

# A Bird's-Eye View of the MiniBooNE Anomaly

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Short Baseline Neutrino Workshop 2024



# Outline

1. Features of the MB Anomaly
2. Models
3. Methodology: Simulating the Focusing Horns
4. Fits and Phase Space
5. The nucleus
6. The SBN program

*How sensitive are the short baseline experiments to the MiniBooNE anomaly?*

*What is the outlook for BSM physics?*

CCM Collaboration, [[2309.02599](#)], to appear in *PRD*

Dutta, Kim, Thornton, Thompson, Van de Water  
*PRL* 129 (2022) 11, 111803 [[2110.11944](#)]

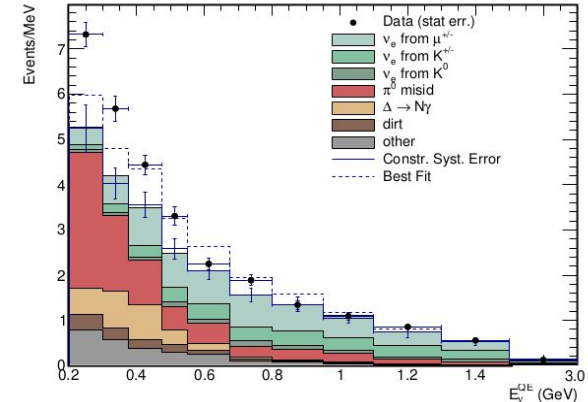
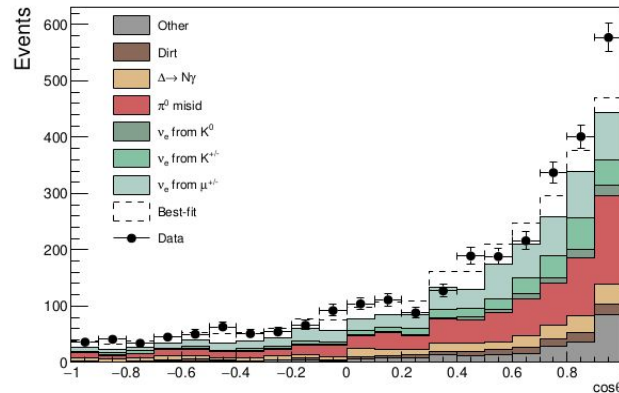
# The MiniBooNE Excess

- MiniBooNE, 2021 [2006.16883]
- MiniBooNE, 2019 [1807.06137]
- MiniBooNE, 2018 [1805.12028]

Two main features of the excess:

1. Excess in the target-mode runs, no observed excess in the dump-mode run
2. Excess shows distinct angular and energy spectra

		Excess	POT	Charged Mesons Focused?
Target Mode	<i>Neutrino Mode</i>	560.6±119.6	1.875E+21	$\pi^+, K^+$
	<i>Anti-neutrino Mode</i>	77.4±28.5	1.127E+21	$\pi^-, K^-$
Dump Mode		None	1.86E+20	Isotropic



# Big Picture: Lots of Models

- Sterile Neutrinos: short baseline oscillations
  - With matter effects
  - With decays
- Flavor violation
- HNLs
  - Decays
  - Upscattering
- Dark sector
  - DM Fermion upscattering
  - LLP Primakoff

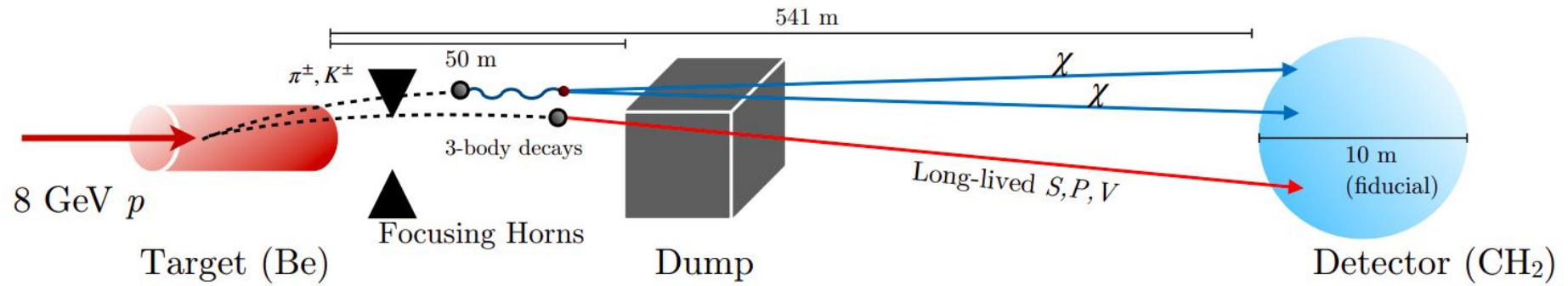
**$1\gamma$ , collinear  $2\gamma$ , and collinear  $e^+e^-$  final state topologies**

