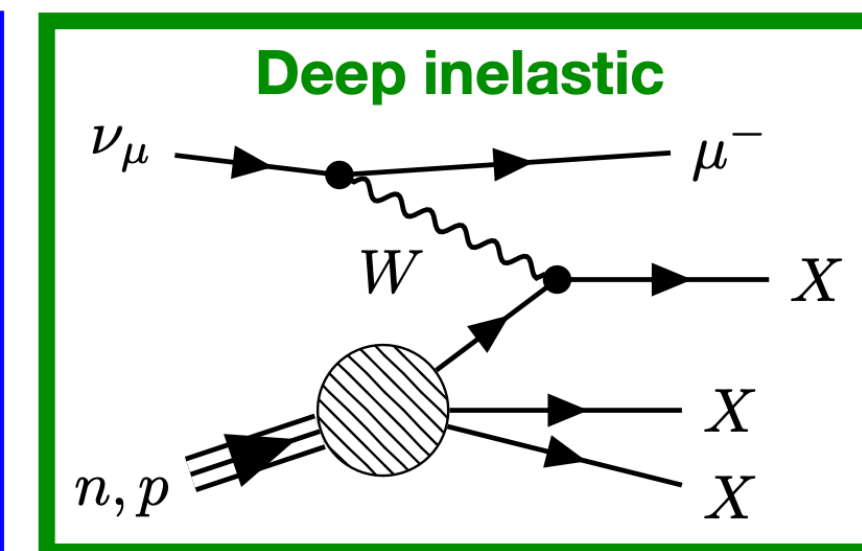
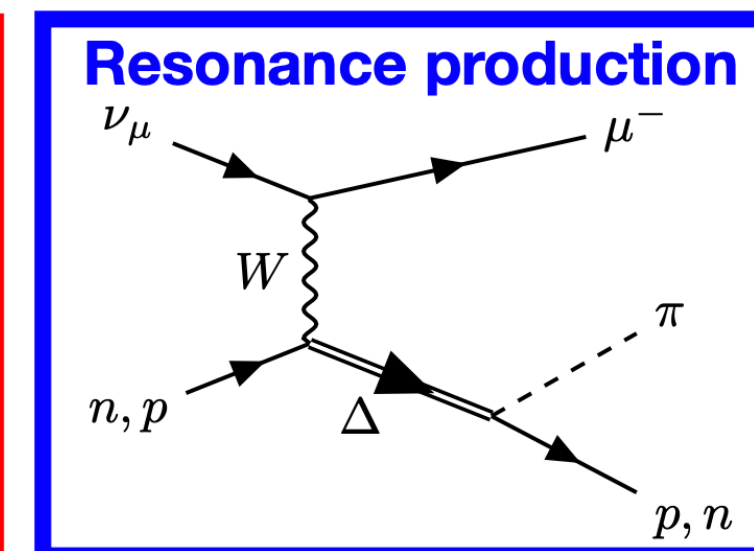
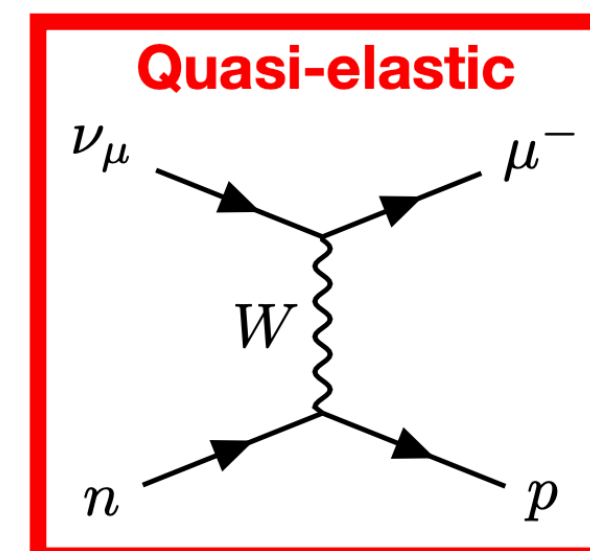
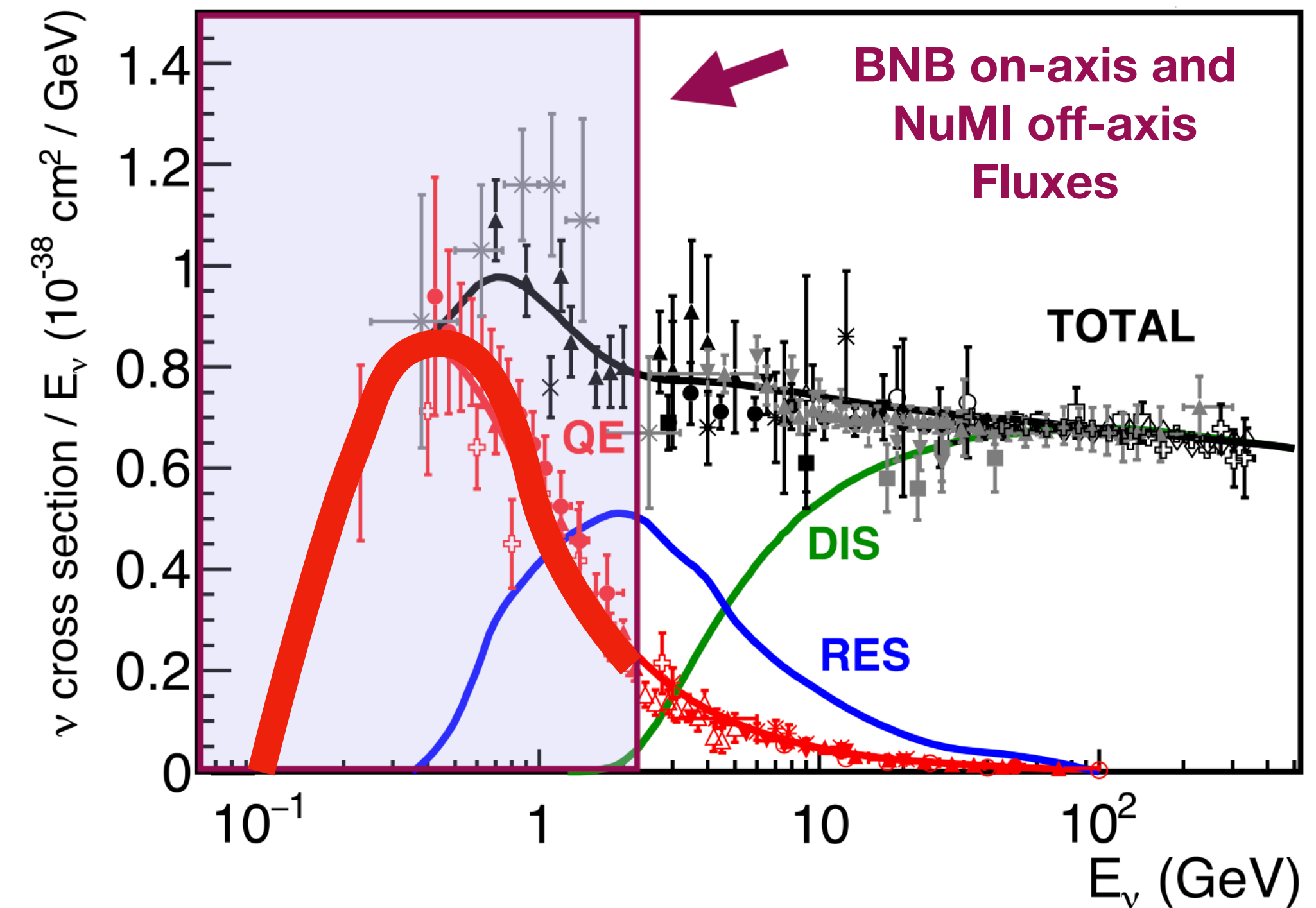
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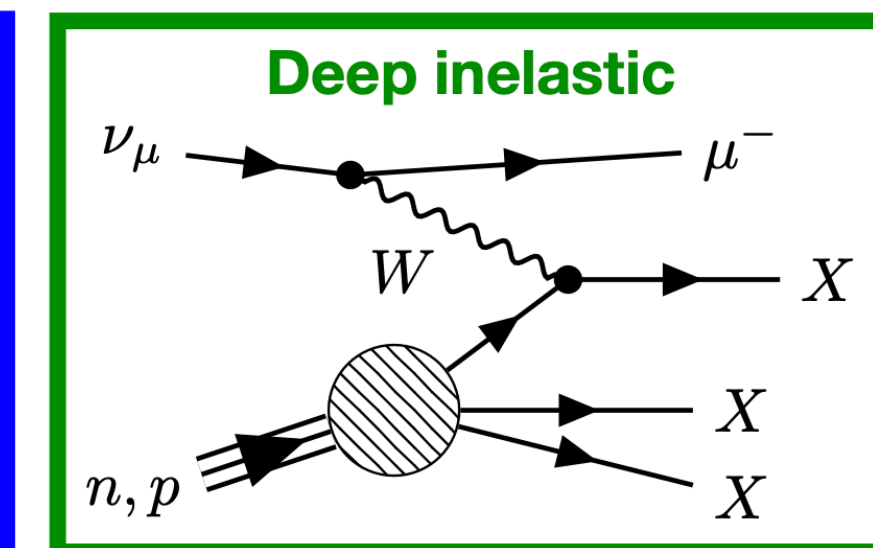
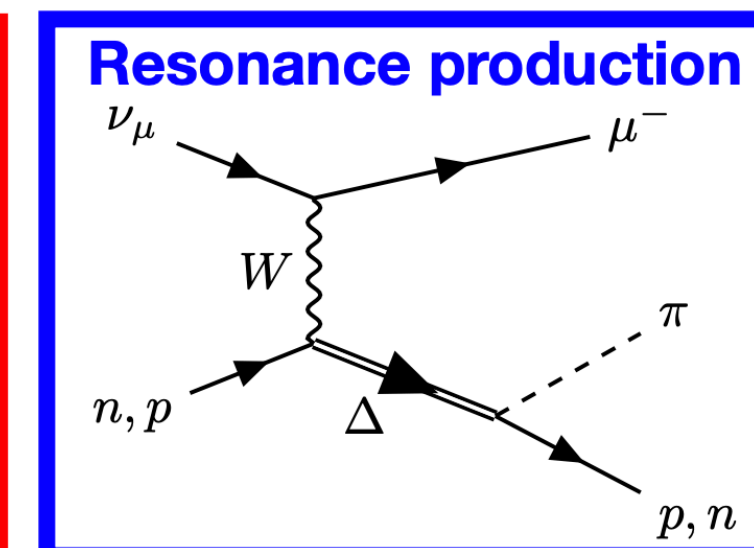
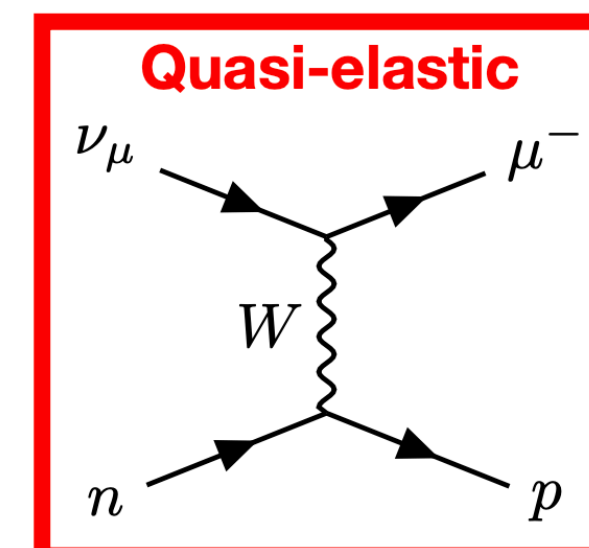
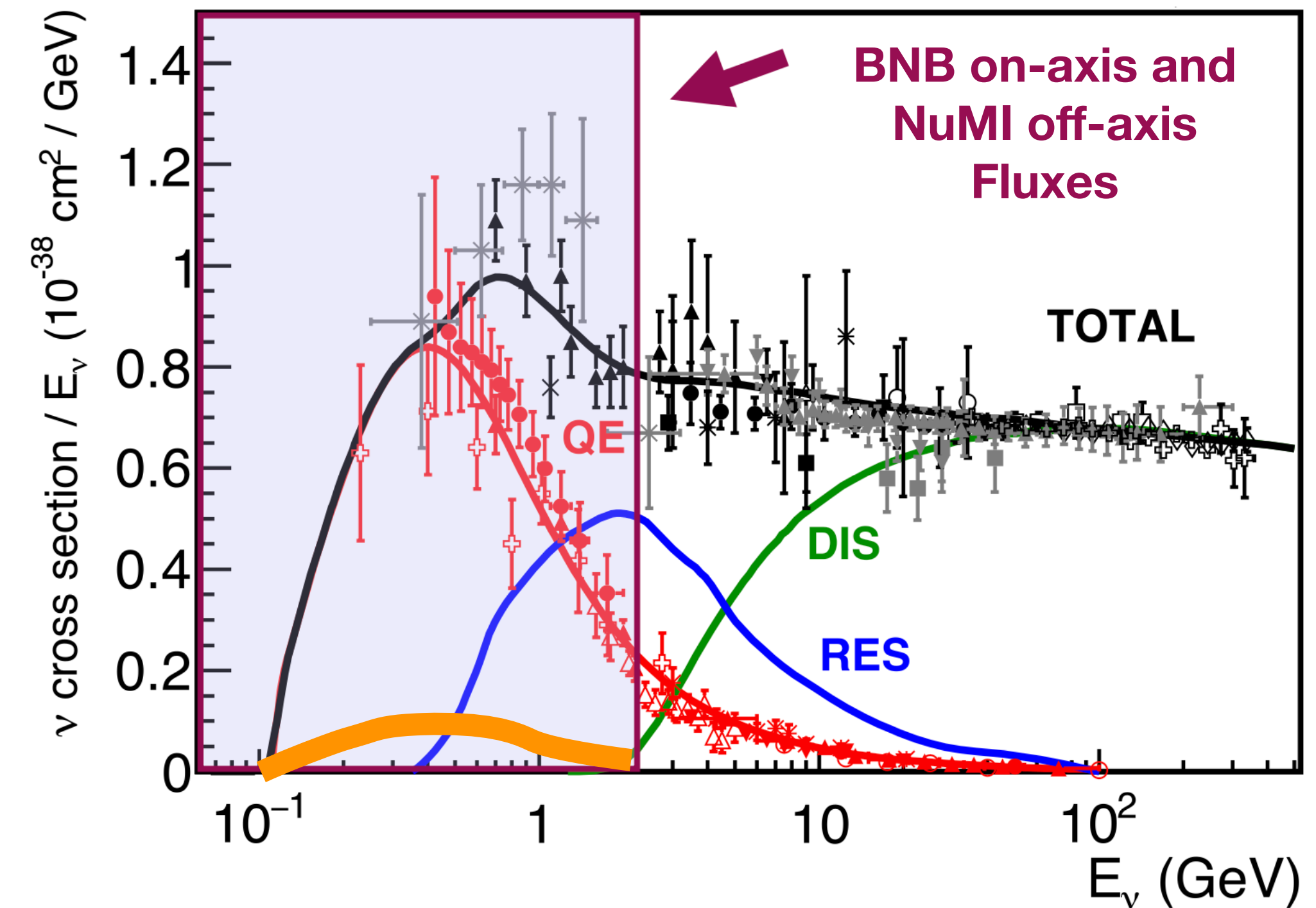
- Latest results on rare cross sections

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Curve on plot just for very rough illustration, not quantitative!

[Rev. Mod. Phys. 84, 1307 \(2012\)](#)



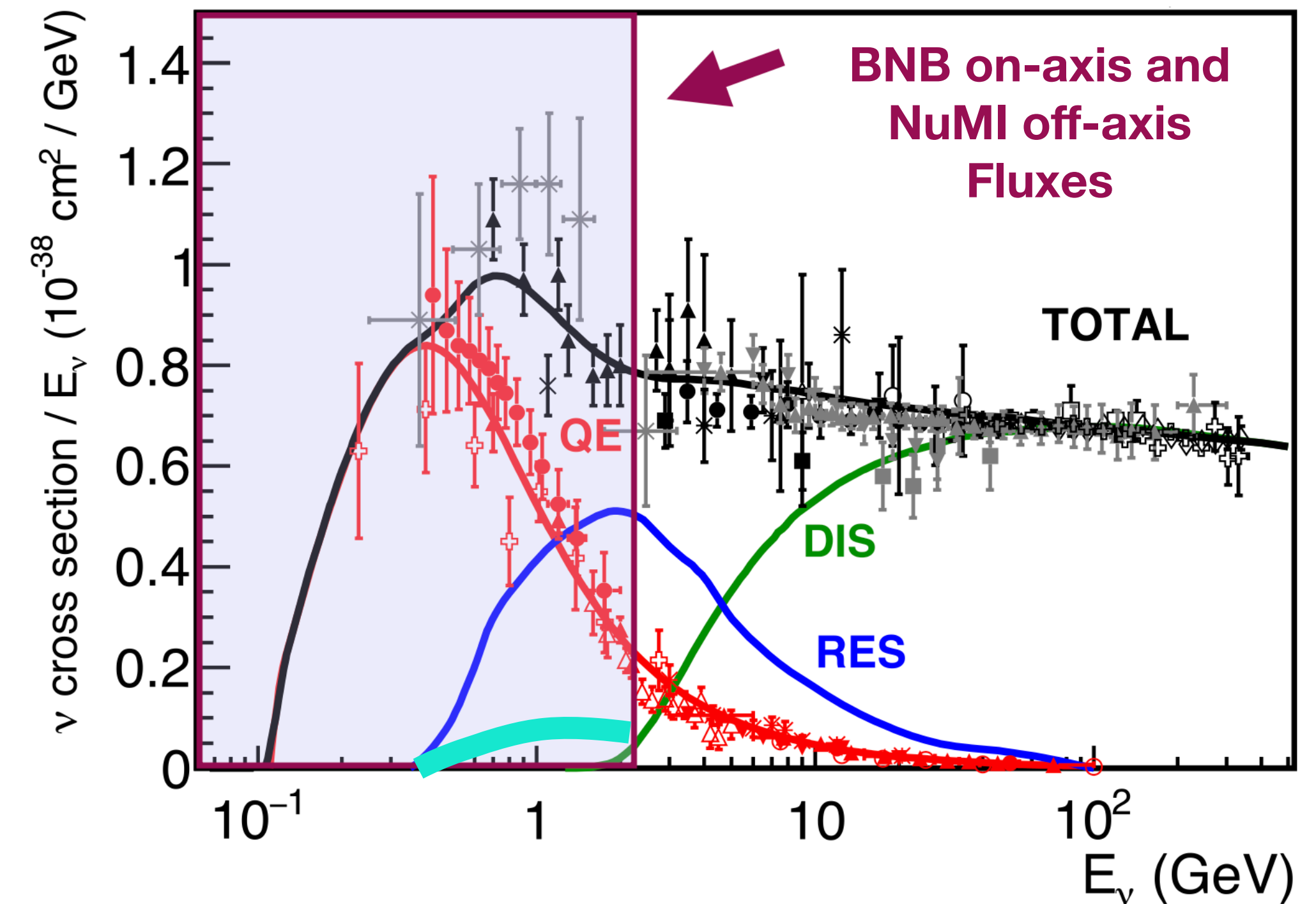
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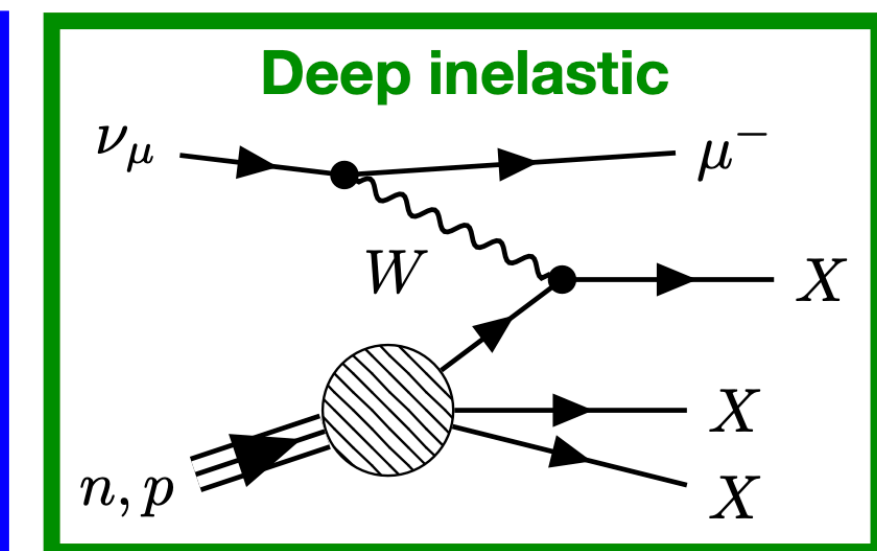
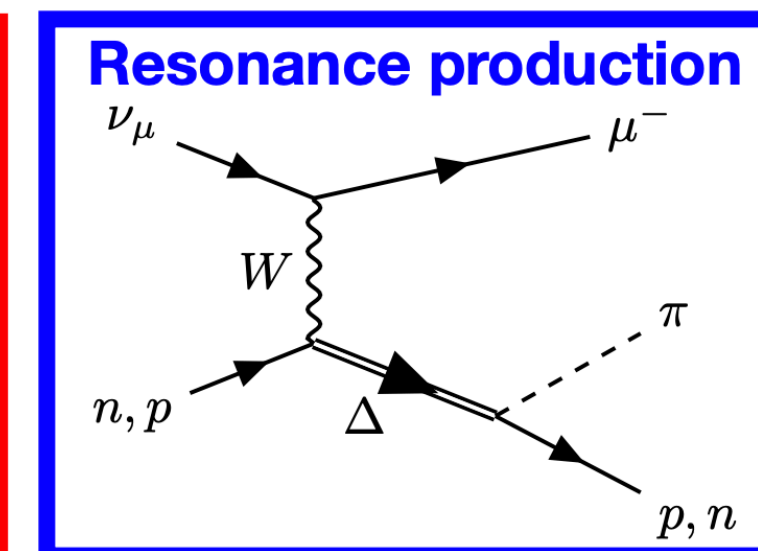
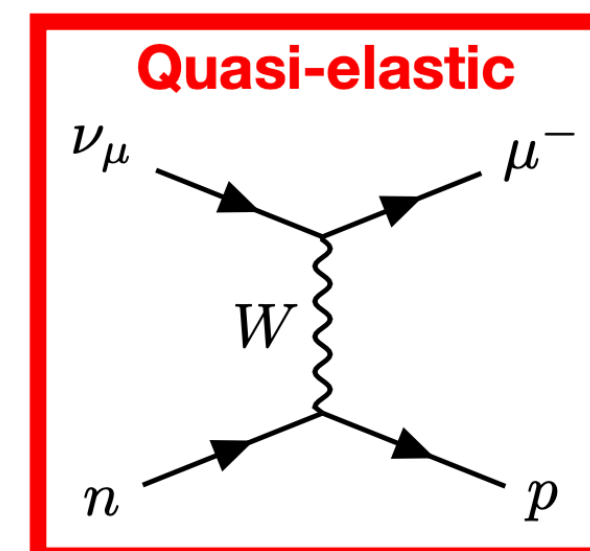
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A heavy version of RES interactions, producing an $N(1535)$ rather than a $\Delta(1232)$

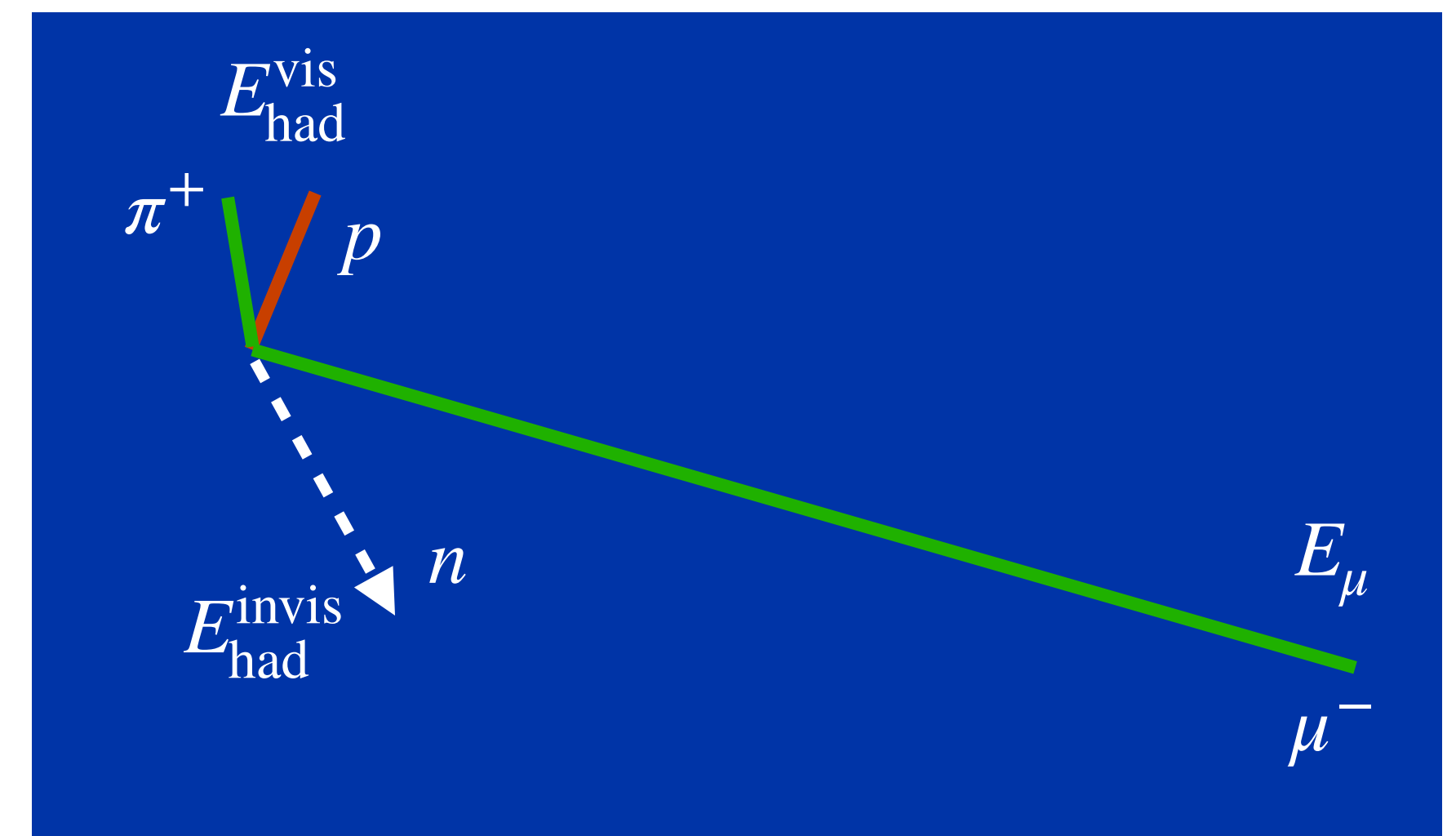


Invisible Neutrino Energy Modeling Validation

- Modeling the $E_\nu^{\text{true}} \rightarrow E_\nu^{\text{rec}}$ mapping is very important for oscillation analyses
- These quantities differ by $E_{\text{had}}^{\text{invis}}$
 - No direct measurement possible
 - Can we improve confidence in our modeling of this quantity within uncertainties (cross-section, flux, detector response, and statistical)?

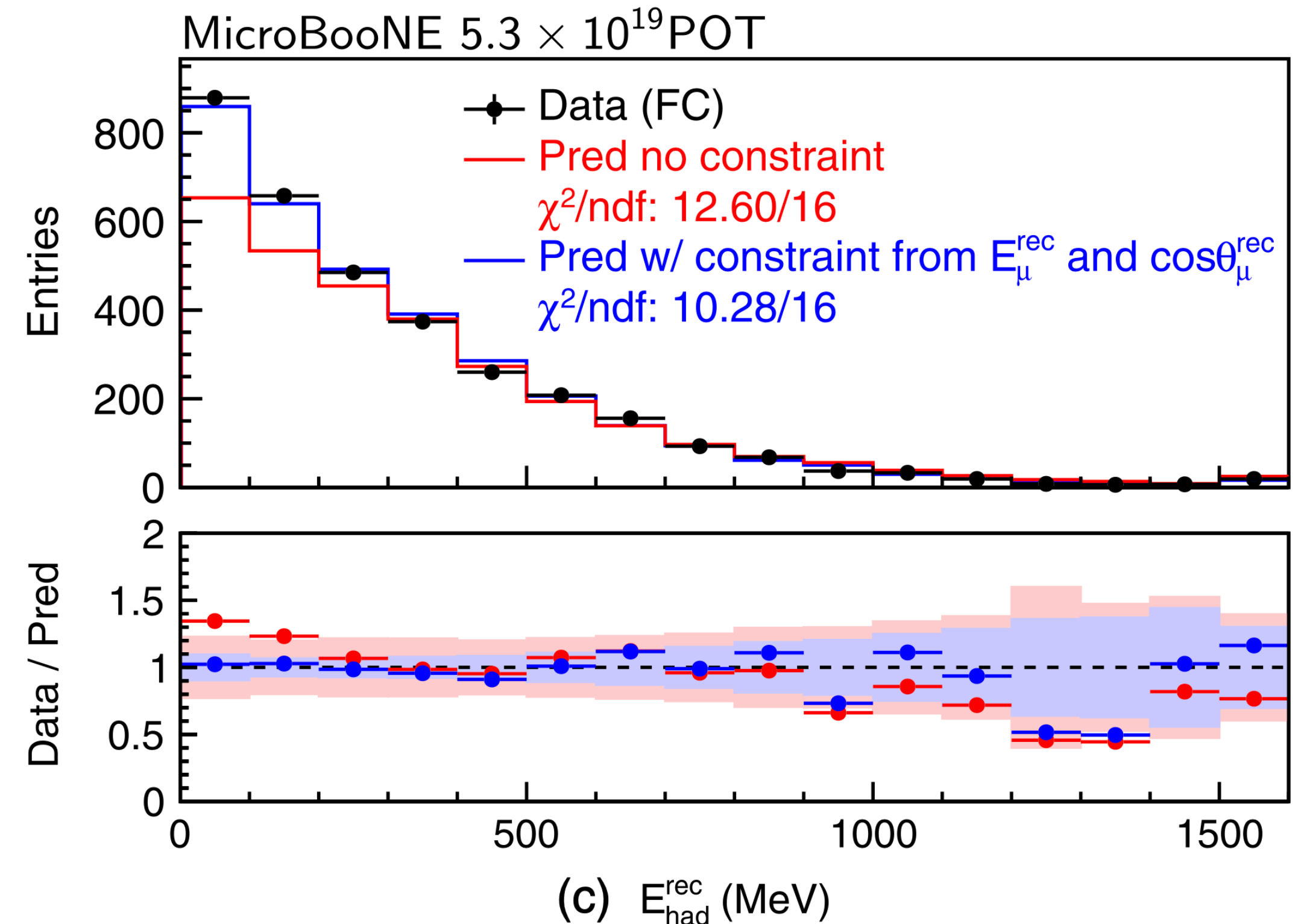
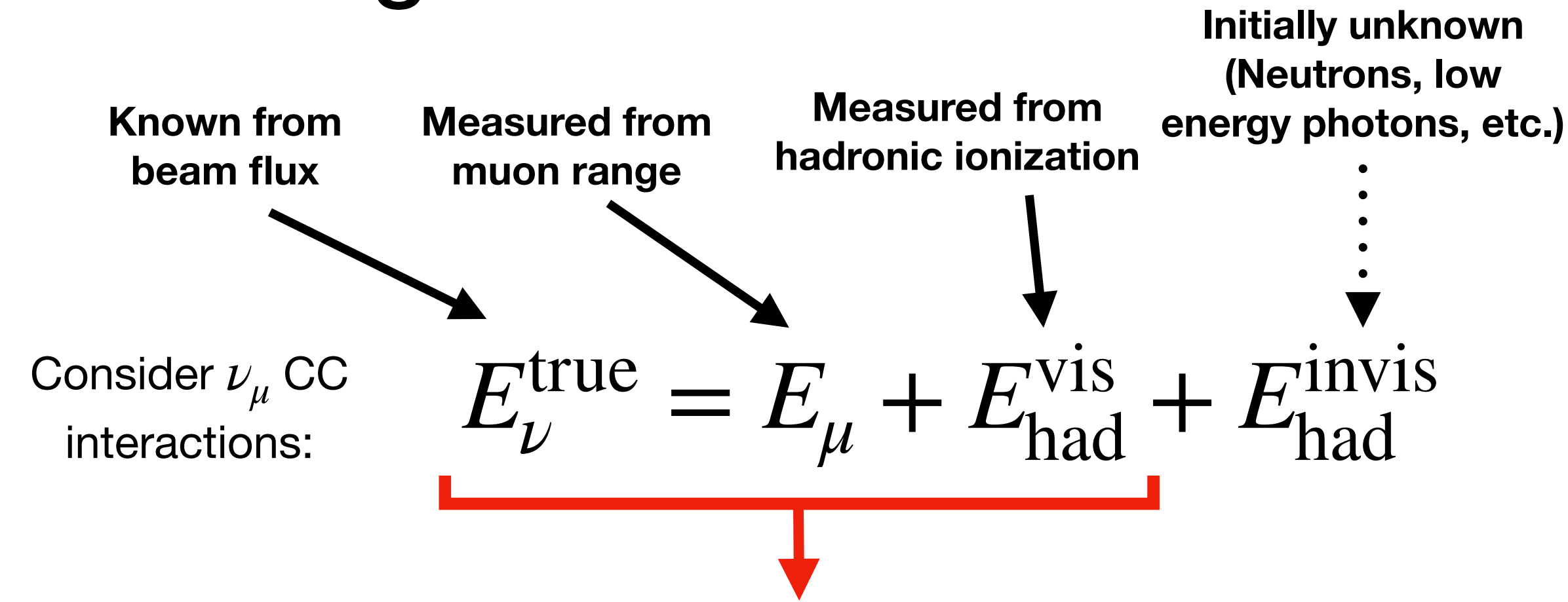
Consider ν_μ CC interactions:

$$E_\nu^{\text{true}} = E_\mu + E_{\text{had}}^{\text{vis}} + E_{\text{had}}^{\text{invis}}$$



Invisible Neutrino Energy Modeling Validation

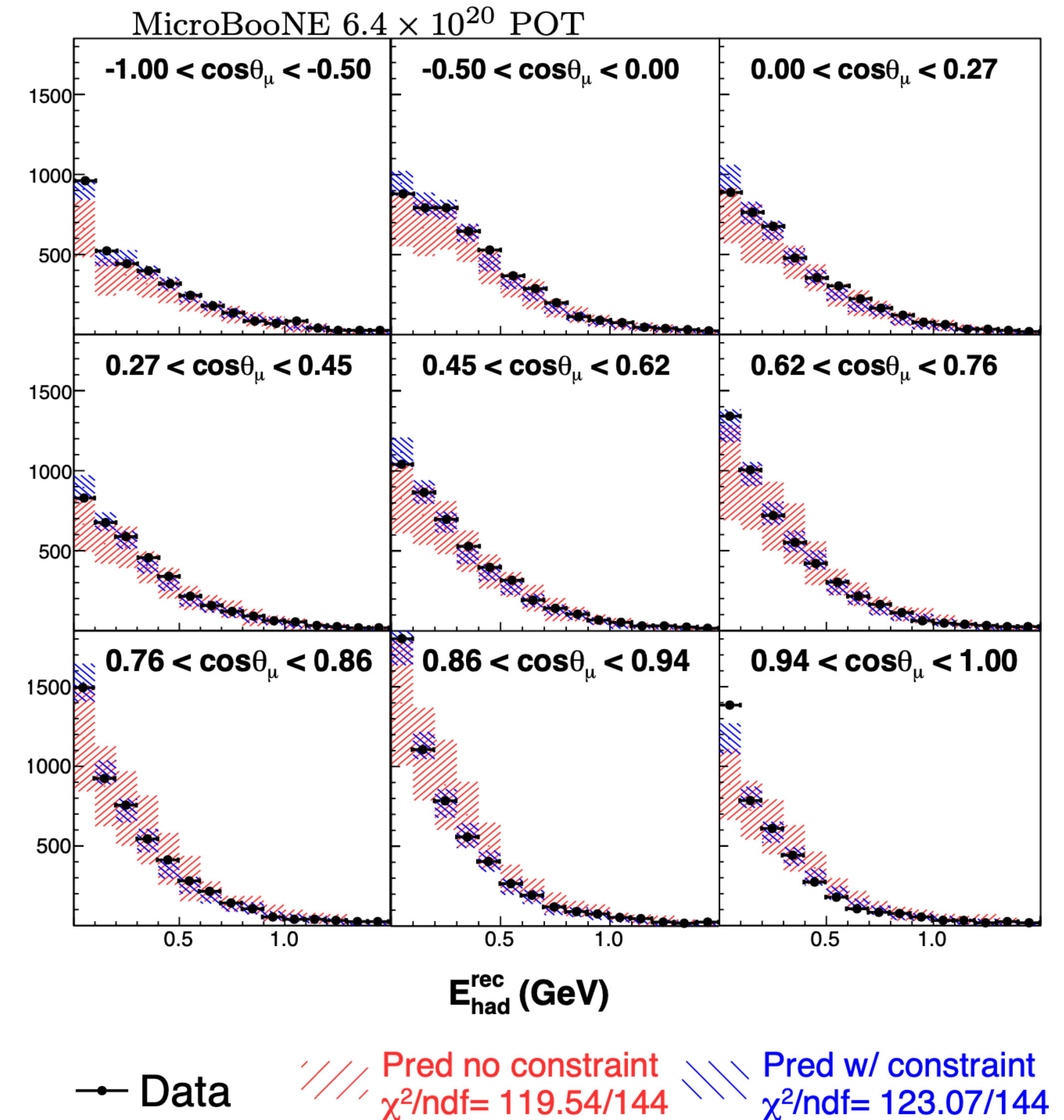
- Energy conservation: if modeling of E_ν^{true} , E_μ , $E_{\text{had}}^{\text{vis}}$ is correct, then our modeling of $E_{\text{had}}^{\text{invis}}$ must be correct
- We can't test this event-by-event, but we can test this for a distribution of many events
- A conditional constraint test shows that $E_{\text{had}}^{\text{vis}}$ data matches the prediction using E_ν^{true} (from our flux model) and E_μ (from our data measurement)
- So, three of these distributions tell a consistent story, so the energy conservation equation helps to validate our modeling of the $E_{\text{had}}^{\text{invis}}$ distribution



Invisible Neutrino Energy Modeling Validation

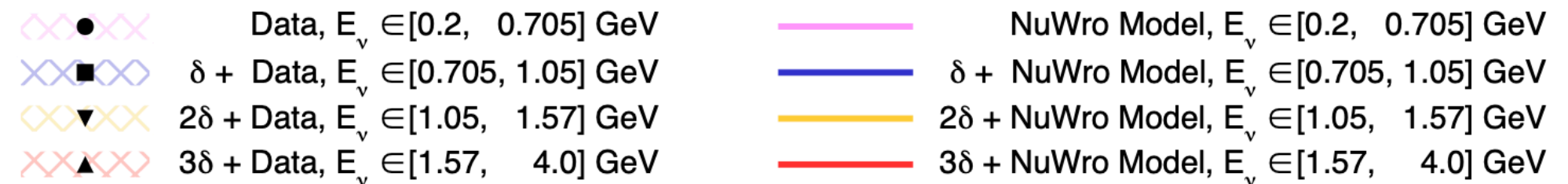
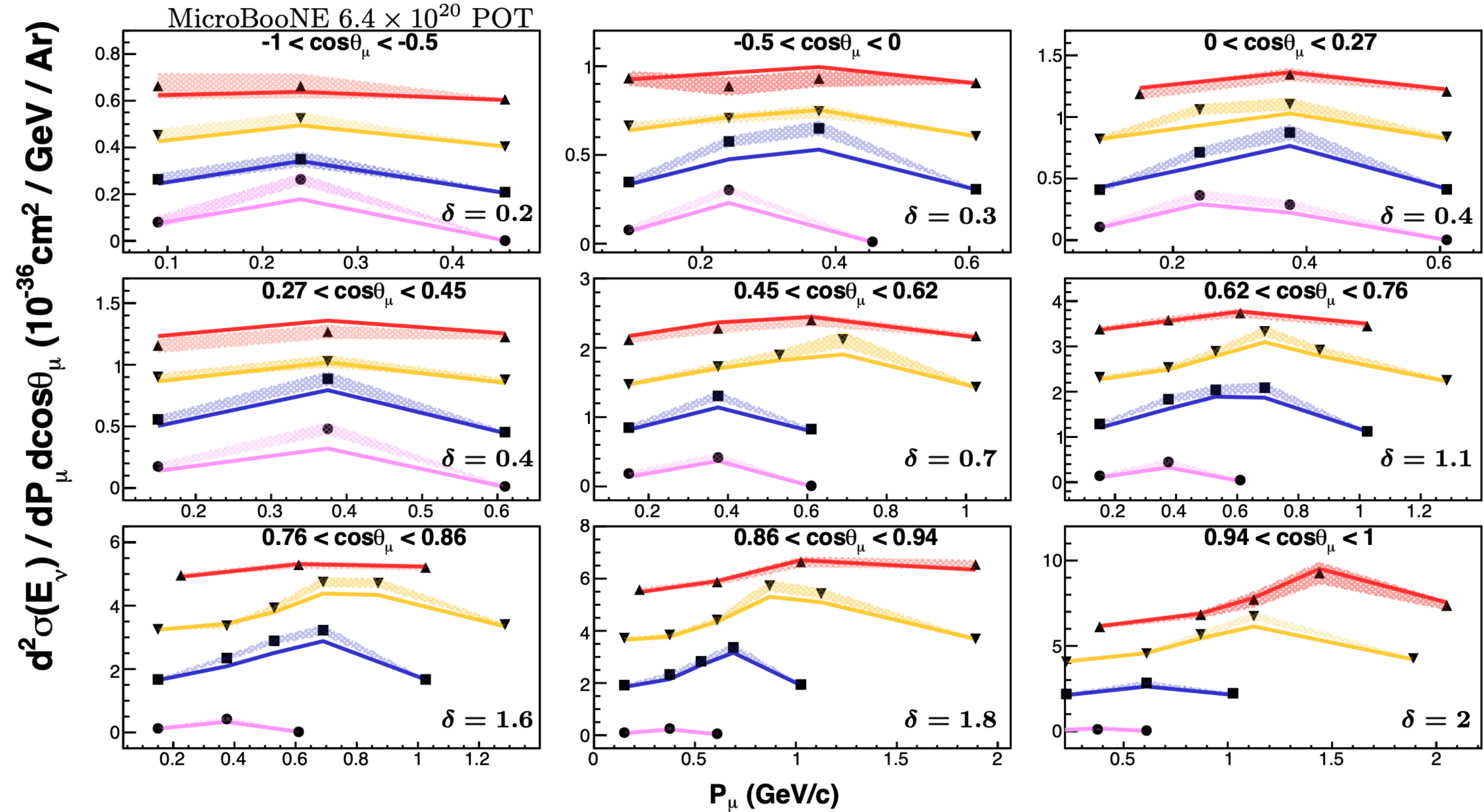
$$\{E_{\text{rec}}^{\text{had}}, \cos \theta_{\mu}\} \text{ constrained by } \{P_{\mu}, \cos \theta_{\mu}\}$$

- We've performed many types of these constraint tests, and expanded to study more dimensions
- In fake data tests, this procedure is sensitive to $\sim 15\%$ shifts between $E_{\text{had}}^{\text{vis}}$ and $E_{\text{had}}^{\text{invis}}$
 - Our resulting E_{ν} cross section results are significantly less sensitive to changes in the missing energy
- We have also tested this procedure with fake data from GENIE v2 and NuWro, and in all cases, we have found that when we pass model validation, we get the correct E_{ν} XS result within uncertainties