White Paper on Light Sterile Neutrino Searches and Related Phenomenology [2203.07323]

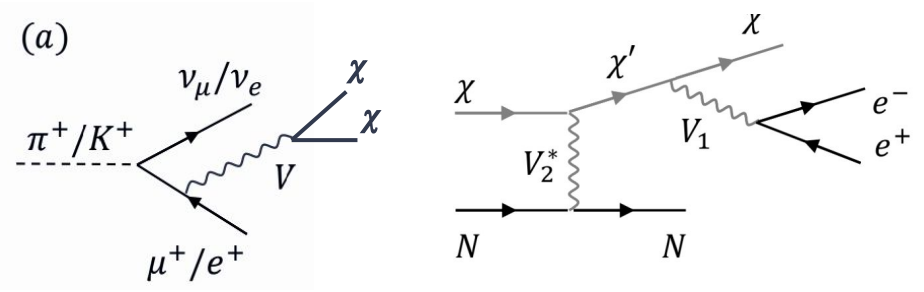
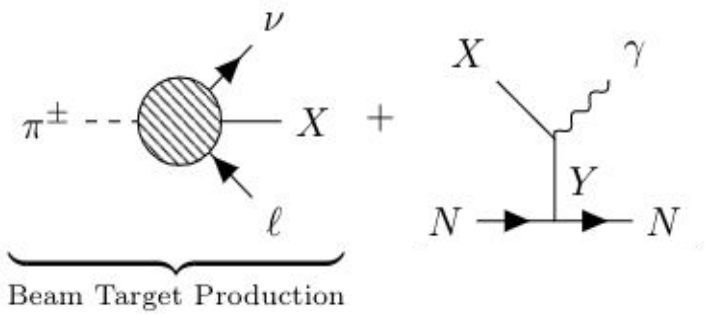
Category	Model	Signature	Anomalies				References
			LSND	MiniBooNE	Reactors	Sources	
Flavor transitions Secs. 3.1.1-3.1.3, 3.1.5	(3+1) oscillations	oscillations	✓	✓	✓	✓	Reviews and global fits [95, 105, 107, 108]
	(3+1) w/ invisible sterile decay	oscillations w/ $\nu_4$ invisible decay	✓	✓	✓	✓	[153, 157]
	(3+1) w/ sterile decay	$\nu_4 \rightarrow \phi \nu_e$	✓	✓	✓	✓	[161–164, 272]
Matter effects Secs. 3.1.4, 3.1.7	(3+1) w/ anomalous matter effects	$\nu_\mu \rightarrow \nu_e$ via matter effects	✓	✓	✗	✗	[145, 149, 273–275]
	(3+1) w/ quasi-sterile neutrinos	$\nu_\mu \rightarrow \nu_e$ w/ resonant $\nu_s$ matter effects	✓	✓	✓	✓	[150]
Flavor violation Sec. 3.1.6	Lepton-flavor-violating $\mu$ decays	$\mu^+ \rightarrow e^+ \nu_\alpha \bar{\nu}_e$	✓	✗	✗	✗	[176, 177, 276]
	neutrino-flavor-changing bremsstrahlung	$\nu_\mu A \rightarrow e \phi A$	✓	✓	✗	✗	[277]
Decays in flight Sec. 3.2.3	Transition magnetic mom., heavy $\nu$ decay	$N \rightarrow \nu \gamma$	✗	✓	✗	✗	[208]
	Dark sector heavy neutrino decay	$N \rightarrow \nu(X \rightarrow e^+e^-)$ or $N \rightarrow \nu(X \rightarrow \gamma\gamma)$	✗	✓	✗	✗	[209]
Neutrino Scattering Secs. 3.2.1, 3.2.2	neutrino-induced upscattering	$\nu A \rightarrow NA$ , $N \rightarrow \nu e^+e^-$ or $N \rightarrow \nu \gamma \gamma$	✓	✓	✗	✗	[206, 207, 210–217]
	Transition magnetic mom. or polarizability photons	$\nu A \rightarrow NA$ , $N \rightarrow \nu \gamma$ or $\nu A \rightarrow \nu \gamma A$	✓	✓	✗	✗	[40, 187, 189, 190, 192, 194, 221, 235, 278]
Dark Matter Scattering Sec. 3.2.4	dark particle-induced upscattering	$\gamma$ or $e^+e^-$	✗	✓	✗	✗	[218]
	dark particle-induced inverse Primakoff	$\gamma$	✓	✓	✗	✗	[218]