3D ν_μ CC Inclusive Cross Section Results

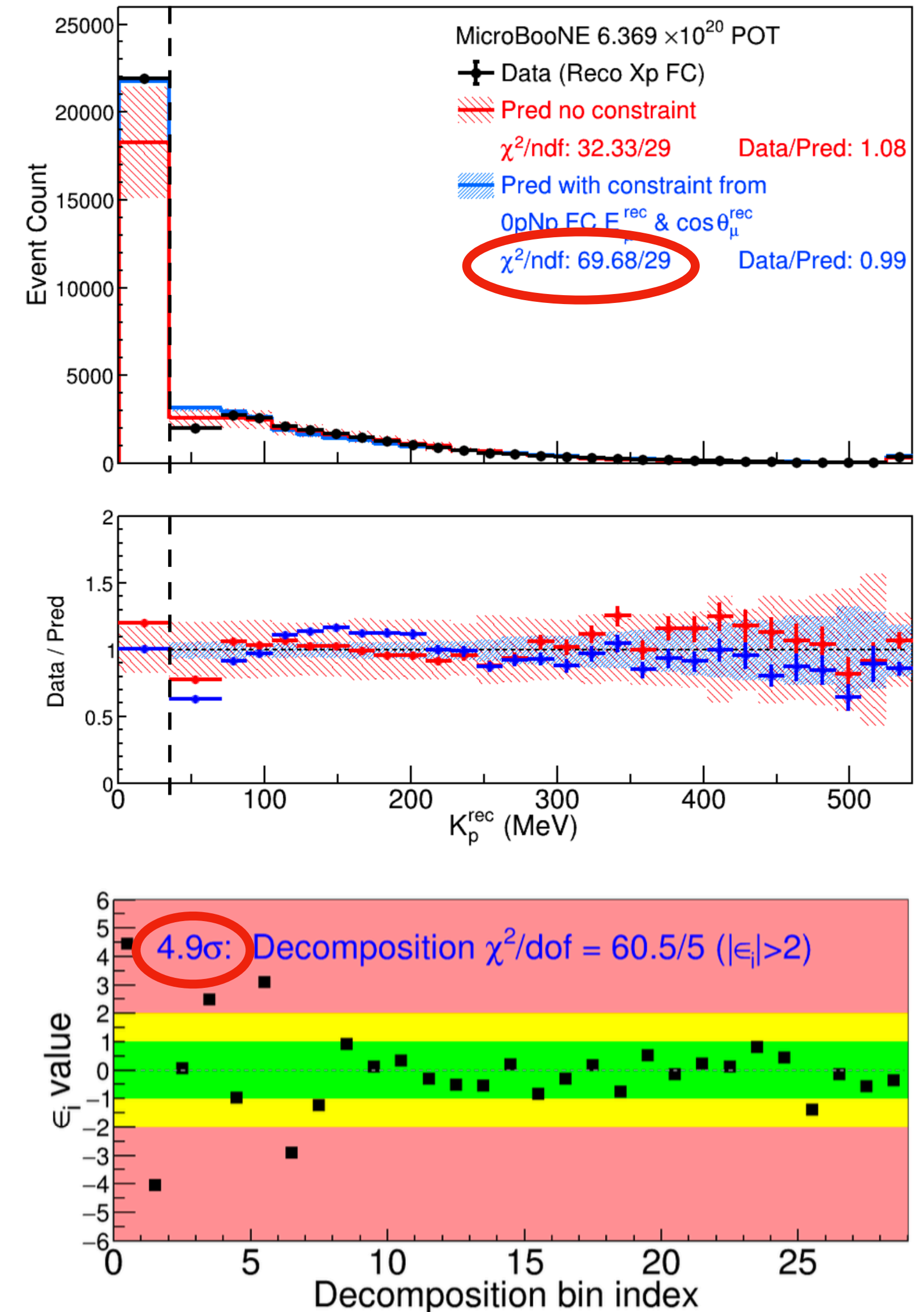
- With this model validation giving us confidence in our modeling of the $E_\nu^{\text{true}} \rightarrow E_\nu^{\text{reco}}$ mapping within uncertainties, we can extract cross sections as a function of E_ν
- One of our latest results does this simultaneously in 3 dimensions:
 - E_ν , P_μ , and $\cos \theta_\mu$
- NuWro has the best agreement with our data, and GiBUU and NEUT do better in the lower E_ν region



Model Name	Full 3D space χ^2/ndf	1D $\cos \theta_\mu$ 0.2-0.705 GeV χ^2/ndf
GENIE v2	741.1/138	12.4/9
MicroBooNE model	326.1/138	31.2/9
GENIE v3 untuned	322.2/138	50.3/9
GiBUU	269.9/138	11.7/9
NEUT	243.4/138	27.6/9
NuWro	212.1/138	24.8/9

3D ν_μ CC Inclusive 0p/Np: Failing Model Validation

- We also expanded this ν_μ CC inclusive analysis to study detailed final states with and without protons
- We do a similar type of model validation for reconstructed proton kinetic energy, but it fails!
- Our data is incompatible with our cross section model when describing the distribution of proton energies
 - A low energy proton connected to a muon is the type of topology that LArTPCs can study much more precisely than some other technologies
- Low energy proton mis-modeling could potentially cause incorrect neutrino background estimates in searches for coherent interactions or BSM decay-in-flight events

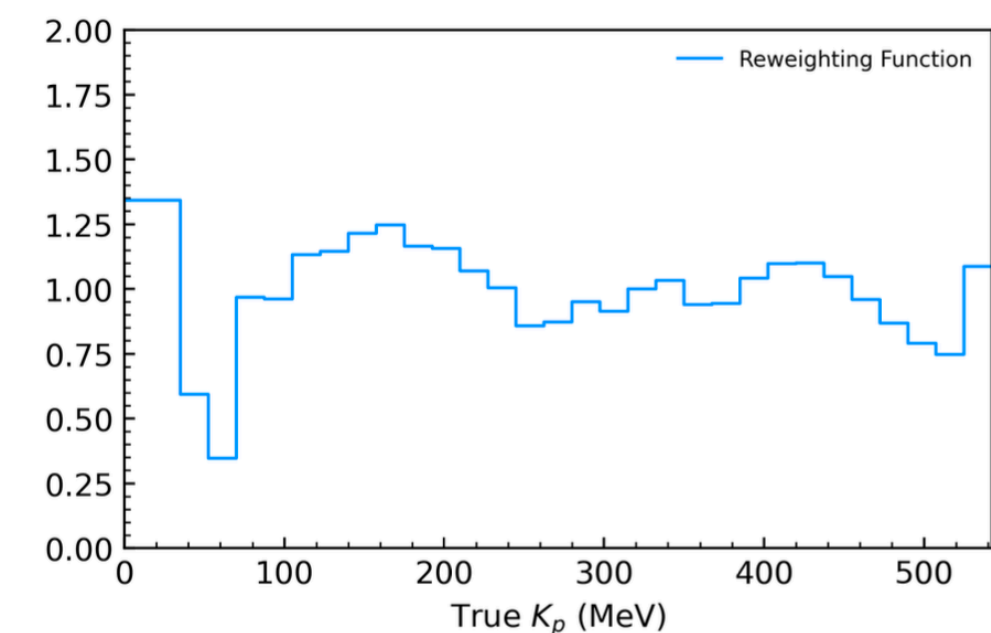
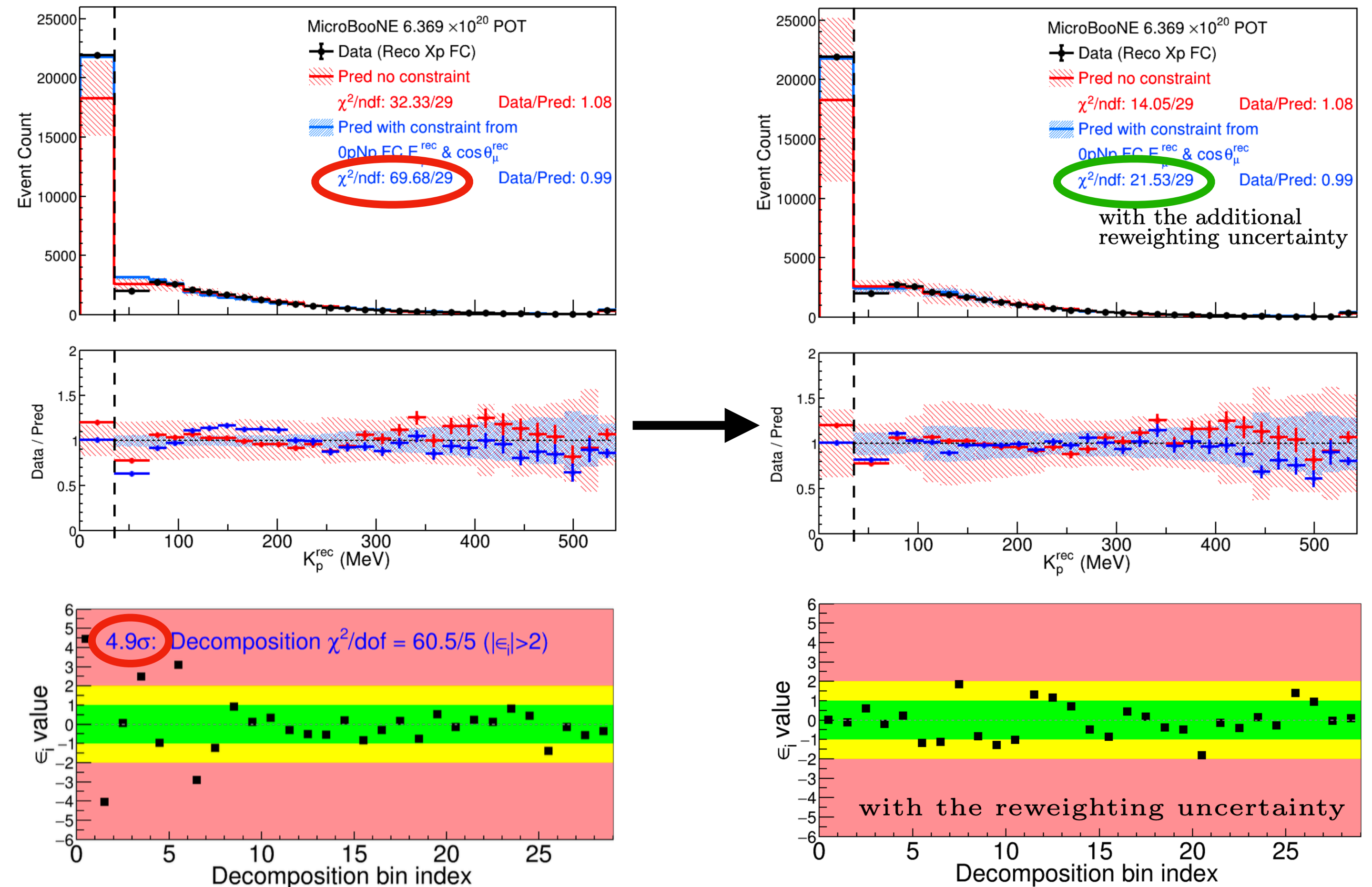


This decomposition test transforms the covariance matrix and χ^2 calculation to a space where the bins are uncorrelated

Lower bin indices correspond to larger eigenvalues, and typically represent broader details of the distribution (normalization, broad shape, etc.)

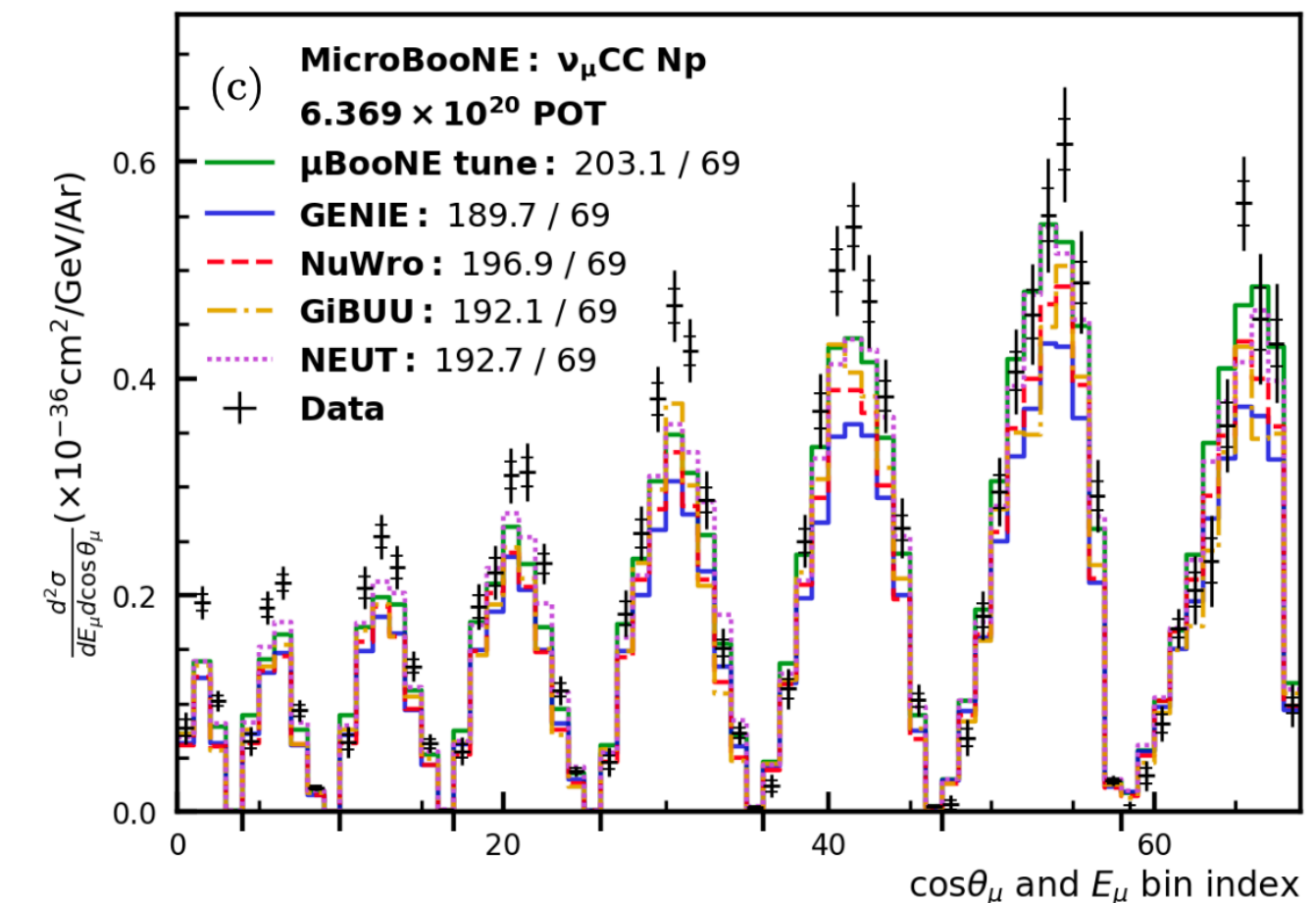
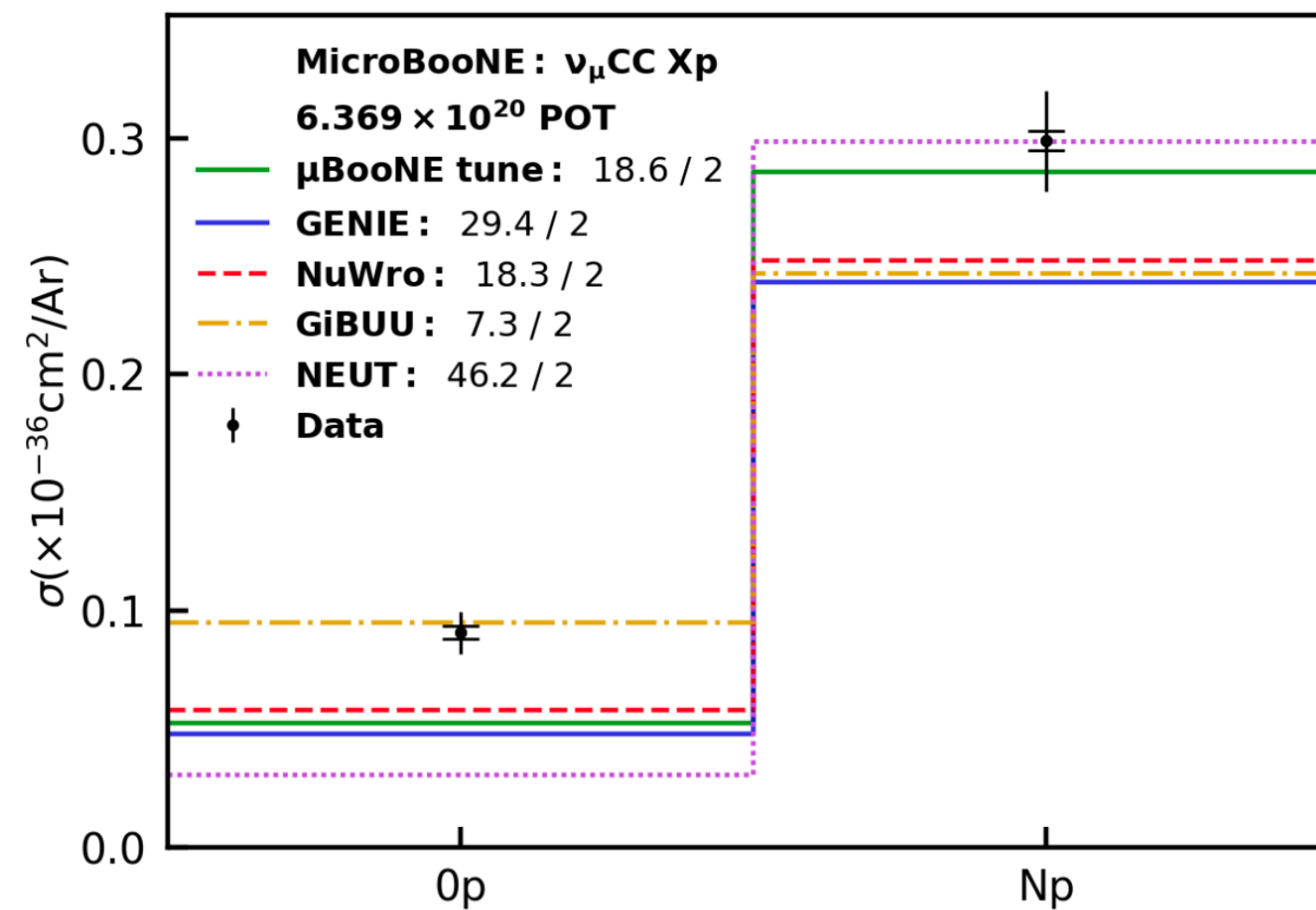
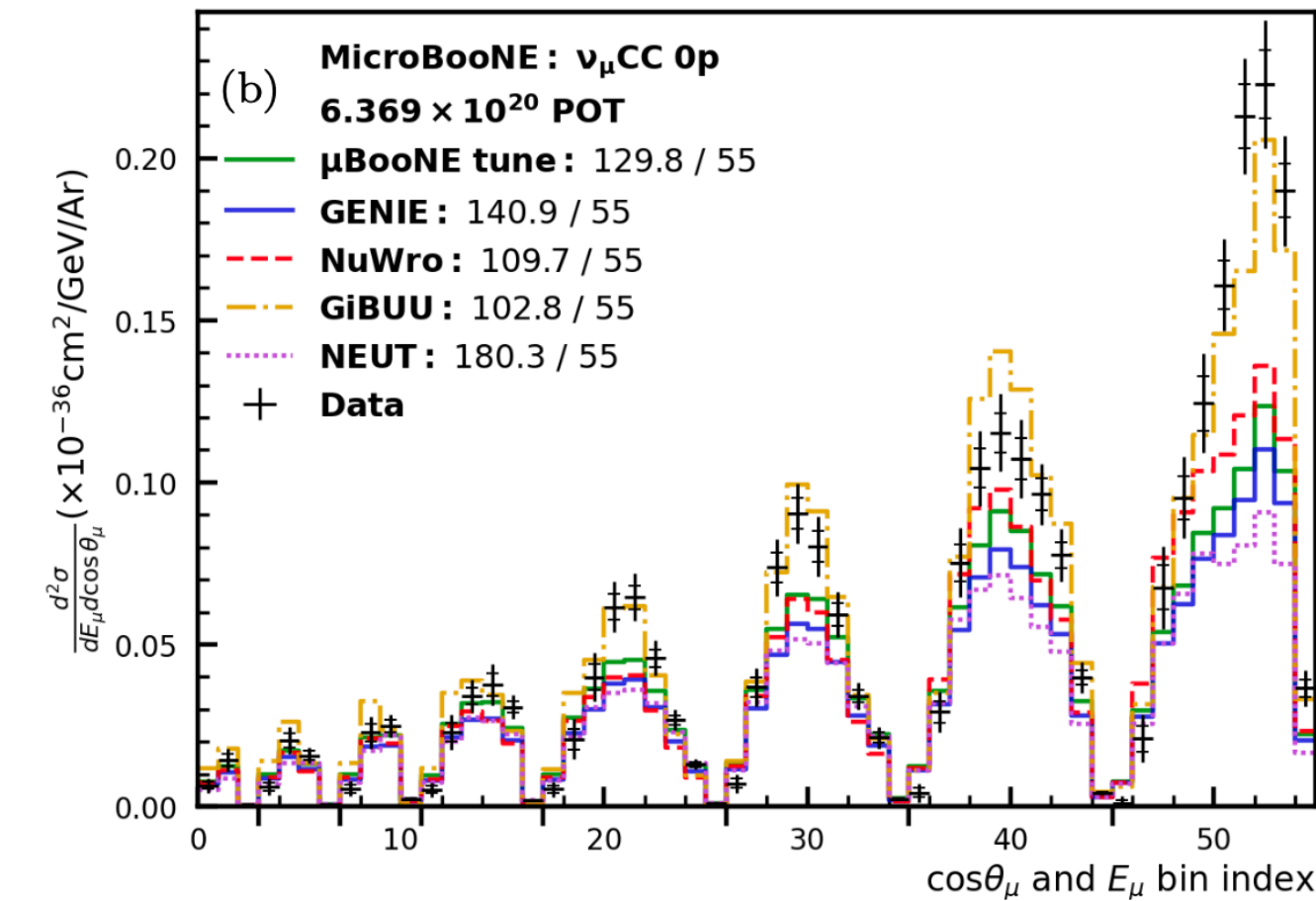
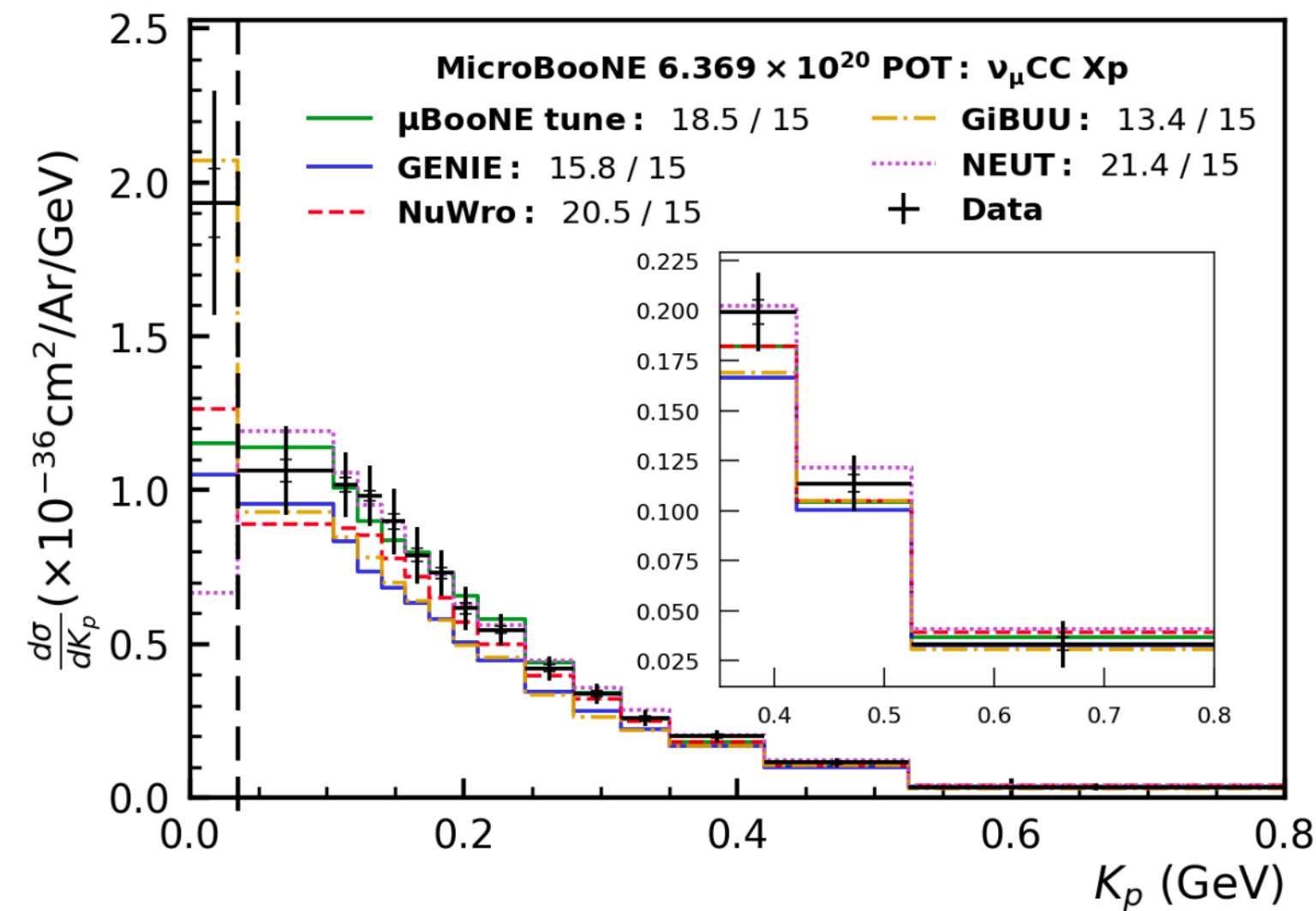
3D ν_μ CC Inclusive 0p/Np: Passing Model Validation