# Dark Sector Particles and Long-lived Particles (LLPs)

## From the three-body decays of the charged pions



# Generic Model Setup: some examples



$$\mathcal{L}_S \supset g_\mu \phi \bar{\mu} \mu + g_n Z'_\alpha \bar{u} \gamma^\alpha u + \frac{\lambda}{4} \phi F'_{\mu\nu} F^{\mu\nu} + \text{h.c.},$$

$$\mathcal{L}_P \supset ig_\mu a \bar{\mu} \gamma^5 \mu + g_n Z'_\alpha \bar{u} \gamma^\alpha u + \frac{\lambda}{4} a F'_{\mu\nu} \tilde{F}^{\mu\nu} + \text{h.c.}$$

$$\mathcal{L}_V \supset e(\epsilon_1 V_{1,\mu} + \epsilon_2 V_{2,\mu}) J_{EM}^\mu$$

$$+ (g_1 V_{1,\mu} + g_2 V_{2,\mu}) J_D^\mu + (g'_1 V_{1,\mu} + g'_2 V_{2,\mu}) J_D'^\mu$$

(1) Long-lived particle (LLP) boson X, Primakoff scattering in the detector

(2) Promptly decaying boson tto DM pairs, DM scattering in the detector

# Specific Example: Single Vector Mediator Coupled to Pions

$$\mathcal{L} \supset \sum_q g_q V_\mu \bar{q} \gamma^\mu q$$

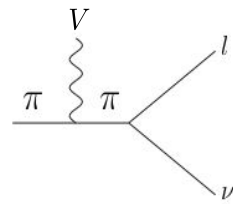
$$\mathcal{L}_{hp}^{\chi PT} \supset \frac{f_\pi^2}{4} \text{Tr} \left[ (\partial_\mu \mathbf{U} - iV_\mu \{\mathbf{g}_X, \mathbf{U}\}) (\partial^\mu \mathbf{U} + iV^\mu \{\mathbf{g}_X, \mathbf{U}\}) \right] \quad (2)$$

where the octet of meson states are contained in the Goldstone field  $\Phi$  in the 3-flavor quark basis,

$$\mathbf{U} = e^{i\sqrt{2}\Phi/f_\pi}, \quad \Phi = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\frac{2\eta_8}{\sqrt{6}} \end{pmatrix}. \quad (3)$$

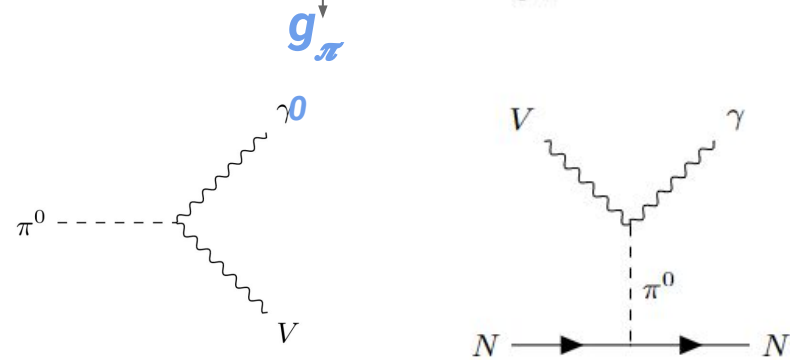
Further, for simplicity we select only up- and down-type quark couplings in the coupling matrix  $\mathbf{g}_X$ ;

$$\mathbf{g}_X \equiv \begin{pmatrix} g_u & 0 & 0 \\ 0 & g_d & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (4)$$



$$\mathcal{L}_{hp}^{\chi PT} \supset i(g_u - g_d) V_\mu \pi^+ (\partial^\mu \pi^-)$$

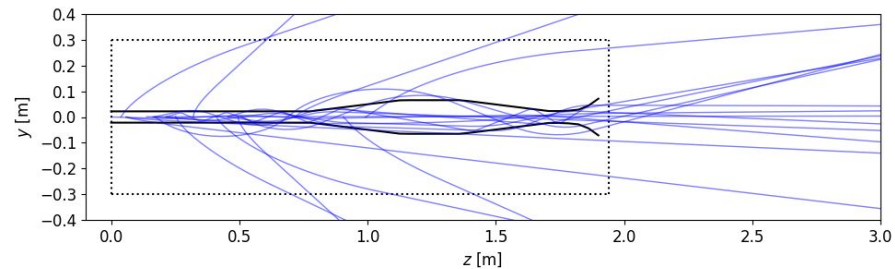
$$\mathcal{L}_{hp}^{\chi PT} \supset (2g_u + g_d) \frac{e}{16\pi f_\pi} \pi^0 F_{\mu\nu} \tilde{H}^{\mu\nu}$$