- We use this data-simulation difference to create a new variation in our cross section model
- Unfold this distribution (statistical uncertainty only) to get a reweighting binned in true K_p
- We use this reweighting function to form a new covariance matrix describing this data/MC difference, including correlated and uncorrelated terms
- When we use this to expand our cross section uncertainty, we pass all model validation tests
- So, we can extract cross sections related to protons now

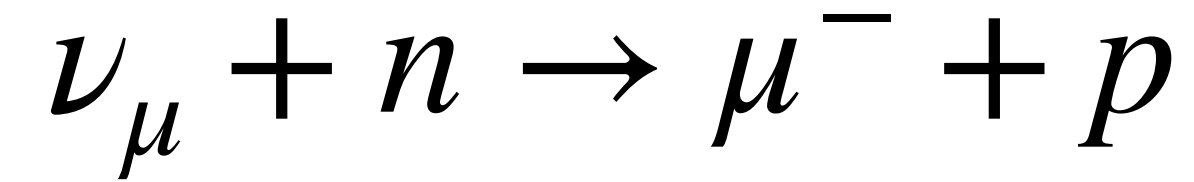


3D ν_μ CC Inclusive 0p/Np Cross Section Results

- Many XS results extracted
 - E_μ , $\cos \theta_\mu$, E_ν , ν , E_{avail} , K_p , $\cos \theta_p$
 - 1D, 2D, and 3D
 - 0p, Np, and Xp
 - Proton multiplicity
- We also report correlations between all these cross section results simultaneously
- GiBUU does much better at describing low energy proton energies and the 0p/Np split, perhaps due to a better treatment of FSI

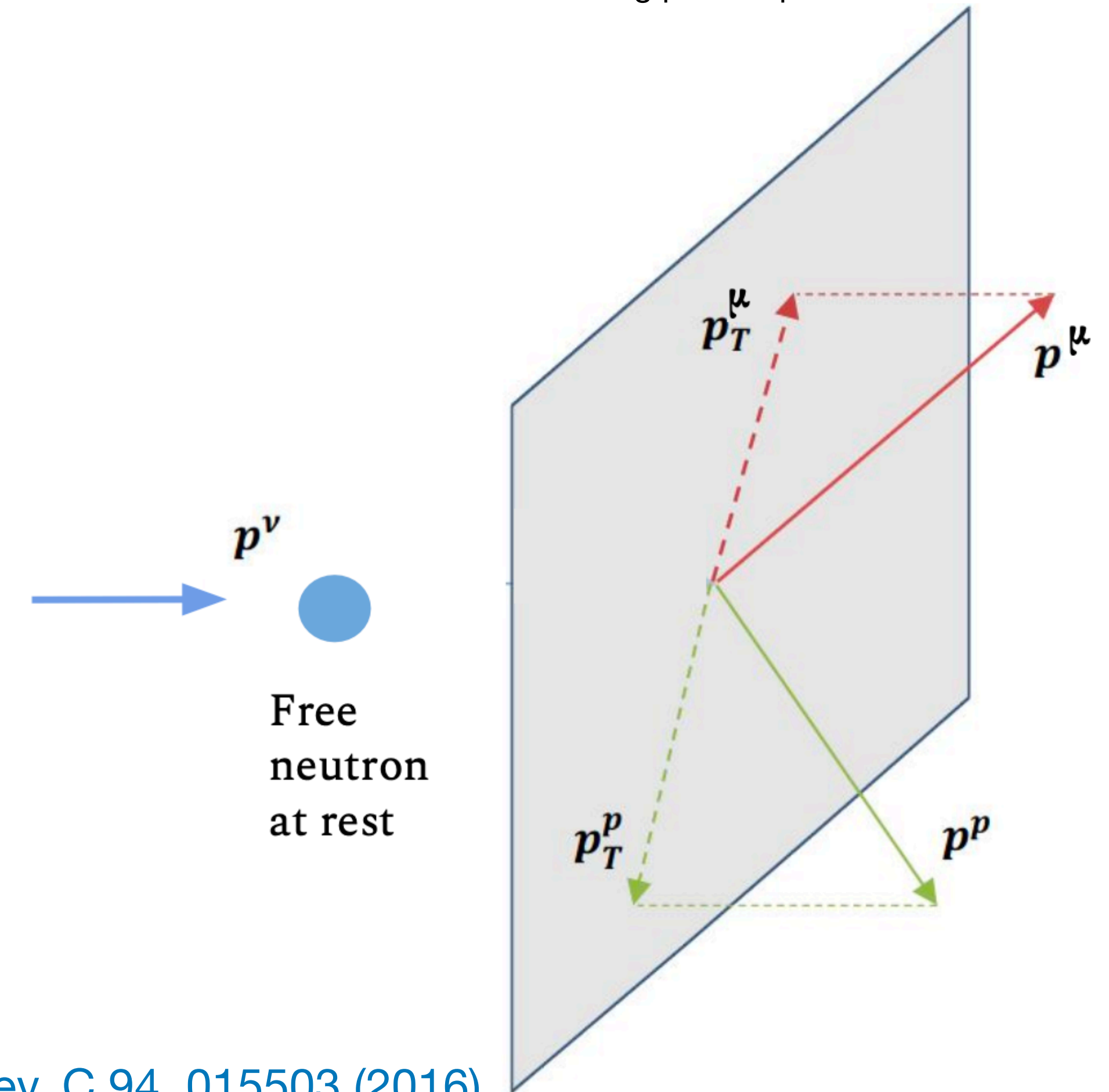


$\nu_{\mu} \text{CC } 1p0\pi$



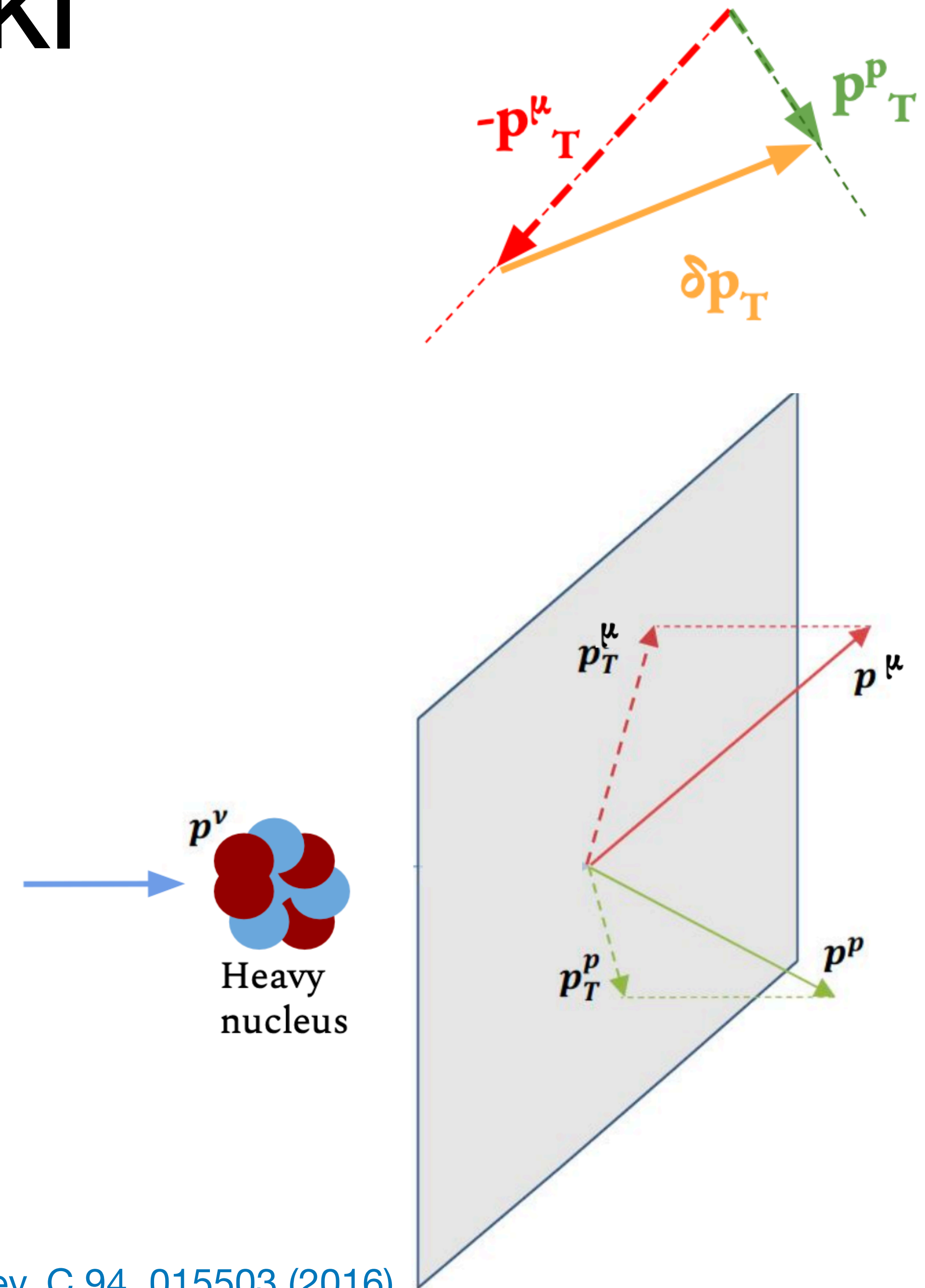
- If we model CCQE events as a neutrino striking a free neutron at rest, the system is very simple
- We get essentially 2 interesting degrees of freedom, which we can choose to be E_{μ} and θ_{μ}
 - In particular, given those, we know E_{tot}
 - This is how MiniBooNE and Super-K can calculate E_{ν} while only seeing the muon
- The transverse momentum of the muon and proton are exactly balanced, summing to zero

- 6 degrees of freedom
 - \vec{p}_p and \vec{p}_{μ}
- 4 constraints/symmetries
 - Incoming neutrino direction: $\vec{p}_{\text{tot}} \cdot \hat{x} = 0, \vec{p}_{\text{tot}} \cdot \hat{y} = 0$
 - Incoming neutrino kinematics: $|\vec{p}_{\text{tot}}| c = E_{\text{tot}}$
 - Azimuthal symmetry
- $6 - 4 = 2$ -dimensional resulting phase space

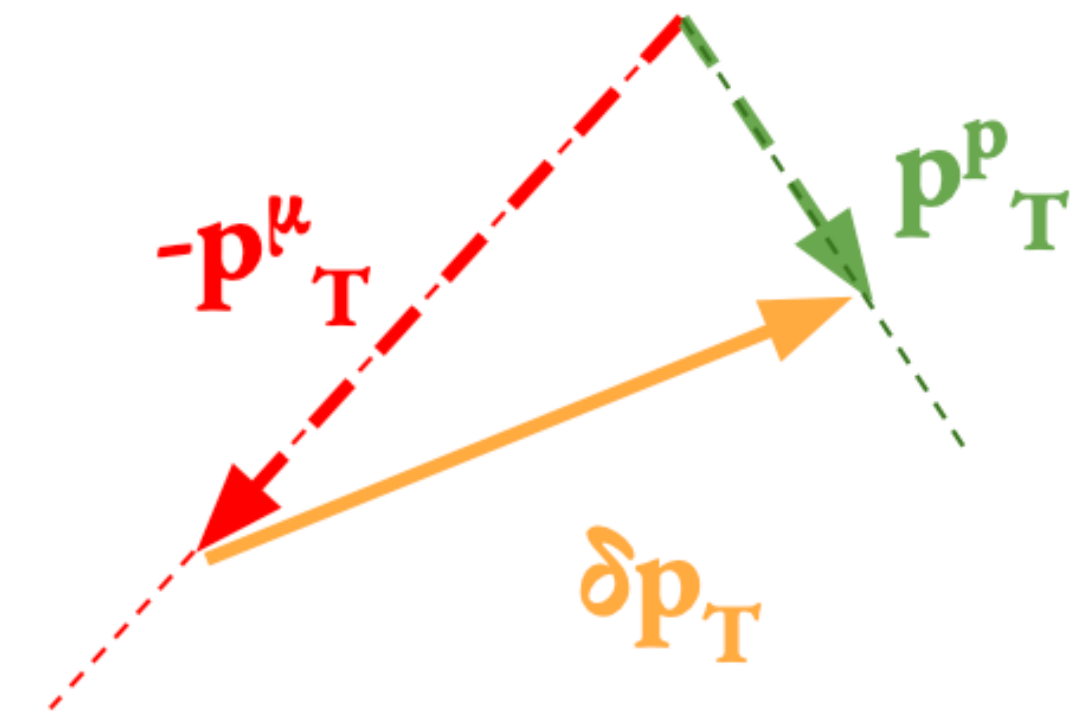


ν_{μ} CC 1p0 π TKI

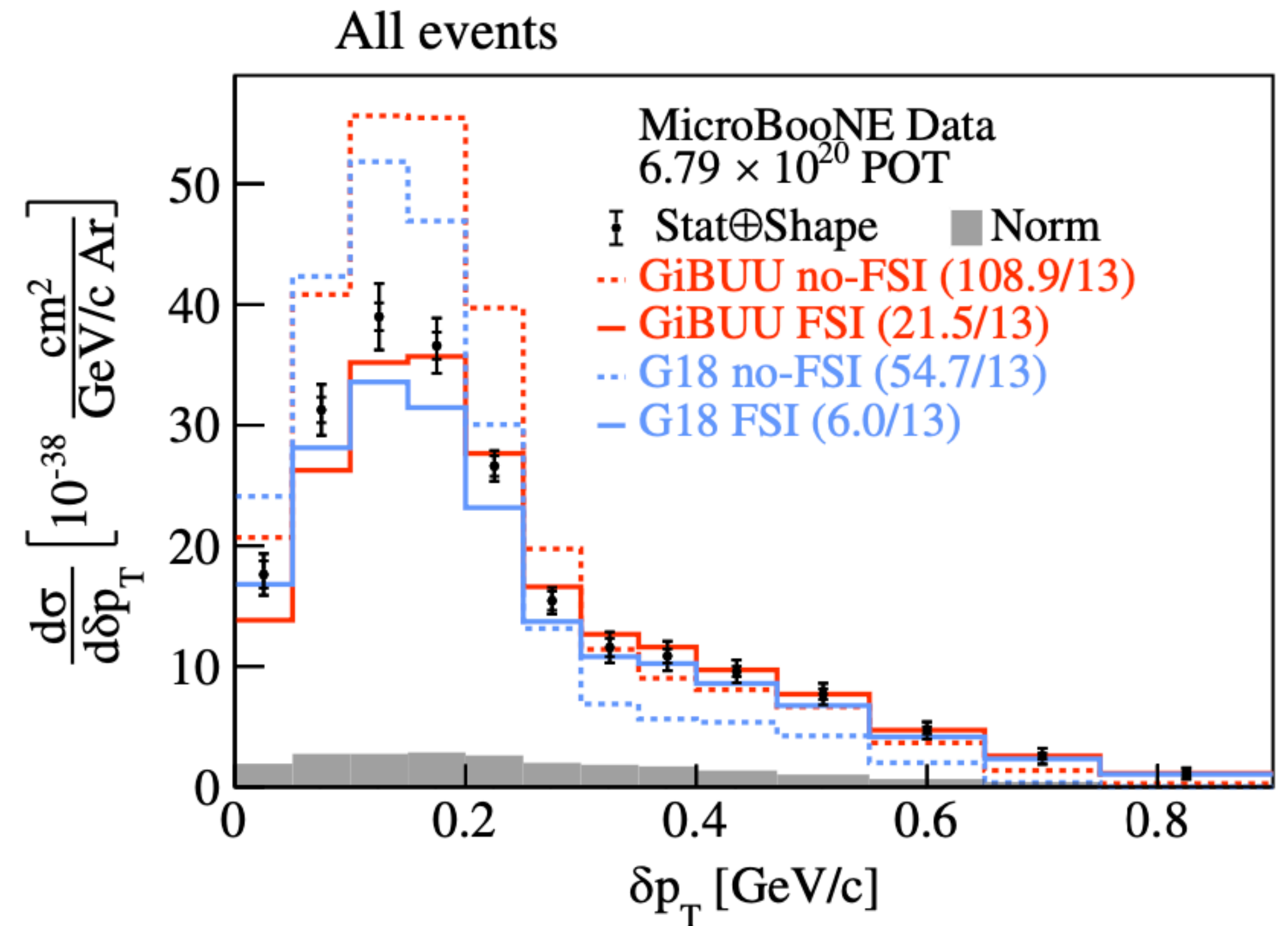
- But in reality, our CCQE events do not involve a free neutron at rest, they involve a complex heavy nucleus
 - The struck nucleon can have nonzero initial momentum
 - The outgoing proton can undergo final state interactions
 - Increasing our understanding of initial-nucleon states and final state interactions are very important for a wide variety of neutrino interactions beyond just ν_{μ} CC 1p0 π (for oscillation and other BSM searches)
- So, the total momentum of the muon-proton system can have a nonzero transverse component δp_T (Transverse Kinematic Imbalance, TKI)



ν_{μ} CC 1p0 π TKI



- We measure a cross section in this δp_T value, which has significant sensitivity to final state interactions

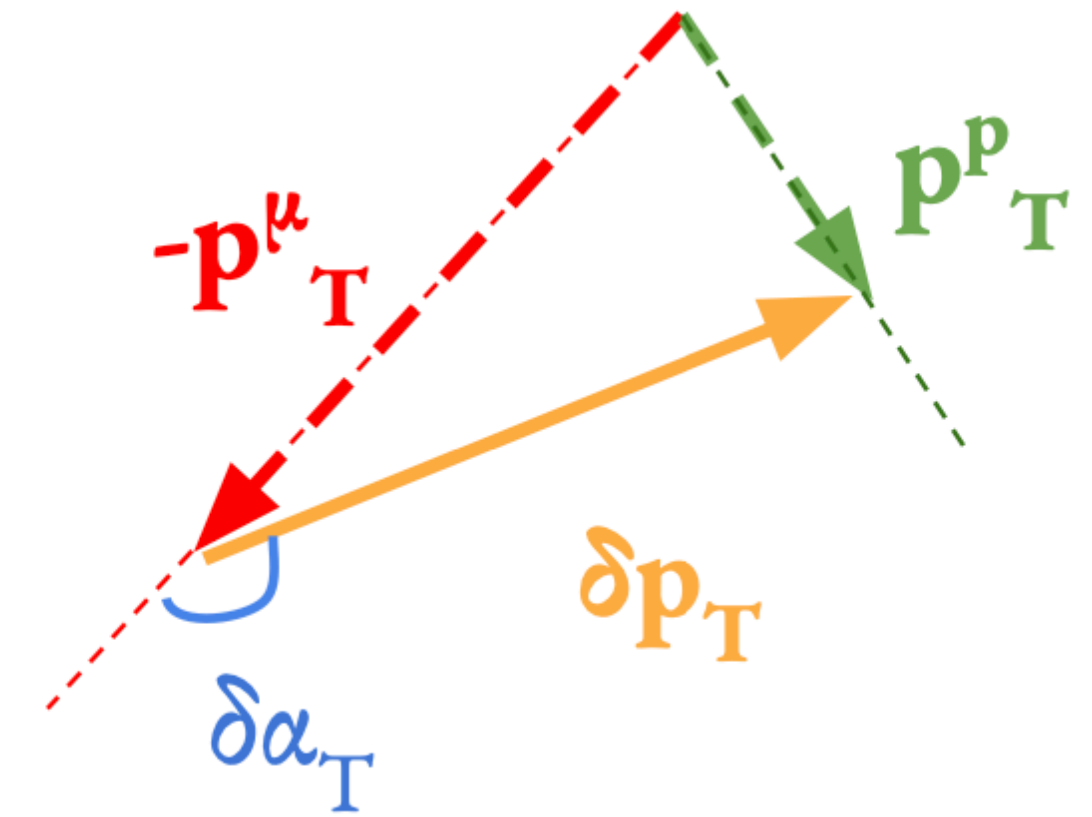
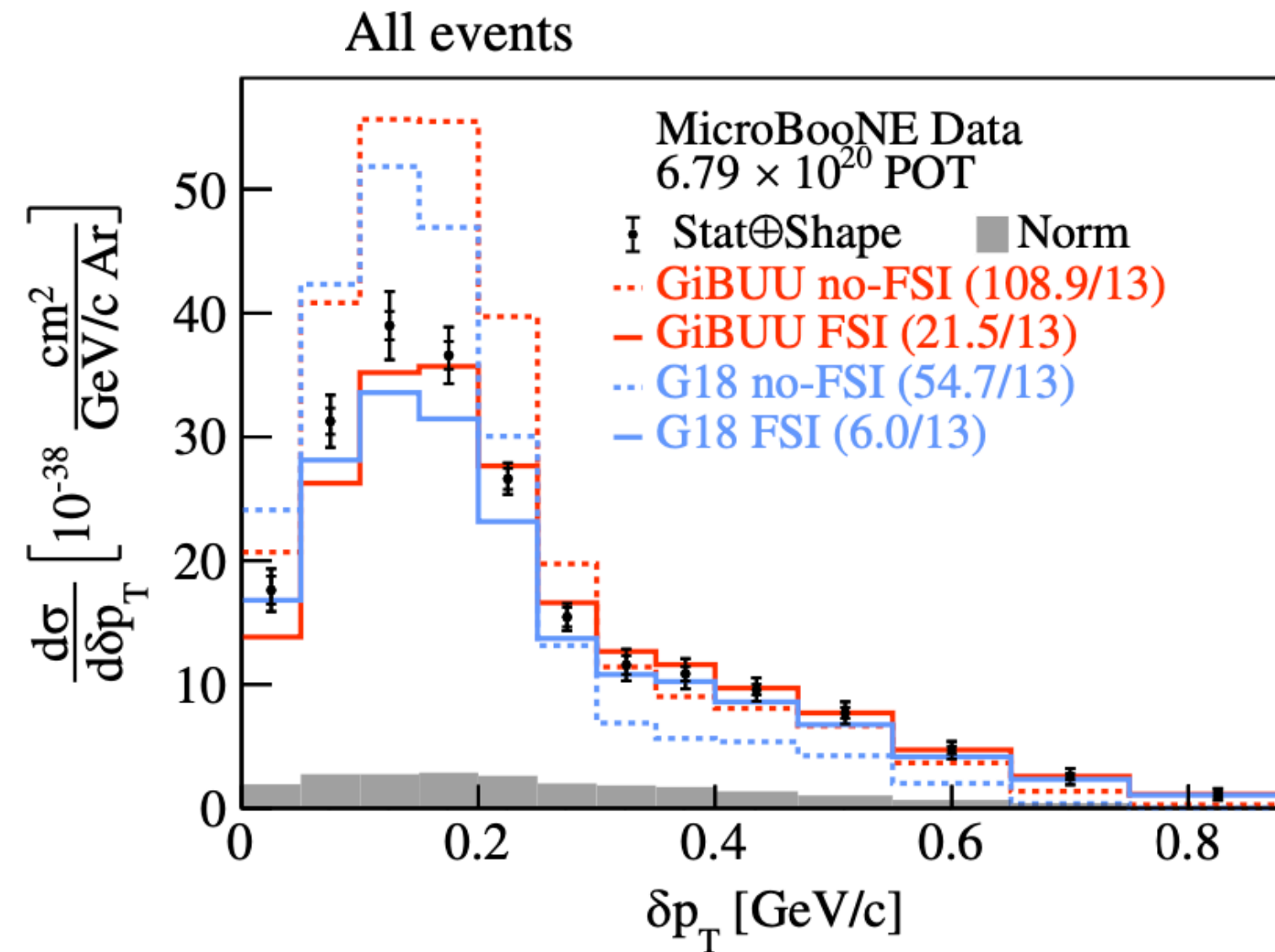


ν_{μ} CC 1p0 π TKI

- We can expand this to multiple dimensions, looking at the angle between these transverse momentum vectors
- We get even more model discrimination power

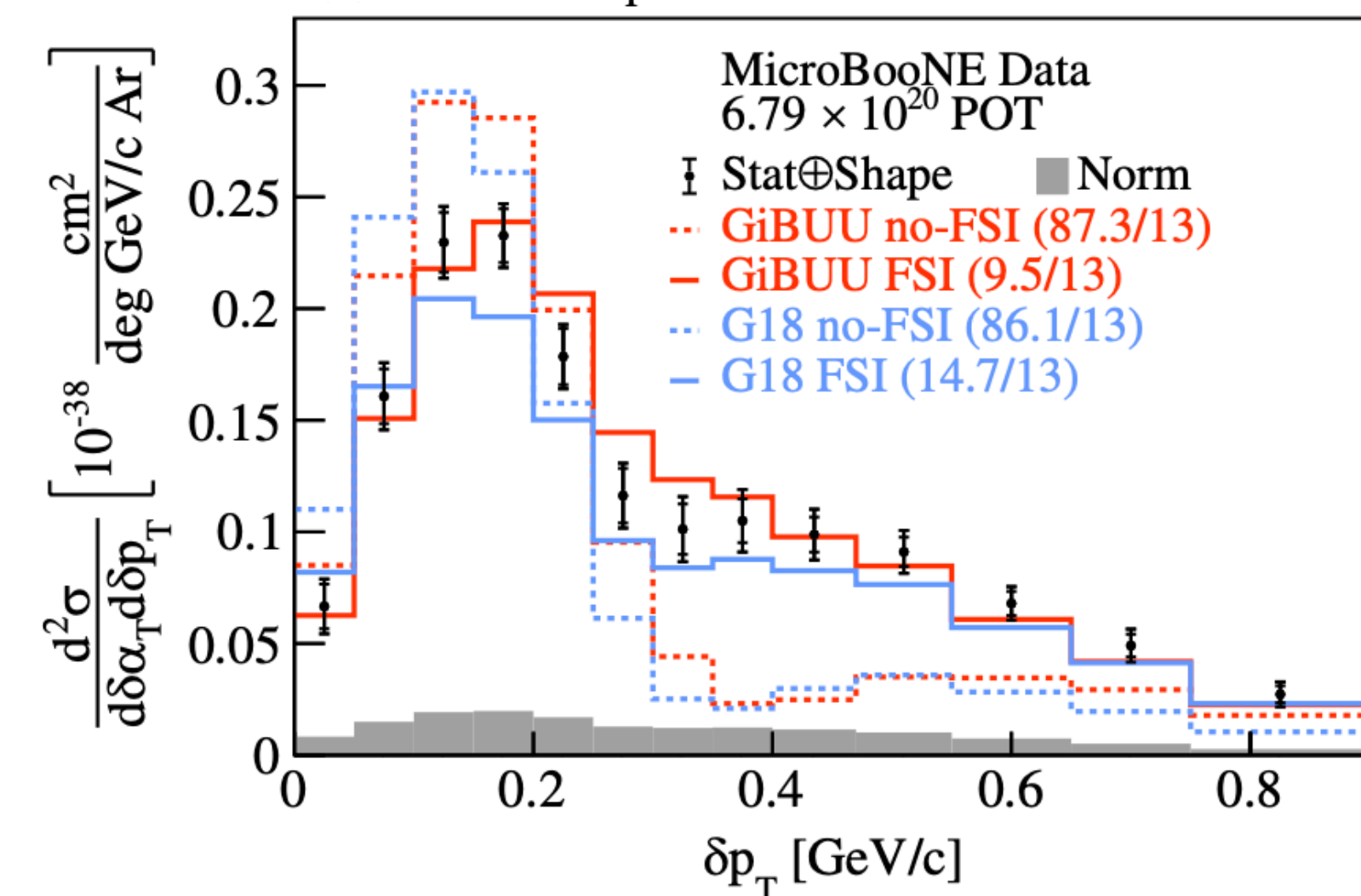
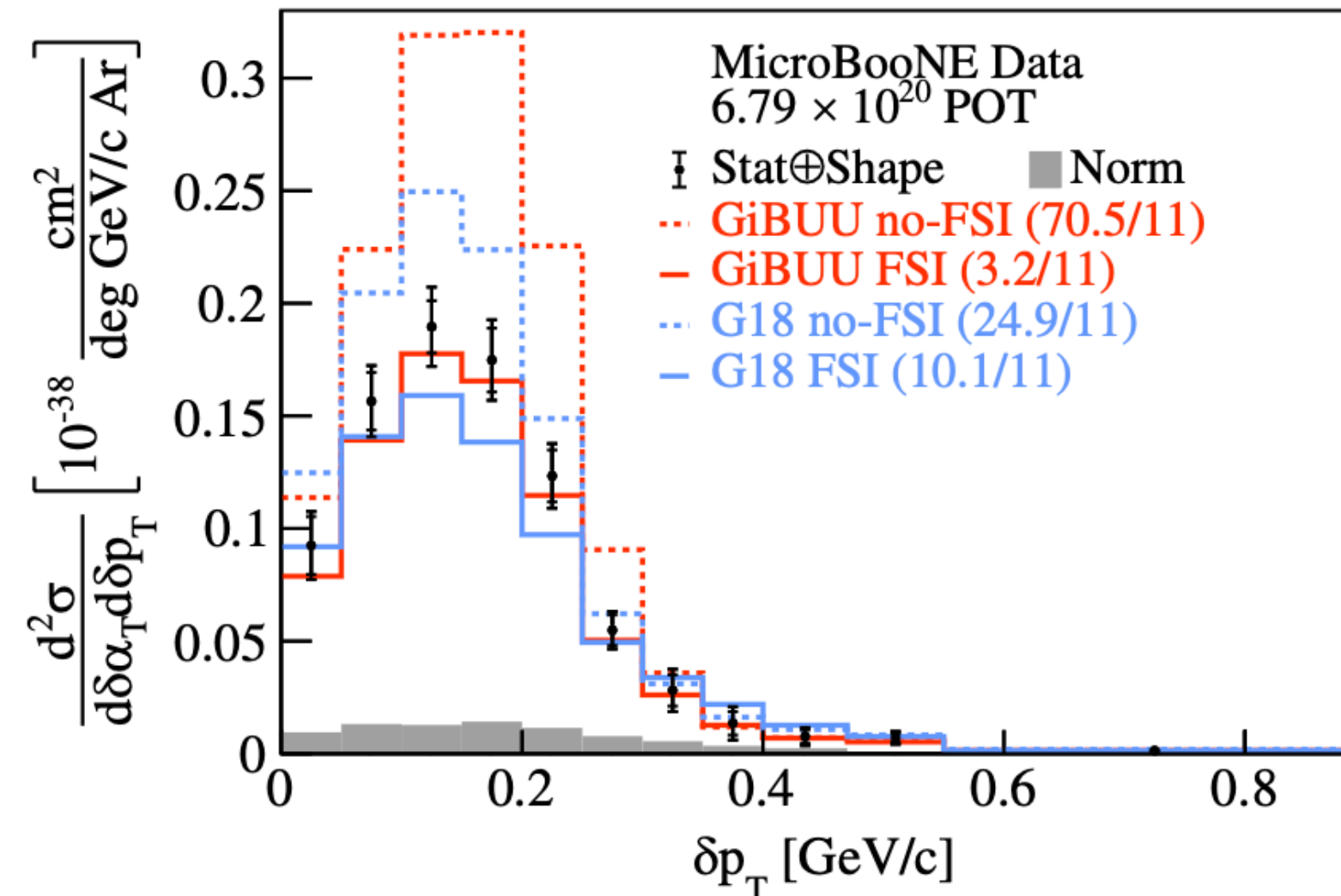
QE-dominated

MEC/RES/FSI-dominated



$\delta\alpha_T < 45^\circ$

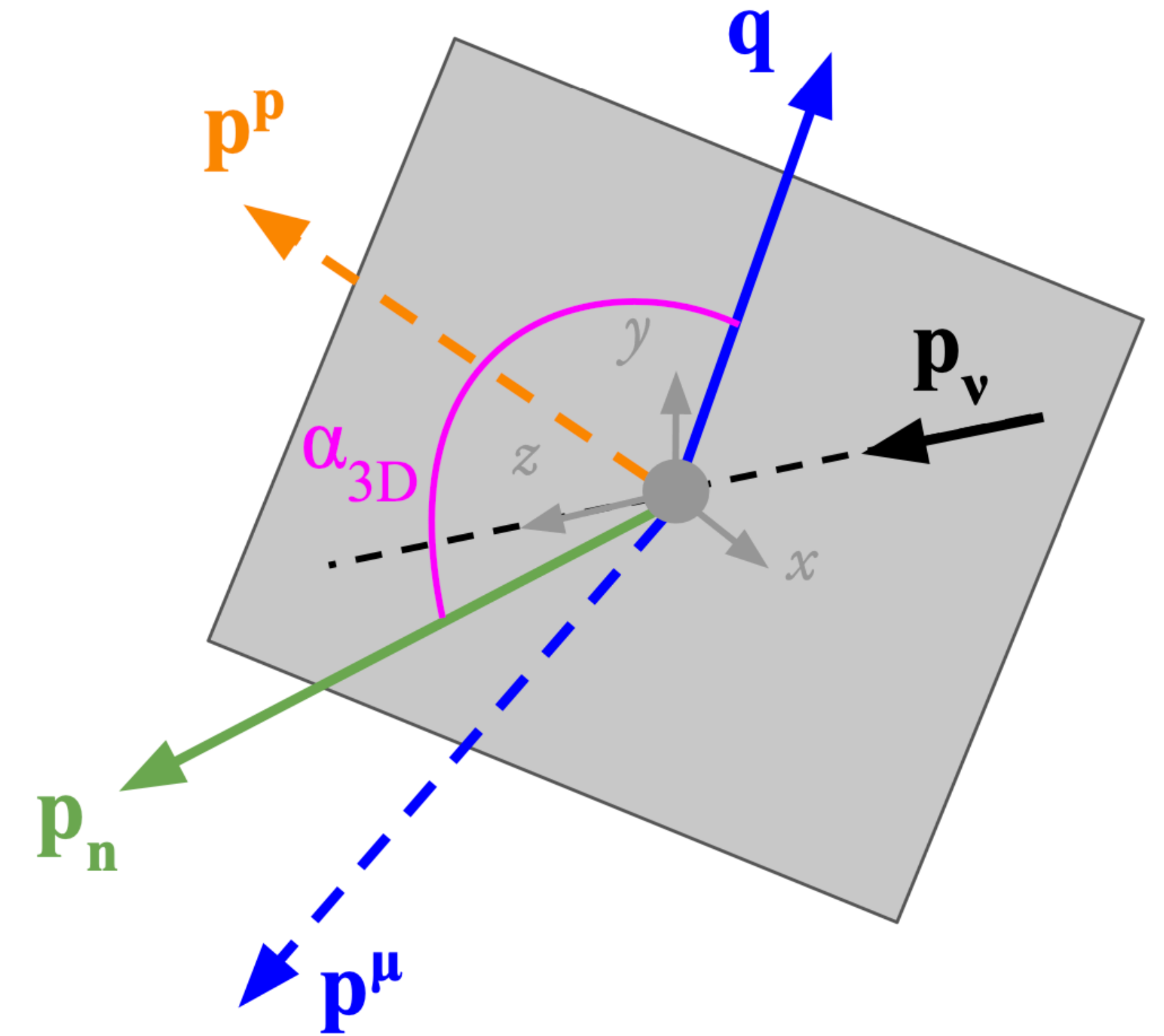
$135^\circ < \delta\alpha_T < 180^\circ$



ν_{μ} CC 1p0 π GKI

\vec{q} : momentum transfer to the hadronic system

- That was considering the momentum in the transverse plane, where we would naively expect the momentum to be balanced with $\delta p_T = 0$
- However, we measure E_{μ} and E_p , so we know E_{ν}^* , so we know the longitudinal momentum as well and can compare with a measured value
- We expand to 3D, out of the transverse plane, to consider the total momentum imbalance, p_n (Generalized Kinematic Imbalance, GKI)

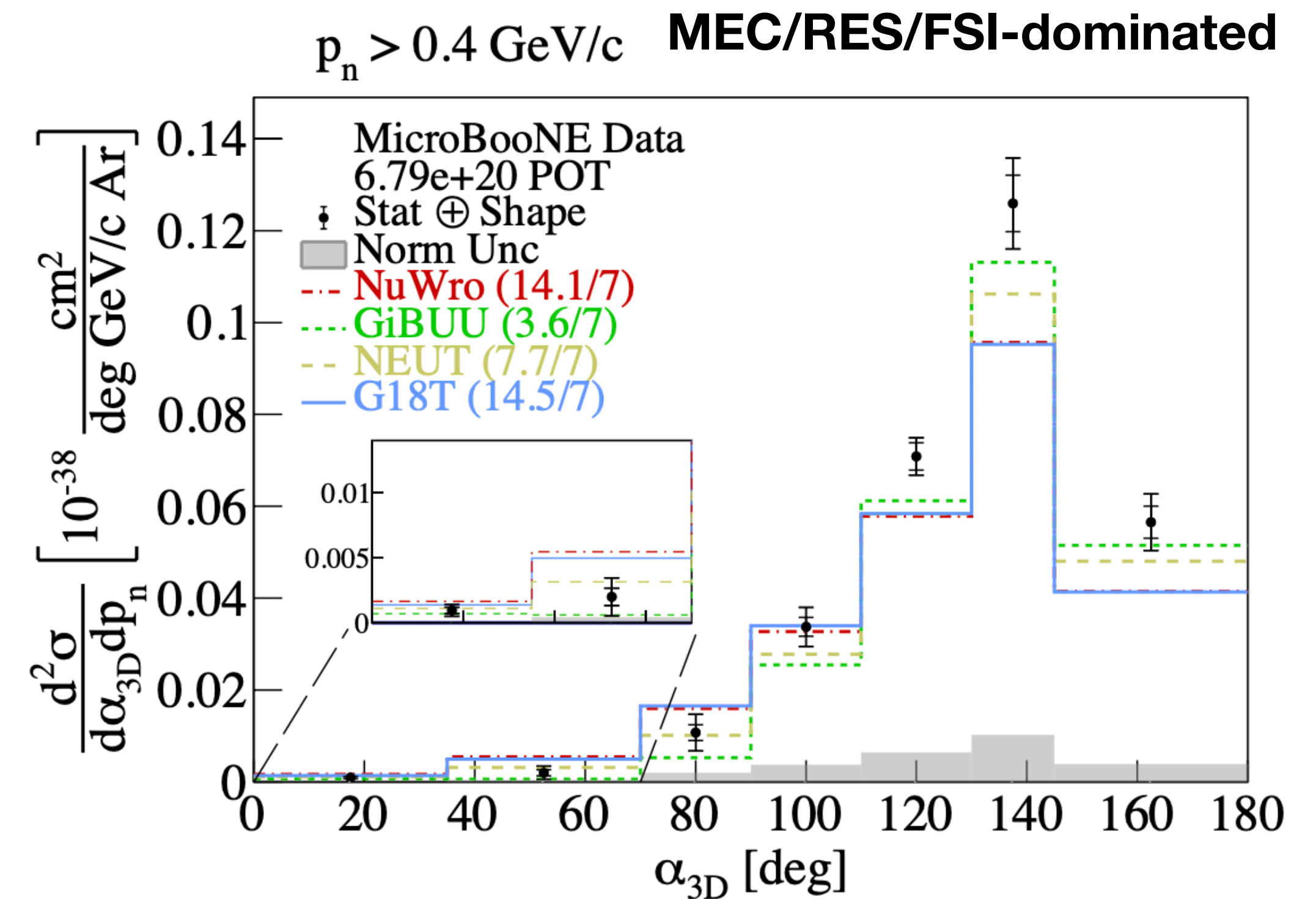
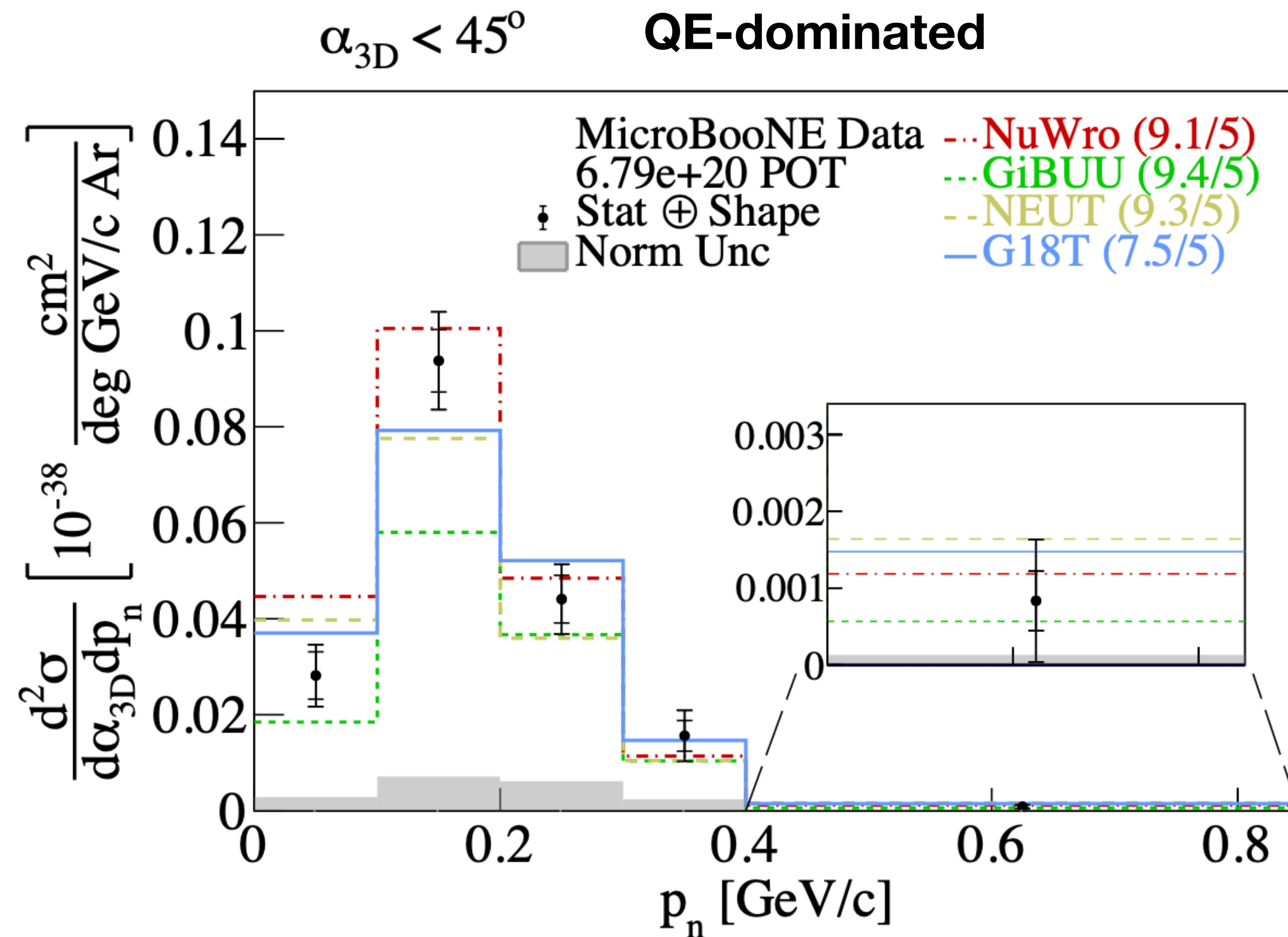


*(assuming not much $E_{\text{had}}^{\text{invis}}$ on average for this topology)