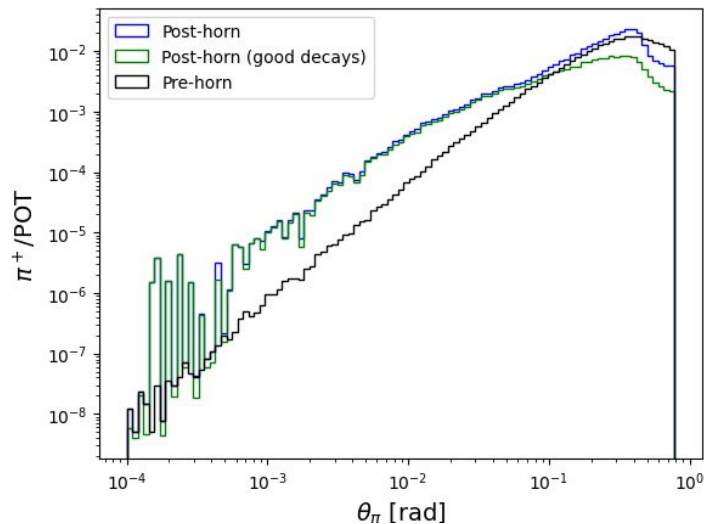
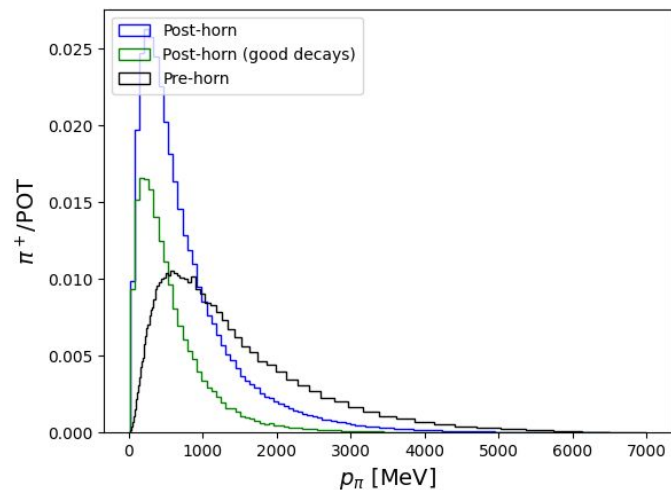
At the meson level, we get a scattering mediated by the  $\pi^0$ - $N$ - $N$  interaction for free - this is incoherent but elastic in the low energy limit

...more discussion on inelasticity later

# Vignette: RKHorn Simulation

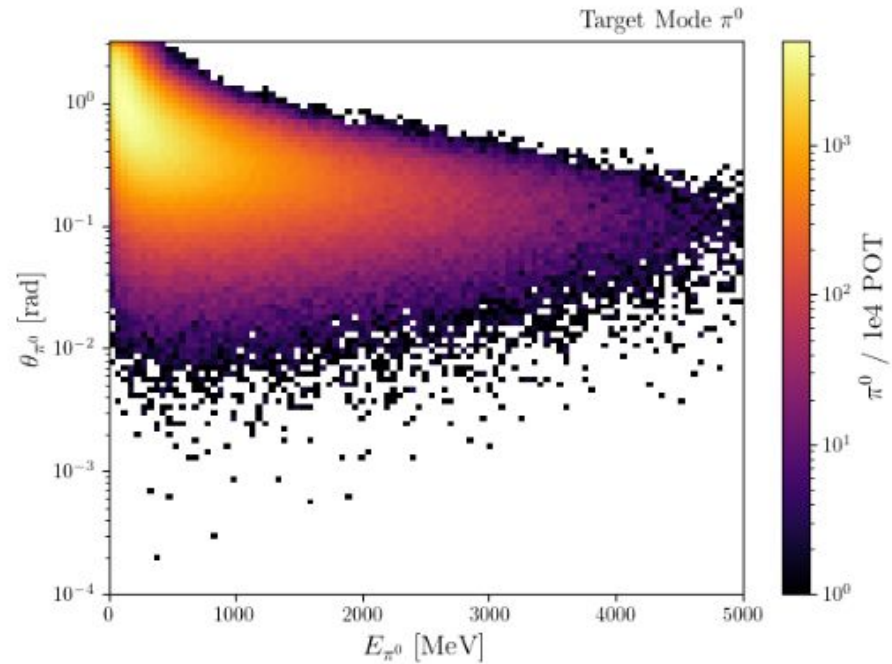
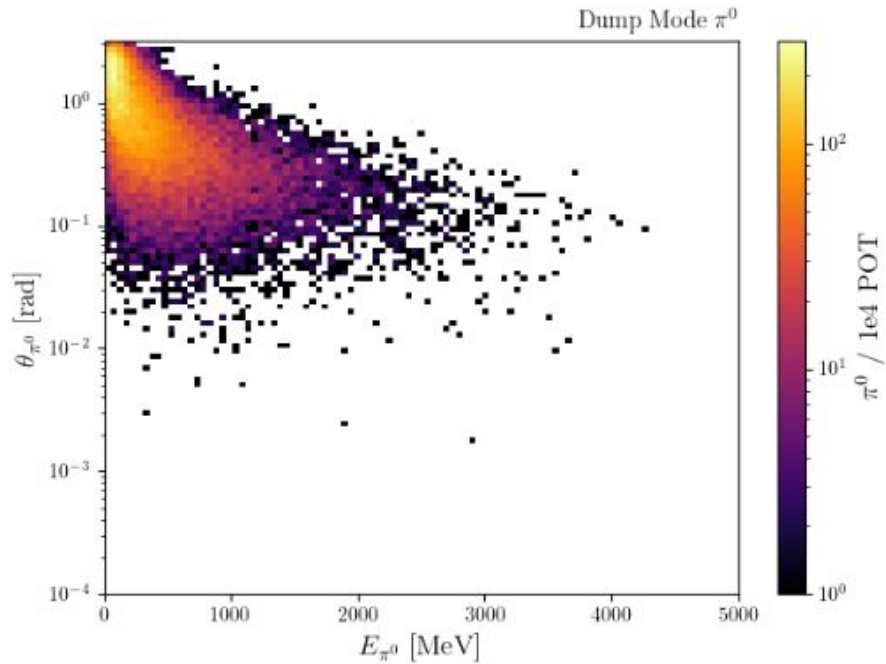