ν_μ CC 1p0 π GKI

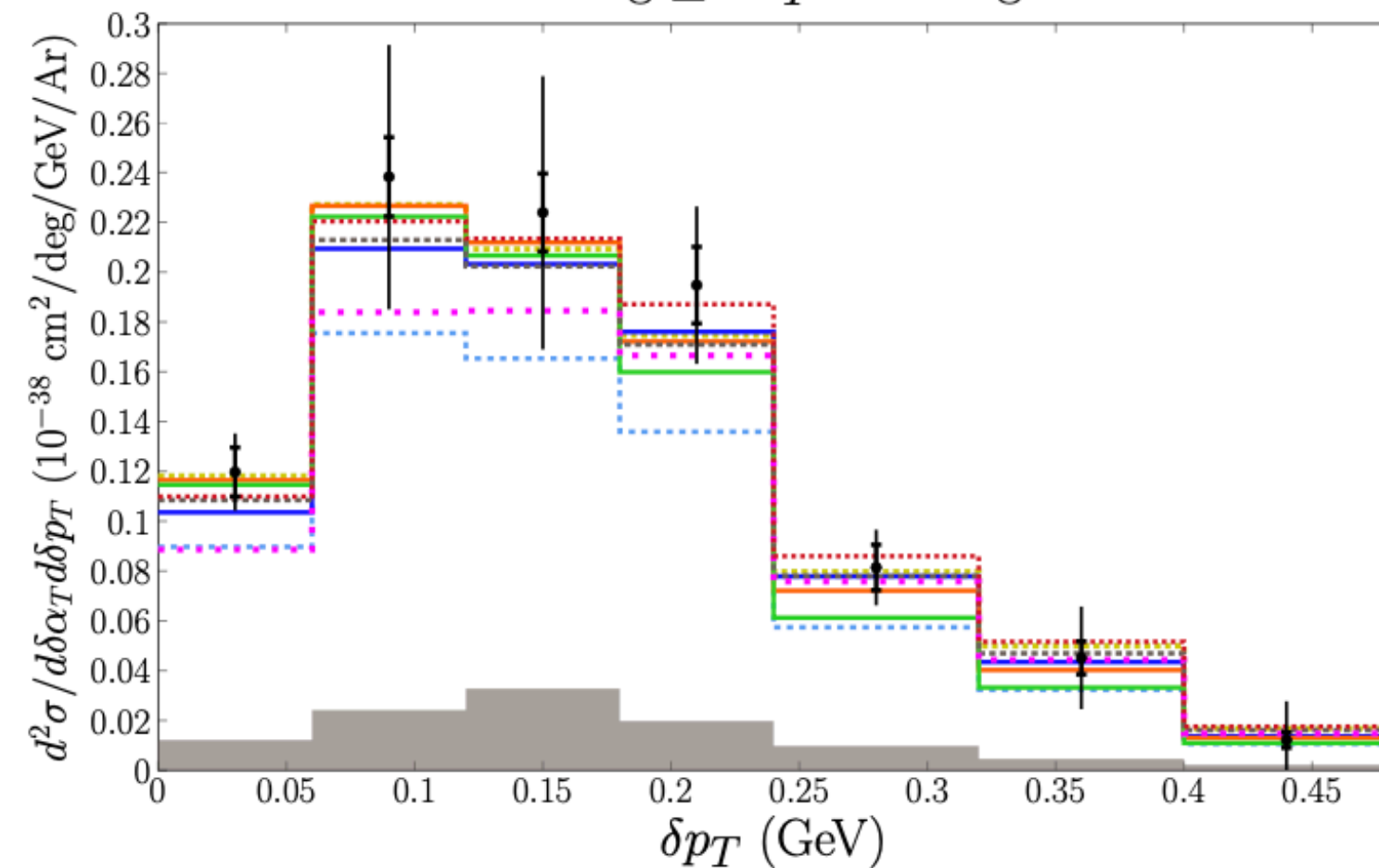
- We measure this 2D cross section, and can look at slices in p_n or α_{3D} , with large model discrimination power
- GENIE performs best in QE-dominated regions, while GiBUU performs best in FSI-dominated regions

Recall that there were also indications of better GiBUU FSI from the ν_μ CC inclusive K_p cross section!

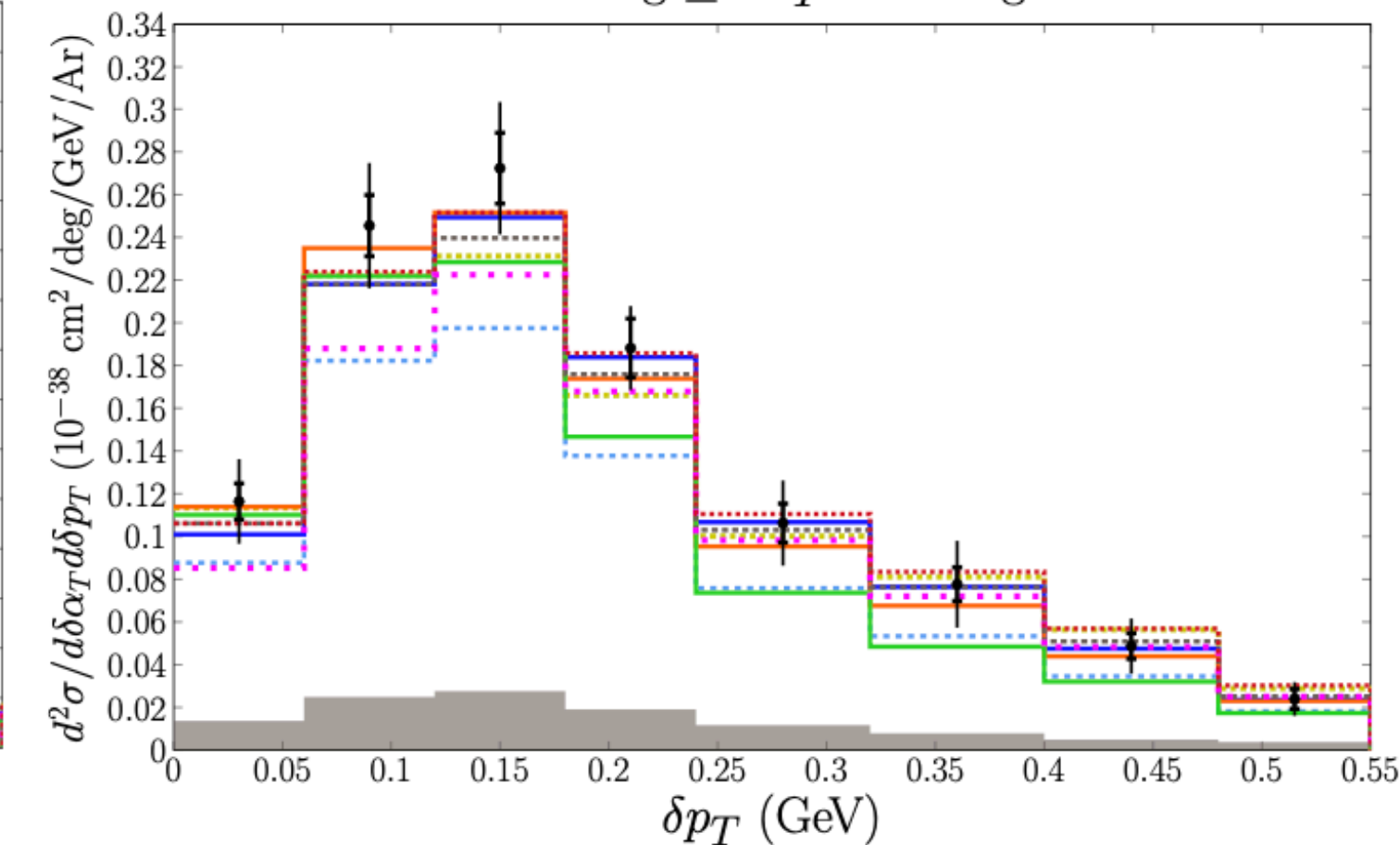


ν_{μ} CC Np0 π

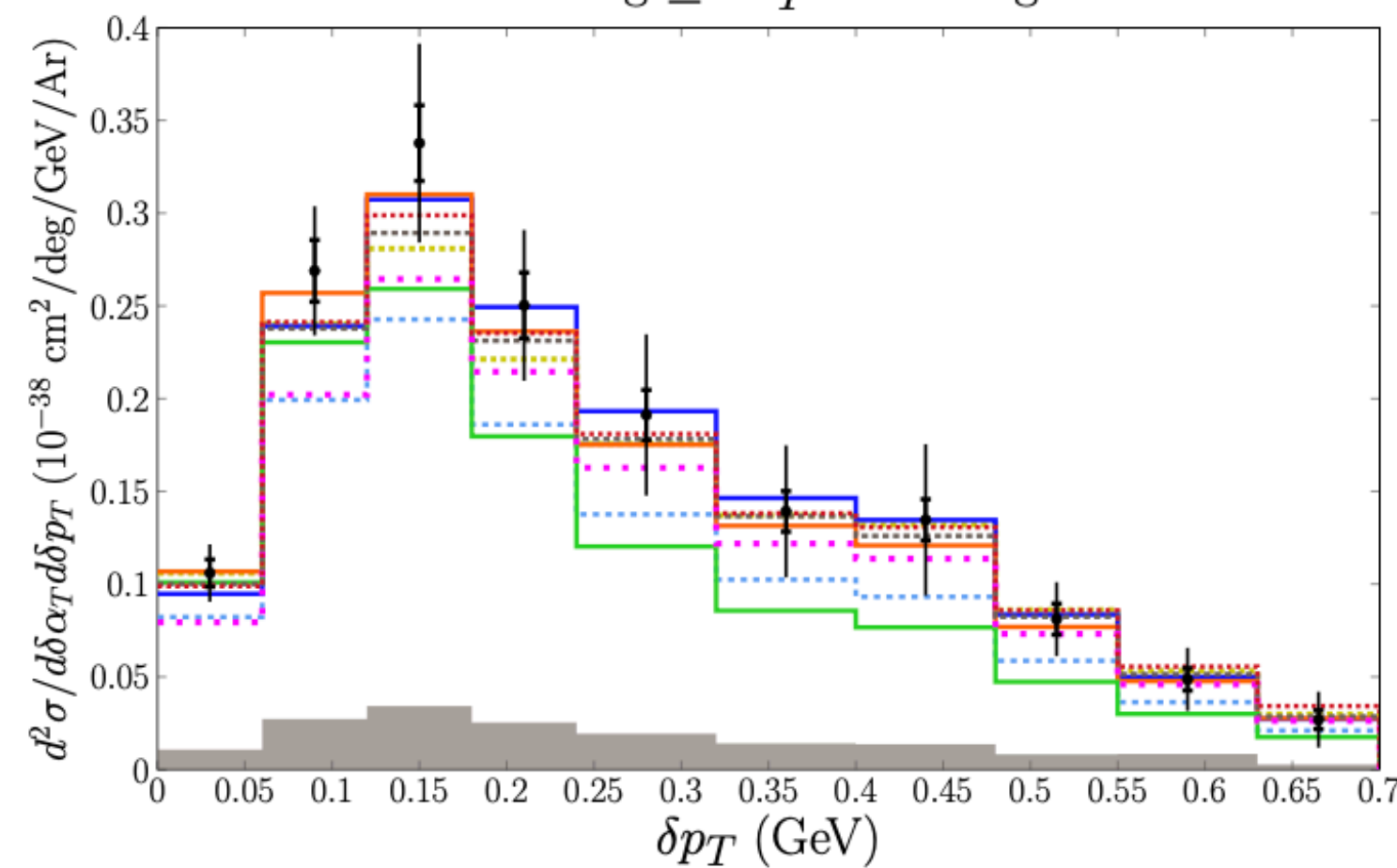
$0 \text{ deg} \leq \delta\alpha_T < 45 \text{ deg}$



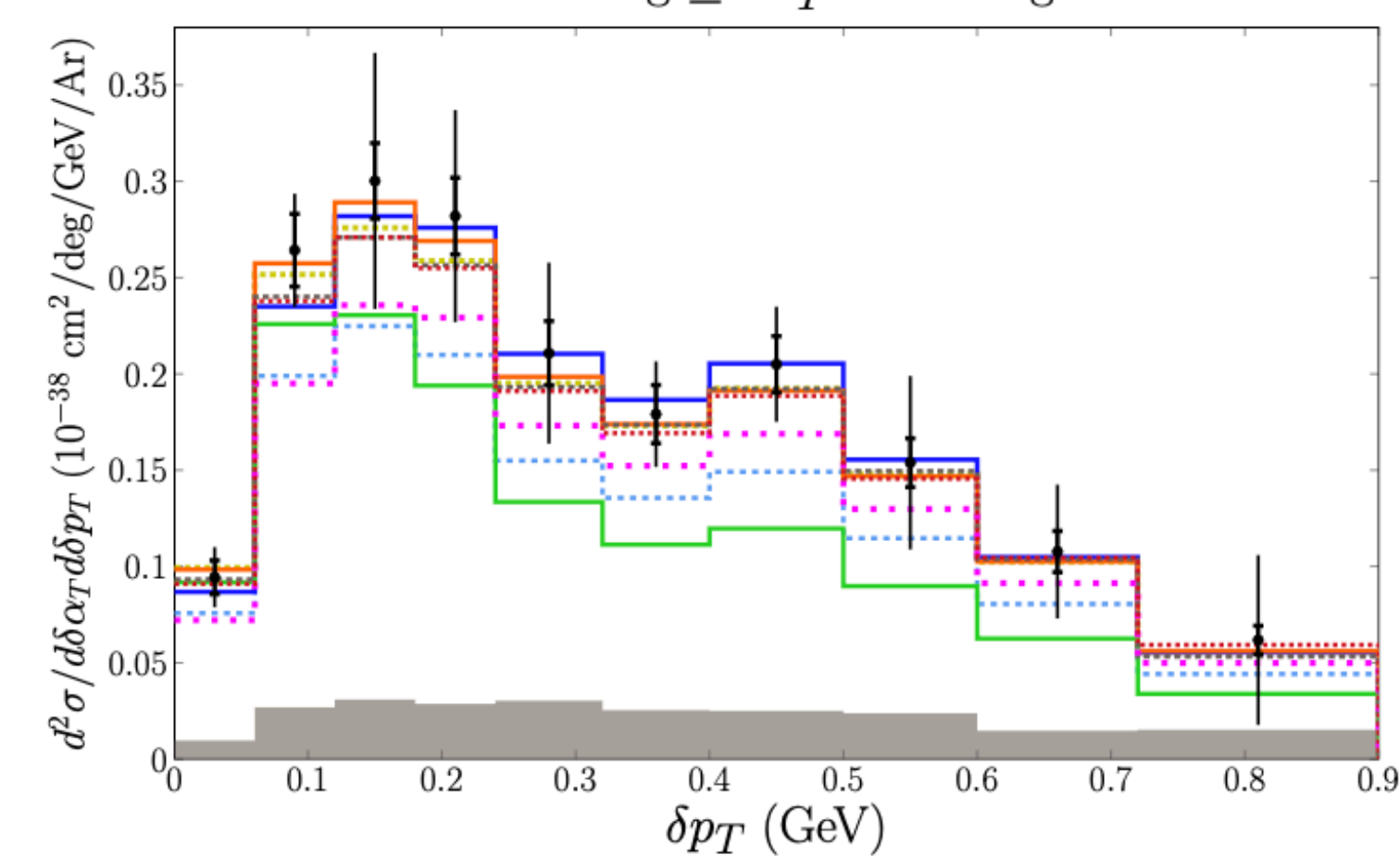
$45 \text{ deg} \leq \delta\alpha_T < 90 \text{ deg}$



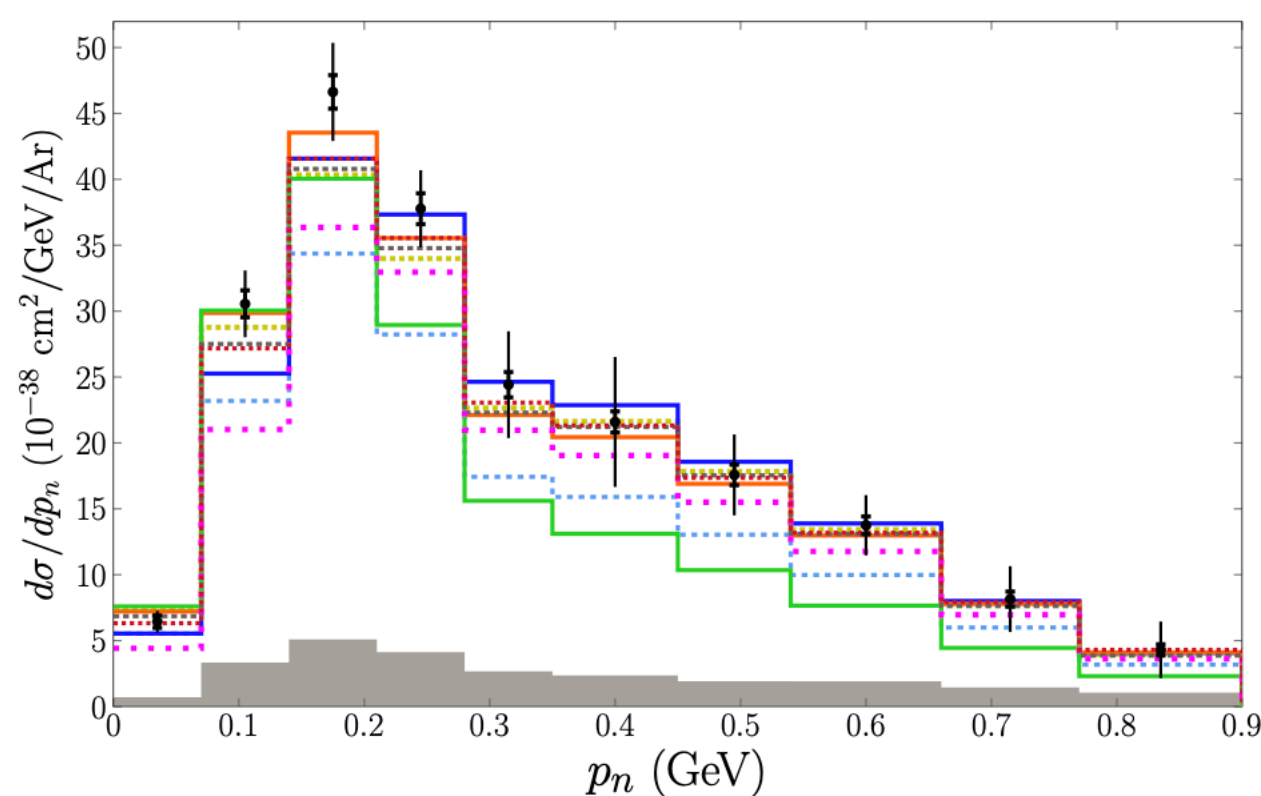
$90 \text{ deg} \leq \delta\alpha_T < 135 \text{ deg}$



$135 \text{ deg} \leq \delta\alpha_T < 180 \text{ deg}$



- Studied TKI and GKI variables for this topology as well, using the highest energy proton
- We report correlations between a large set of extracted cross sections



MicroBooNE 6.79×10^{20} POT

◆ BNB data	Norm unc.
--- GENIE 2.12.10	12.5/10
--- GENIE 3.0.6	14.7/10
--- GiBUU 2021.1	5.28/10
--- NEUT 5.6.0	4.81/10
--- NuWro 19.02.2	23.1/10
--- MicroBooNE Tune	7.57/10
--- GENIE 3.2.0 G18_02a	12.7/10
--- GENIE 3.2.0 G21_11b	4.56/10

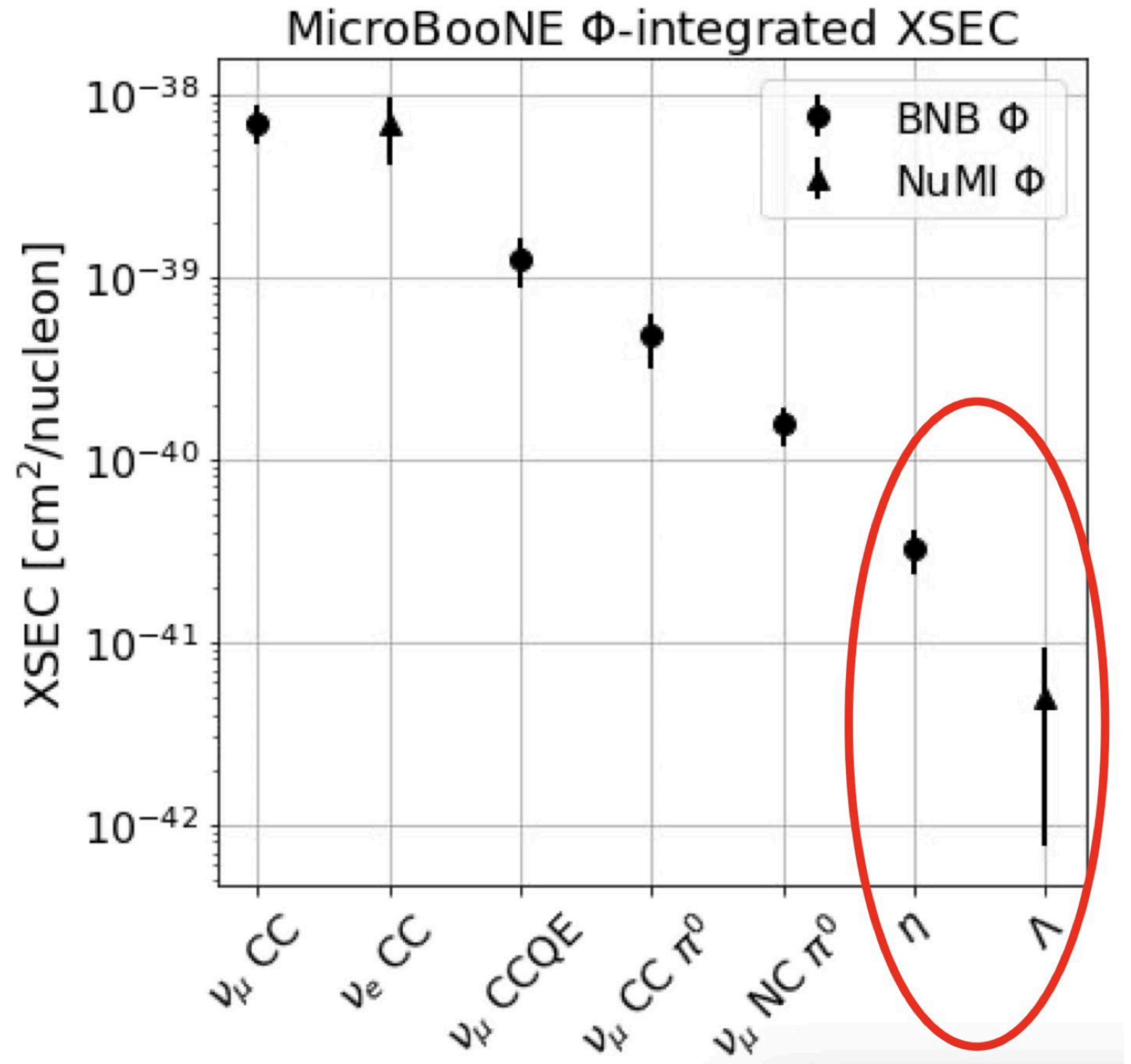
MicroBooNE 6.79×10^{20} POT

◆ BNB data	Norm unc.
--- GENIE 2.12.10	8.57/39
--- GENIE 3.0.6	17.4/39
--- GiBUU 2021.1	5.02/39
--- NEUT 5.6.0	3.68/39
--- NuWro 19.02.2	25.5/39
--- MicroBooNE Tune	6.34/39
--- GENIE 3.2.0 G18_02a	16.8/39
--- GENIE 3.2.0 G21_11b	9.09/39