1. Simulate the proton beam spot
  2. Simulate  $\pi^{\pm}$  production in the target
  3. Propagate pions out of the target and through the toroidal magnetic field of the horn system via Runge-Kutta
- Based on modelB routine used for MiniBooNE
  - Work in progress; more sophistication and improvements planned: [github](#)

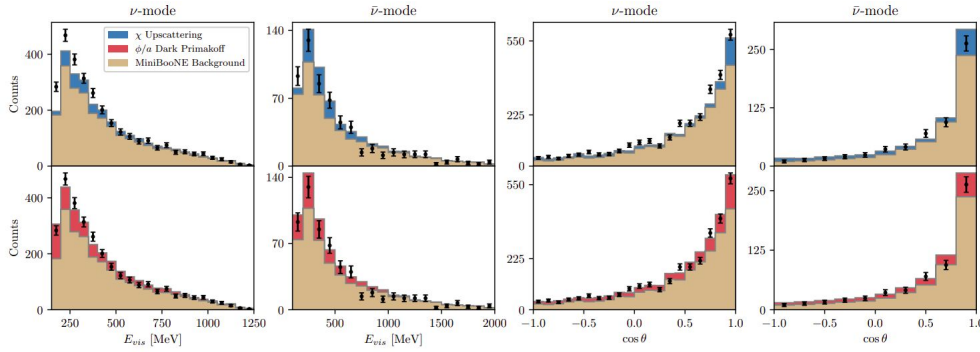




# Dump vs. Target Mode Pion Fluxes

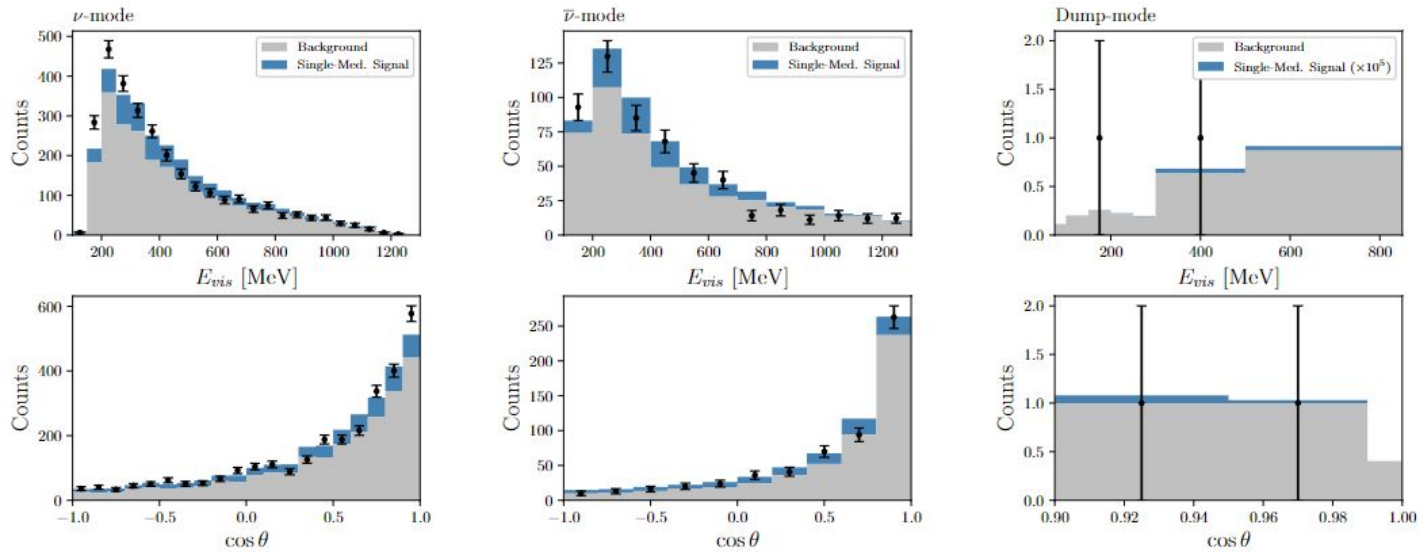


# Result: Fits to the target mode distributions at MiniBooNE



Dutta, Kim, Thornton, Thompson, Van de Water *PRL* 129 (2022) 11, 111803 [[2110.11944](https://arxiv.org/abs/2110.11944)]

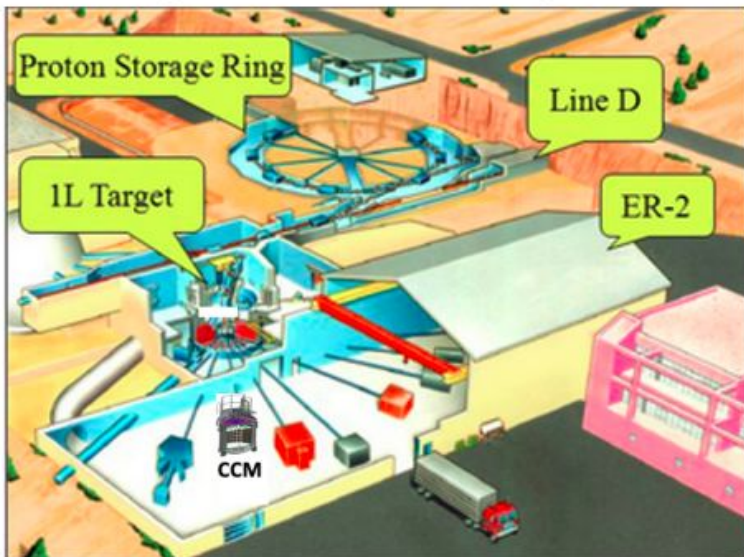
We fit the resulting photoconversion rate to the combined  $E_{vis}$  and cosine distributions in both target and dump mode with a binned log-likelihood



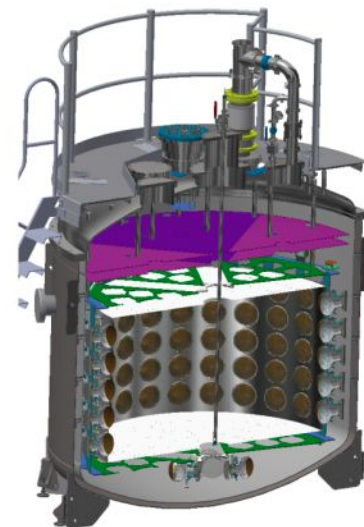
CCM Collaboration, [[2309.02599](https://arxiv.org/abs/2309.02599)], to appear in *PRD*

# Coherent Captain Mills (CCM)

800 MeV protons, 100kW, 290 nsec pulsed beam



- CCM
- 90 degrees off-axis
- 23m target-to-detector
- 800 MeV  $p$  beam on W target
- Collected  $1.79e21$  POT in six week engineering run with the **CCM120** detector (120 PMTs)
- **CCM200** is online and taking data



Charged and neutral pions both unfocused and more isotropic at these lower energies  
→ offers a complementarity to the BNB source and an independent test of  $g_{\pi 0}$

# Complementarity at $o(1 \text{ GeV})$ Proton Targets: CCM, LSND, KARMEN

- KARMEN:

- 110 degrees off-axis
- 17.5m target-to-detector
- 800 MeV  $p$  beam
- $4.6e22$  POT