[arXiv:2403.19574](https://arxiv.org/abs/2403.19574)

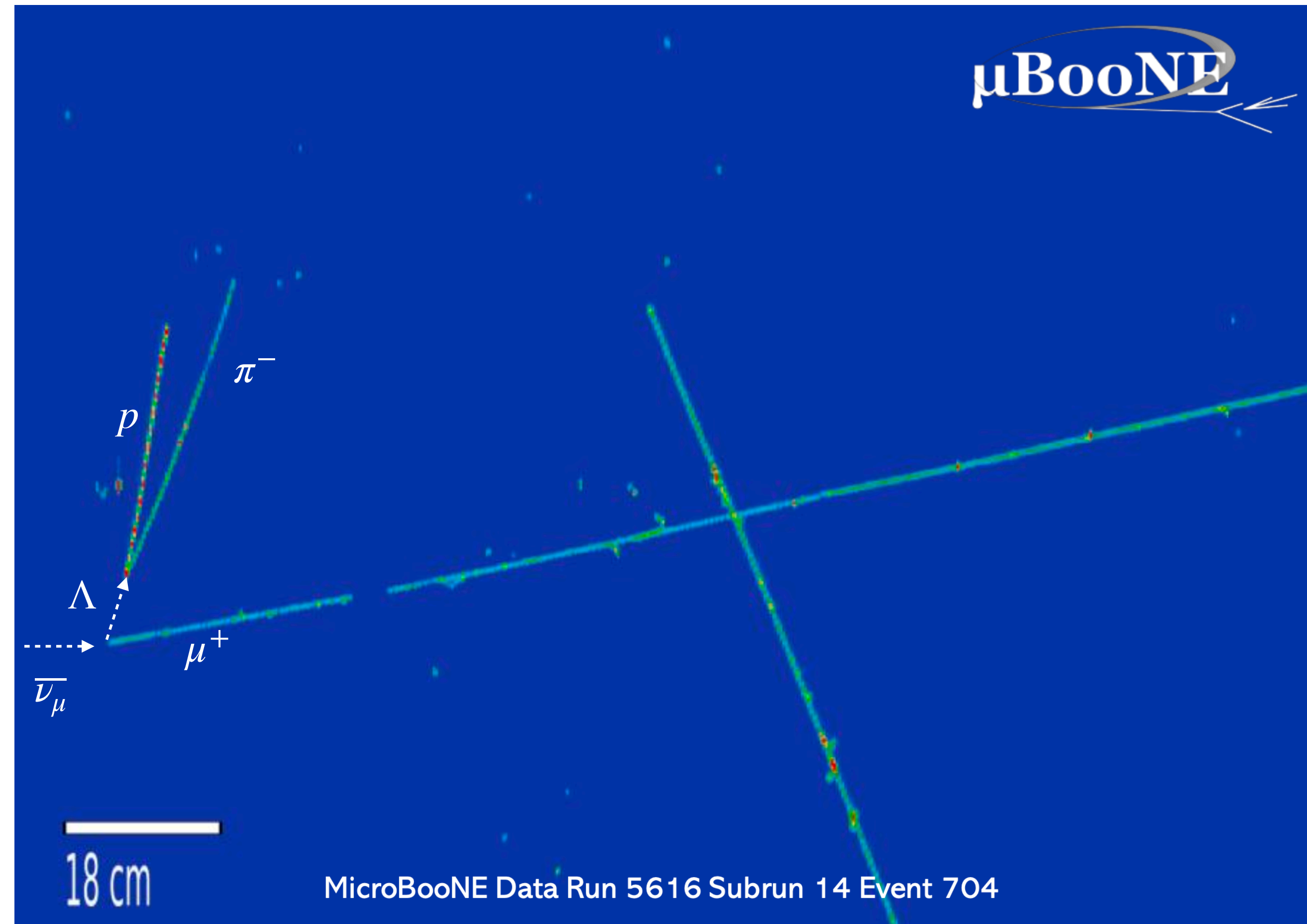
Rare Channels

- Neutrino interactions are famously rare in the first place
- Interactions producing these new final state particles have cross sections ~ 100 - 1000 times smaller than the inclusive cross section



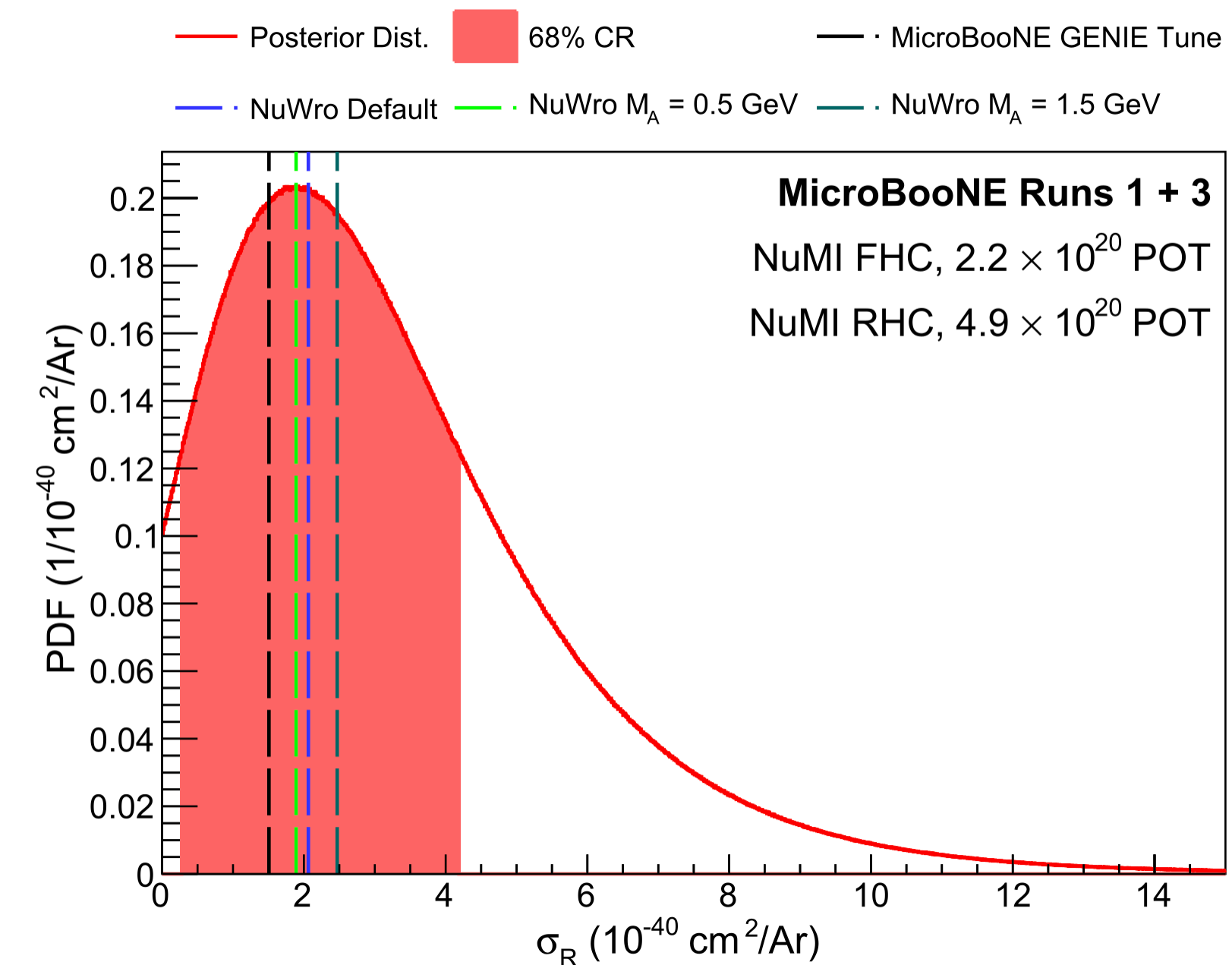
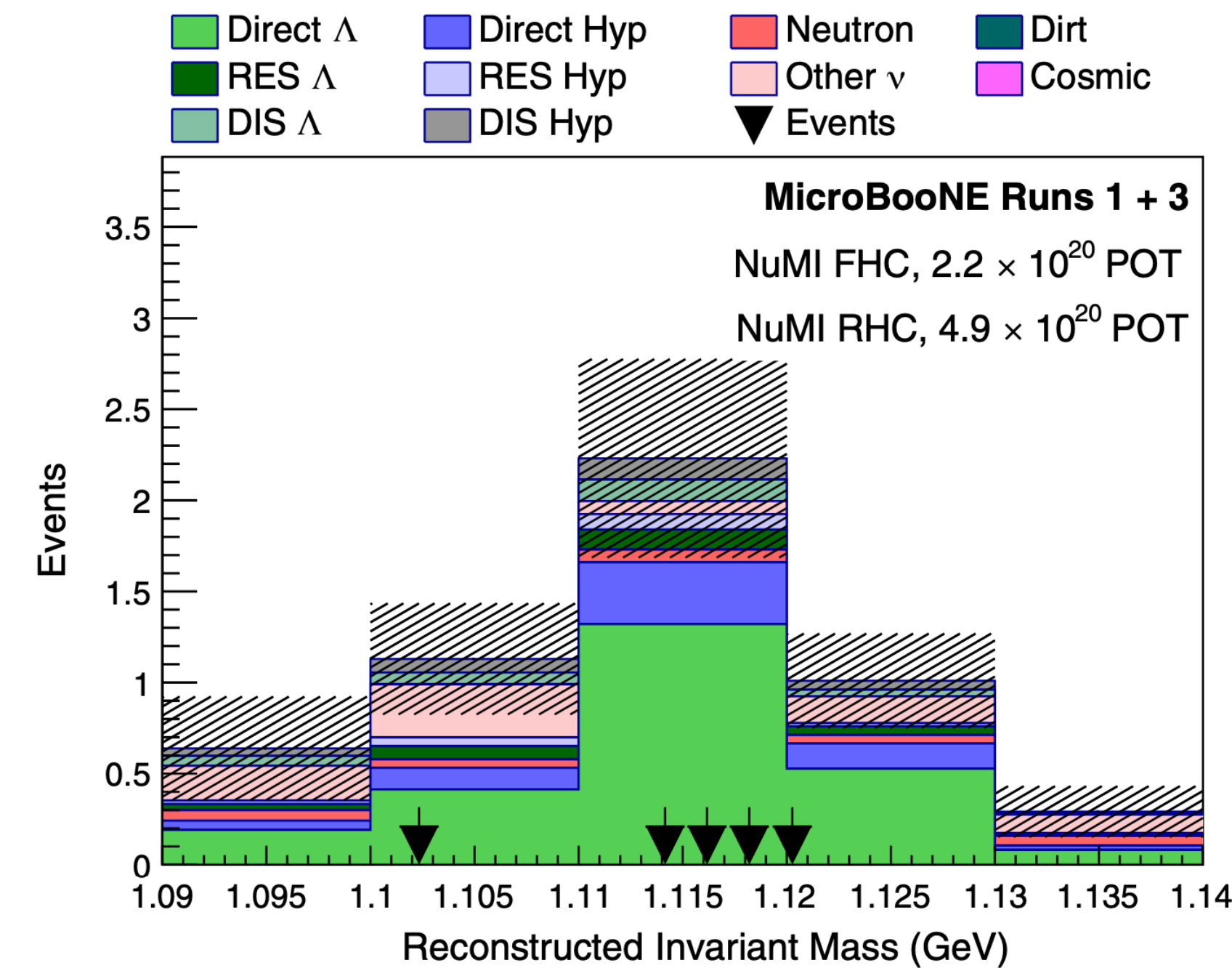
Λ Production

- Cabibbo-suppressed counterpart of CCQE interactions: $\bar{\nu}_\mu + Ar \rightarrow \mu^+ + \Lambda + X$
 - Then $\Lambda \rightarrow p + \pi^-$
- “Hyperon puzzle”, studying these particles could have consequences for neutron star populations
- Only a handful of old bubble chamber observations of this process
- Secondary $\Lambda + Ar \rightarrow K^+ + X$ is a potential background to $p \rightarrow K + \nu$ proton decay searches
- Sensitive to nucleon form factors, hyperon-nucleus potentials, and final state interactions
- Exclusively due to $\bar{\nu}$, can constrain antineutrino content in a neutrino beam



Λ Production

- We select five data events
- Invariant mass is consistent with the Λ mass of 1116 MeV
- Relatively low statistics, used about 1/4 of MicroBooNE NuMI data
- We report a measurement rather than an exclusion



η Production

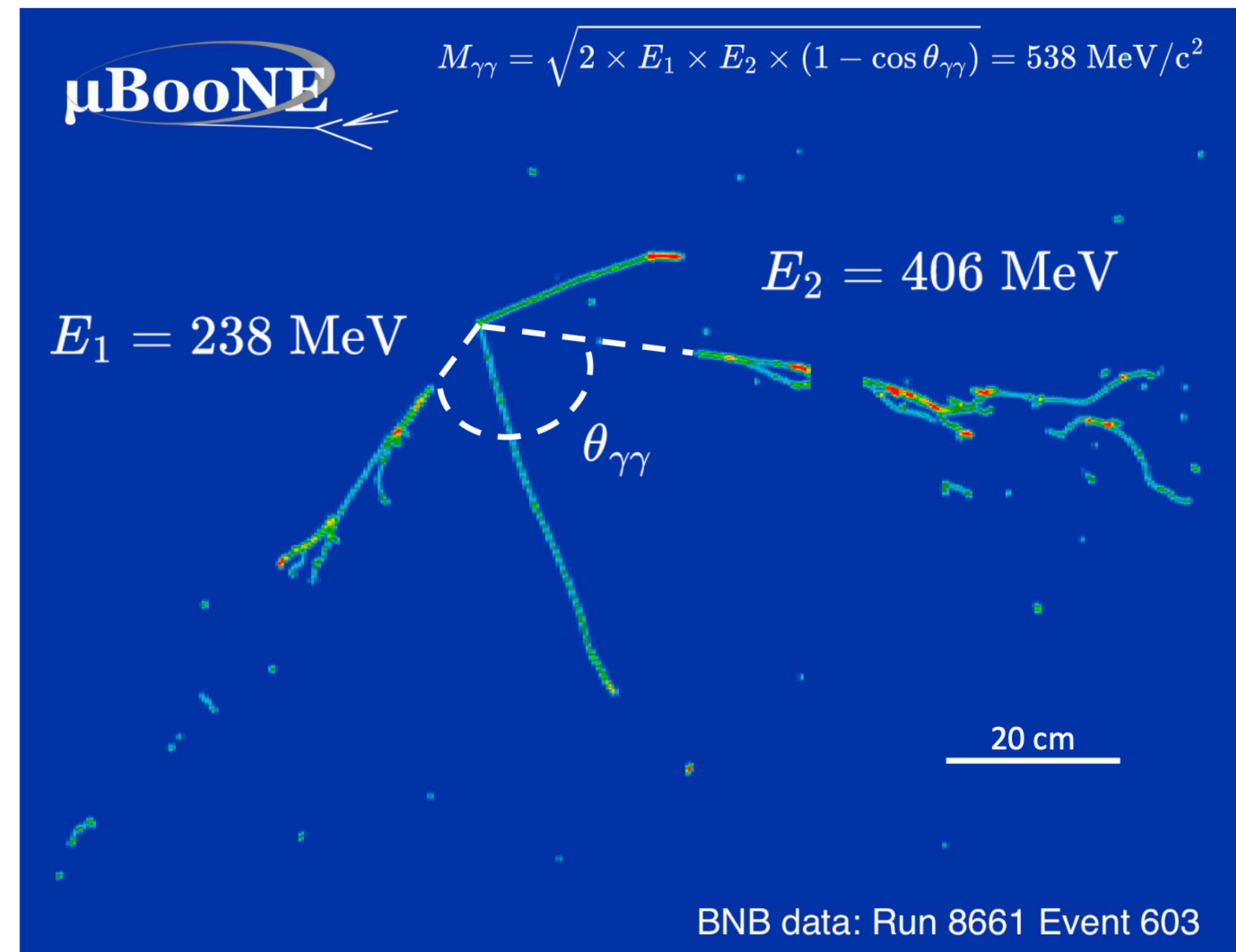
- By studying rarely produced η mesons which behave like a heavier π^0 , we can get a unique handle on higher mass resonances and their decays
- $p \rightarrow e^+ + \eta$ is an important potential proton decay channel, which has already been studied in Super-K
- New shower energy calibration scale, with an invariant mass of 548 MeV (compared to 135 MeV π^0)

Typical resonant neutrino interaction:

$$\nu + p \rightarrow \Delta(1232) \rightarrow p + \pi^0 \rightarrow p + \gamma + \gamma$$