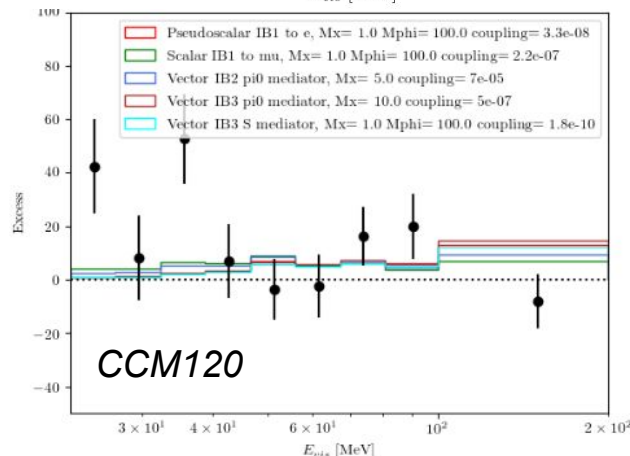
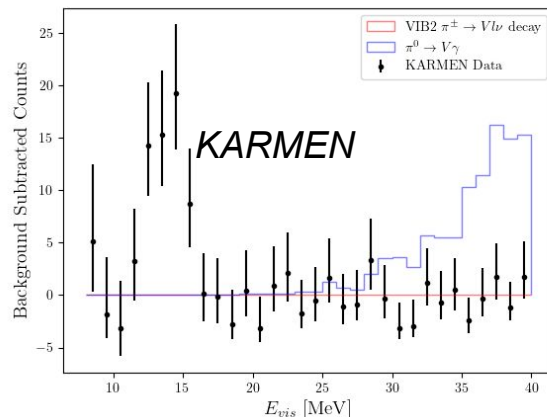
- LSND

- 12 degrees off-axis
- 30m target-to-detector
- 800 MeV  $p$  beam
- Prompt and delayed searches (null results only)

Analysis	$E_{vis}$ Range	$\cos \theta$ Range
DAR	[18, 35] MeV	$-1 \leq \cos \theta \leq 1$
DIF	[60, 200] MeV	$\cos \theta < 0.8$

- CCM

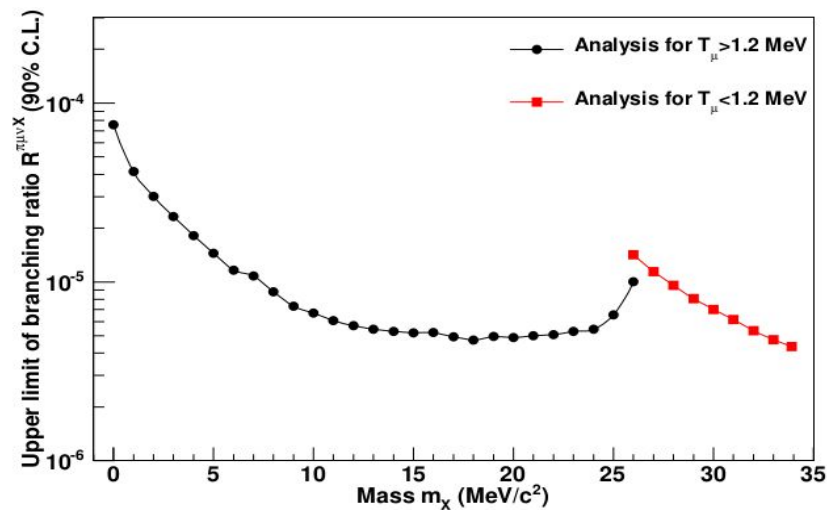
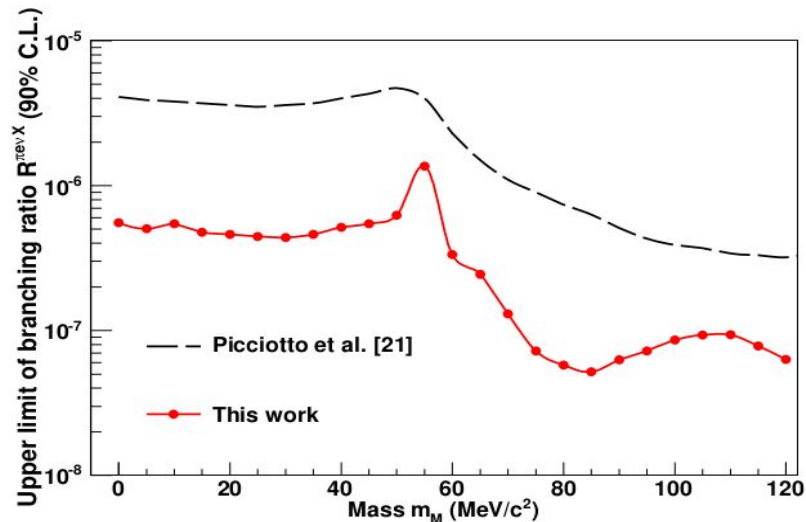
- 90 degrees off-axis
- 23m target-to-detector
- 800 MeV  $p$  beam
- Collected  $1.79e21$  POT in six week engineering run: **CCM120**