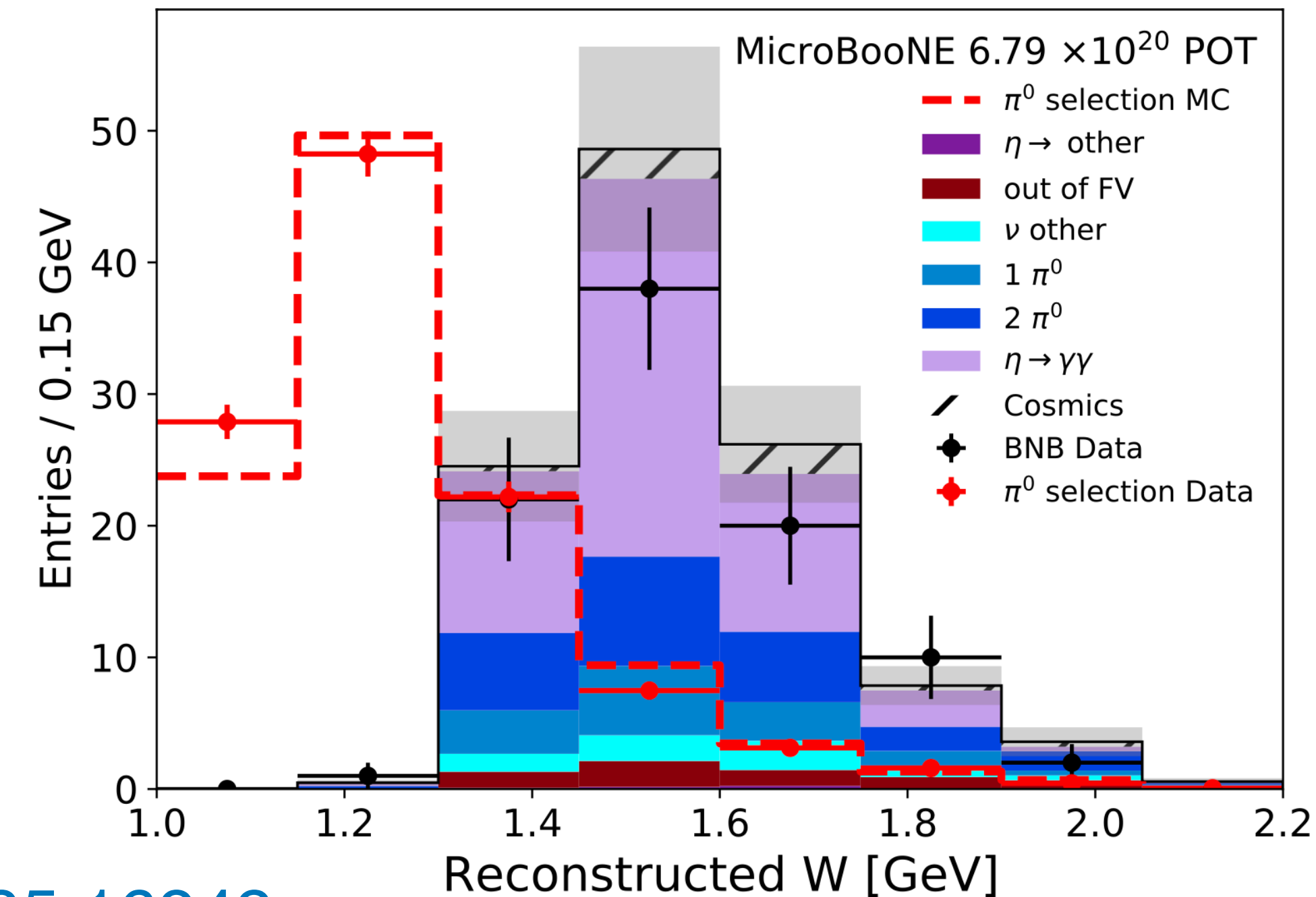
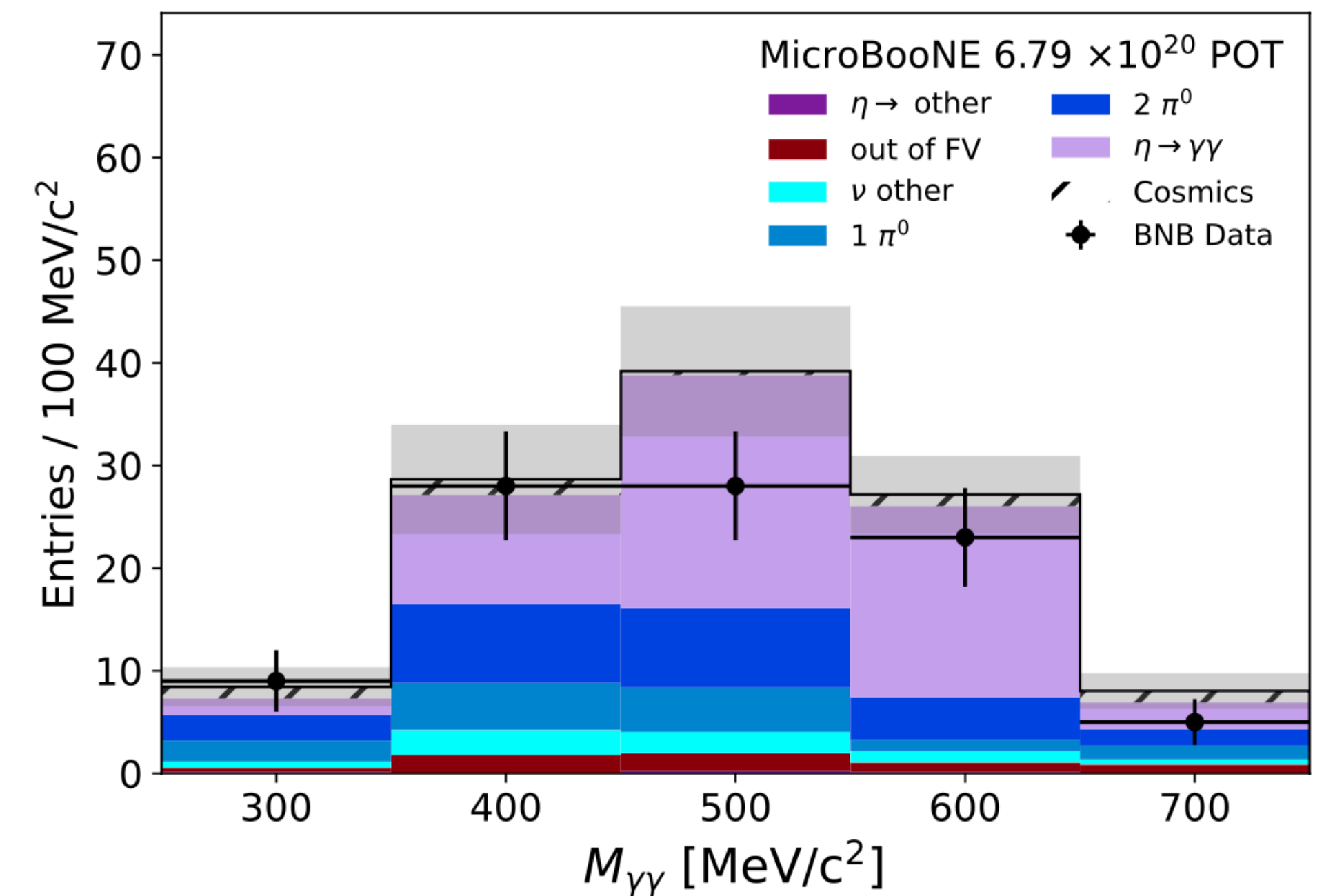
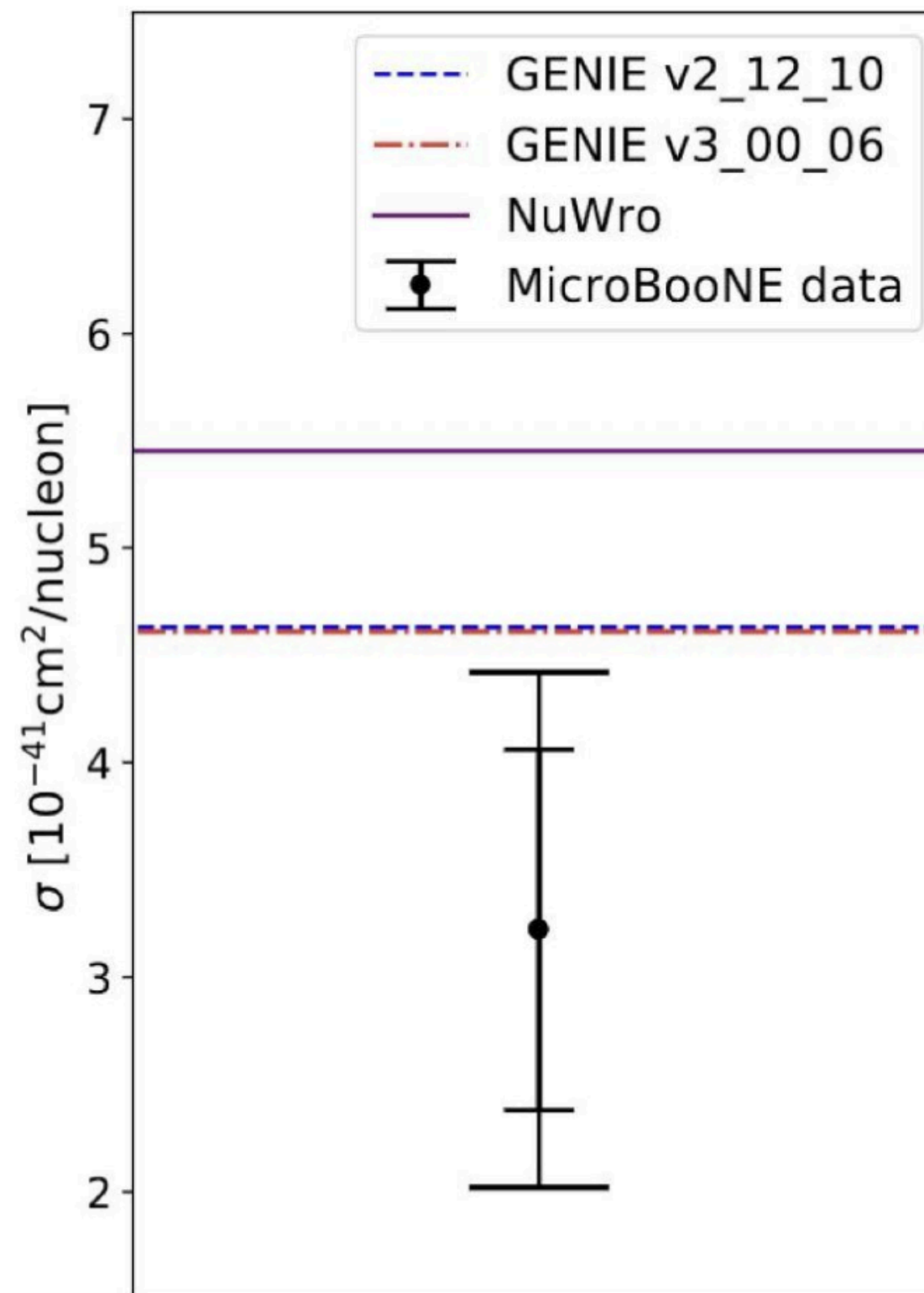
Possible higher mass resonant neutrino interaction:

$$\nu + p \rightarrow N(1535) \rightarrow p + \eta \rightarrow p + \gamma + \gamma$$



η Production

- The two photon invariant mass $M_{\gamma\gamma}$ is consistent with the η mass, 548 MeV
- The hadronic system invariant mass W is consistent with the $N(1535)$ mass
- We report a measurement rather than an exclusion



Conclusions

- MicroBooNE's recent cross section results have explored a lot of different directions
 - New ways to validate the $E_\nu^{\text{true}} \rightarrow E_\nu^{\text{rec}}$ mapping for oscillation experiments
 - Important generator deficiencies for low energy protons
 - New ways to explore initial nucleon momentum and final state interactions with simple topologies
 - Two first-time observations of rare particles in neutrino-argon interactions
- Looking to the future, we are actively working on lots of cross section analyses, including:
 - Studying more new final state particles
 - $K^{+/-}$, $\pi^{+/-}$, and neutron production
 - New methods to extract more information from our data
 - Separating neutrino and antineutrino cross sections
 - Reporting more correlations between different cross section measurements
 - Joint BNB/NuMI cross sections, reducing flux uncertainties

Thanks for your attention!



Backup Slides

All Public MicroBooNE XS Measurements

- CC inclusive

- 3D ν_μ CC inclusive 0p/Np, BNB, [arXiv:2402.19281](#), [arXiv:2402.19216](#)
- 3D ν_μ CC inclusive, BNB, [arXiv:2307.06413](#)
- 1D ν_μ CC inclusive E_ν , BNB, [Phys. Rev. Lett. 128, 151801 \(2022\)](#)
- 1D ν_e CC inclusive, NuMI, [Phys. Rev. D105, L051102 \(2022\)](#)
- ν_e CC inclusive, NuMI, [Phys. Rev. D104, 052002 \(2021\)](#)
- 2D ν_μ CC inclusive, BNB, [Phys. Rev. Lett. 123, 131801 \(2019\)](#)

- Pion production

- NC π^0 , BNB, [Phys. Rev. D 107, 012004 \(2023\)](#)
- CC π^0 , BNB, [Phys. Rev. D 99, 091102\(R\) \(2019\)](#)

- Rare channels

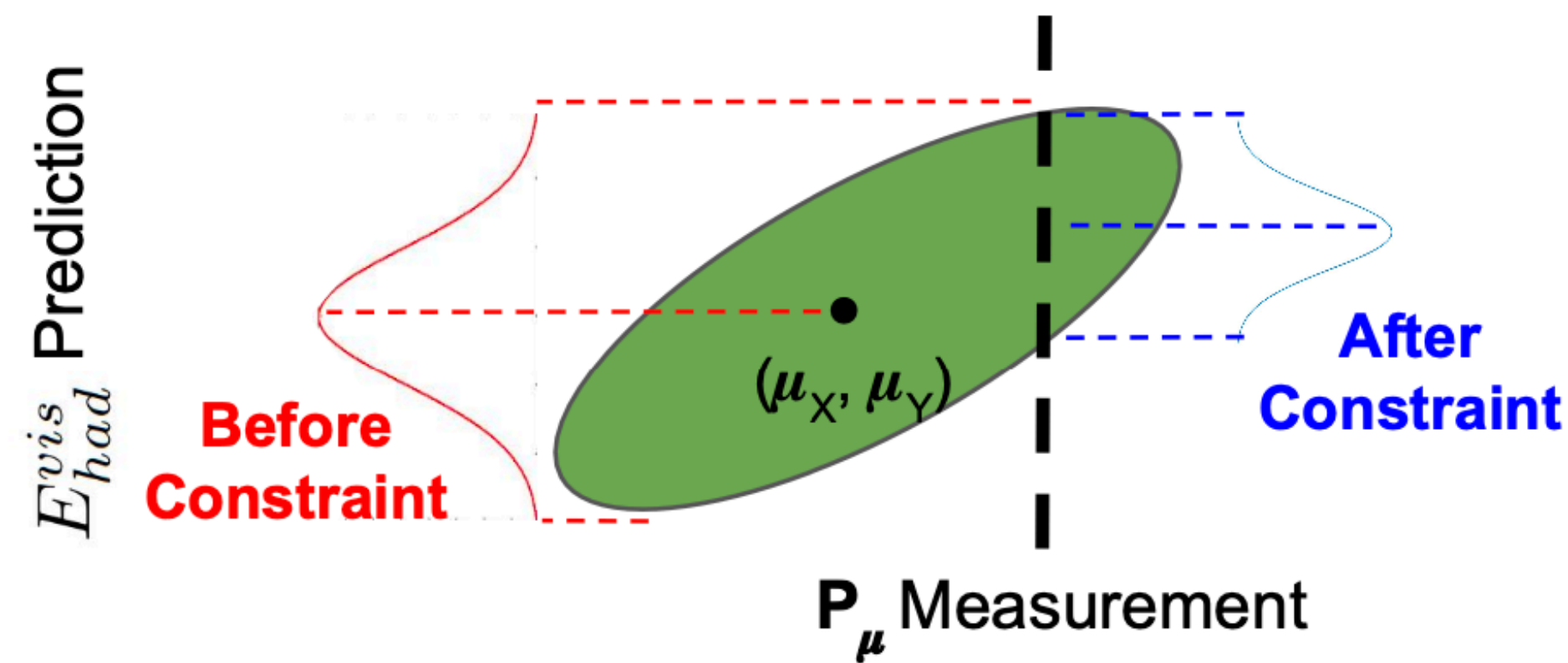
- η production, BNB, [arXiv:2305.16249](#)
- Λ production, NuMI, [Phys. Rev. Lett. 130, 231802 \(2023\)](#)
- NC $\Delta \rightarrow N\gamma$ (interpreted as a limit on the XS), BNB, [Phys. Rev. Lett. 128, 111801 \(2022\)](#)

- CC 0π

- 2D ν_μ CC Np 0π , BNB, [arXiv:2403.19574](#)
- 1D & 2D ν_μ CC 1p 0π Generalized Imbalance [arXiv:2310.06082](#), BNB, accepted by PRD
- 1D & 2D ν_μ CC 1p 0π Transverse Imbalance, BNB, [Phys. Rev. Lett. 131, 101802 \(2023\)](#), [Phys. Rev. D 108, 053002 \(2023\)](#)
- 1D ν_e CC Np 0π , BNB, [Phys. Rev. D 106, L051102 \(2022\)](#)
- 1D ν_μ CC 2p 0π , BNB, [arXiv:2211.03734](#)
- 1D ν_μ CC Np 0π , BNB, [Phys. Rev. D102, 112013 \(2020\)](#)
- 1D ν_μ CC 1p 0π , BNB, [Phys. Rev. Lett. 125, 201803 \(2020\)](#)

Conditional Constraint

Illustrative toy example:

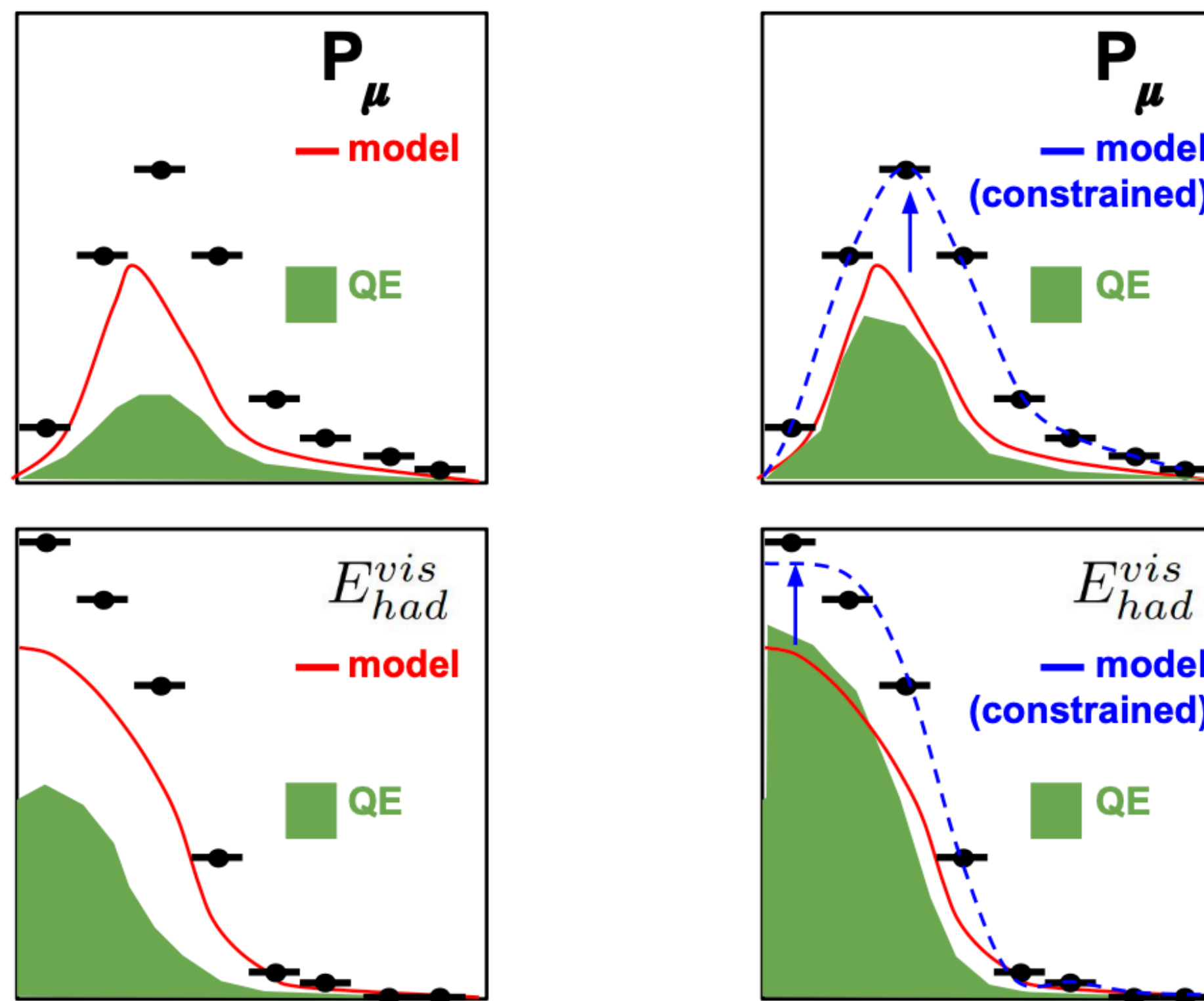


$$\Sigma = \begin{pmatrix} \Sigma^{XX} & \Sigma^{XY} \\ \Sigma^{YX} & \Sigma^{YY} \end{pmatrix}, \quad n: \text{measurement}, \quad \mu: \text{prediction}$$

$$\mu^{X,\text{const.}} = \mu^X + \Sigma^{XY} \cdot (\Sigma^{YY})^{-1} \cdot (n^Y - \mu^Y)$$

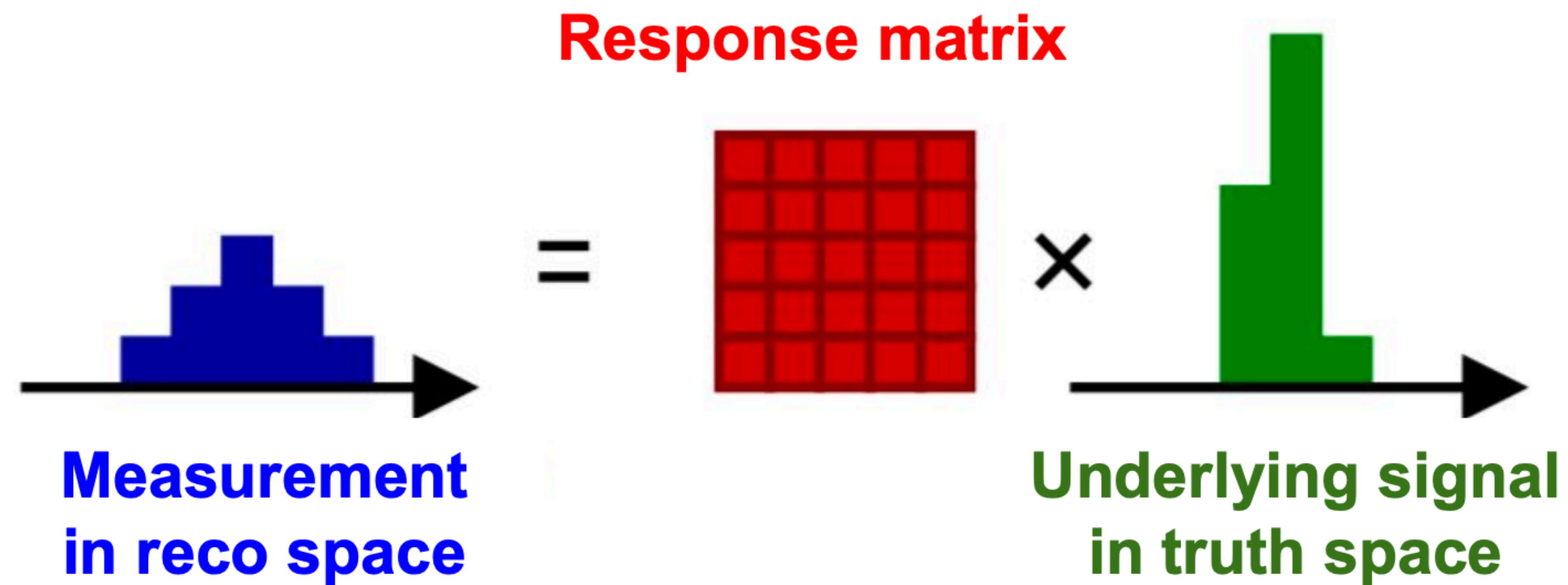
$$\Sigma^{XX,\text{const.}} = \Sigma^{XX} - \Sigma^{XY} \cdot (\Sigma^{YY})^{-1} \cdot \Sigma^{YX}$$

For Illustrative Purposes Only:



Unfolding

$$M_i = \sum_j R_{ij} \cdot S_j + B_i$$



We solve for S by inverting R_{ij}

We use a regularization technique to avoid large fluctuations after inversion

How We Unfold To Nominal Flux Using Data From The Real Flux

Protons on target
Number of targets
Beam flux
Cross section
Detector response
Selection efficiency
Background

$$M(E_{rec}) = POT \cdot T \cdot \int F(E_\nu) \cdot \sigma(E_\nu) \cdot D(E_\nu \rightarrow E_{rec}) \cdot \varepsilon(E_\nu, E_{rec}) \cdot dE_\nu + B(E_{rec})$$

All of these quantities must consider full flux, cross-section, detector, and statistical uncertainties!

Re-writing this same equation to be useful later (adding more terms that cancel each other out):

$$M(E_{rec}) = \underbrace{\frac{POT \cdot T \cdot \int_j F(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot D(E_{\nu j} \rightarrow E_{rec i}) \cdot \varepsilon(E_{\nu j}, E_{rec i}) \cdot dE_{\nu j}}{POT \cdot T \cdot \int_j \bar{F}(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot dE_{\nu j}}}_{\tilde{\Delta}_{ij}} \cdot \underbrace{POT \cdot T \cdot \int_j \bar{F}(E_{\nu j}) \cdot dE_{\nu j}}_{\tilde{F}_j} \cdot \underbrace{\frac{\int_j \bar{F}(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot dE_{\nu j}}{\int_j \bar{F}(E_{\nu j}) \cdot dE_{\nu j}}}_{S_j} + B(E_{rec})$$

$$M(E_{rec})_i = \tilde{\Delta}_{ij} \cdot \tilde{F}_j \cdot S_j + B(E_{rec})_i$$

How We Unfold To Nominal Flux Using Data From The Real Flux

$$M(E_{rec})_i = \tilde{\Delta}_{ij} \cdot \tilde{F}_j \cdot S_j + B(E_{rec})_i$$

$$\tilde{\Delta}_{ij} = \frac{POT \cdot T \cdot \int_j F(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot D(E_{\nu j}, E_{rec i}) \cdot \varepsilon(E_{\nu j}, E_{rec i}) \cdot dE_{\nu j}}{POT \cdot T \cdot \int_j \bar{F}(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot dE_{\nu j}}$$

**Cross-section uncertainty
largely (but not entirely)
cancels**

$$\tilde{F}_j = POT \cdot T \cdot \int_j \bar{F}(E_{\nu j}) \cdot dE_{\nu j}$$

Binned nominal flux

$$S_j = \frac{\int_j \bar{F}(E_{\nu j}) \cdot \sigma(E_{\nu j}) \cdot dE_{\nu j}}{\int_j \bar{F}(E_{\nu j}) \cdot dE_{\nu j}}$$

**Nominal flux-binned cross-
section signal**

**This is what we want to
measure!**