CCM Collaboration, [[2309.02599](https://arxiv.org/abs/2309.02599)], to appear in *PRD*

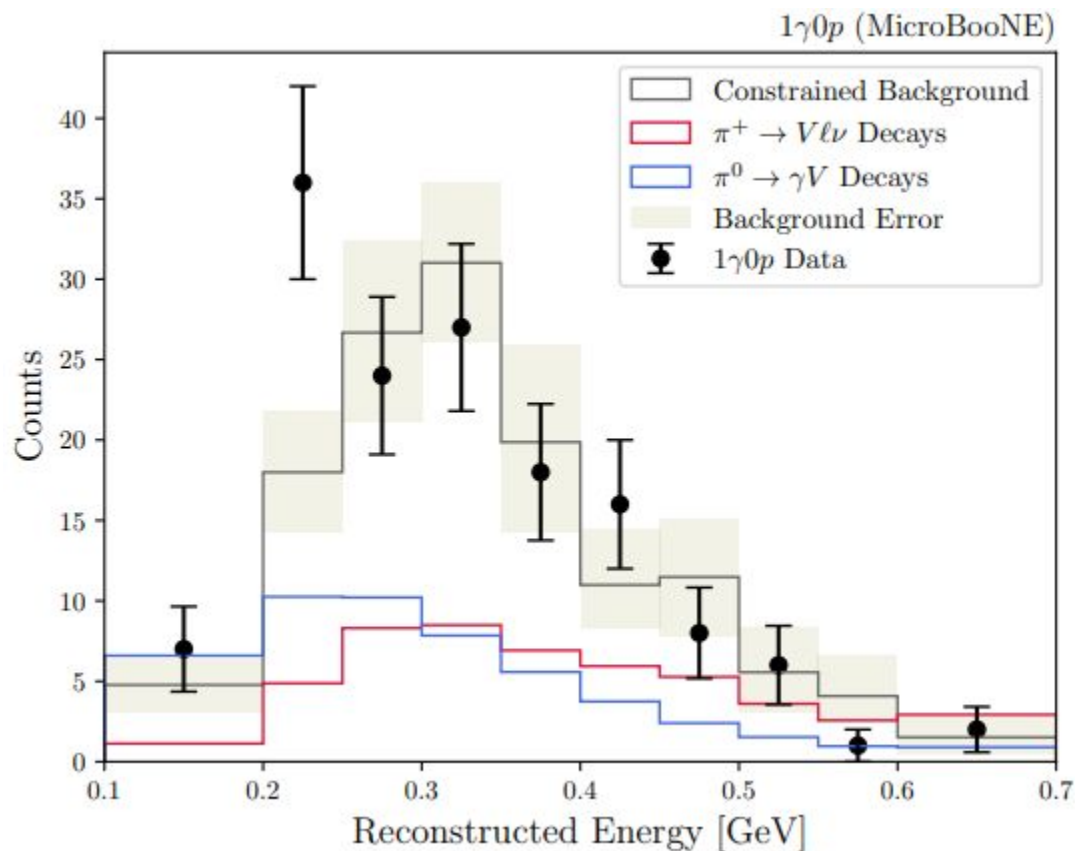
# Other Constraints: Rare Pion Decays at PIENU

PRD 103, 052006, [[2101.07381](#)] PIENU Collaboration



→ Constrains the total branching fraction for our charged pion decay production mechanism

# MicroBooNE: $1\gamma 0p$ analysis from the delta resonance search



We also take the existing data from the  $1\gamma 0p$  search

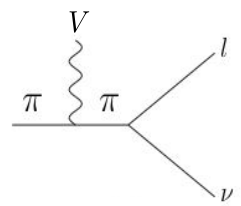
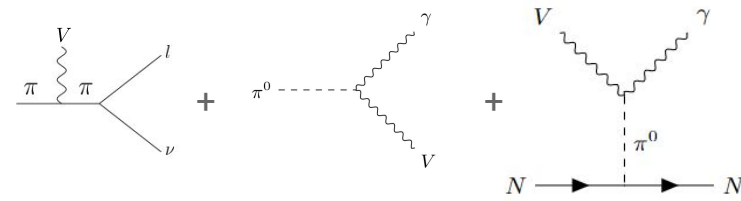
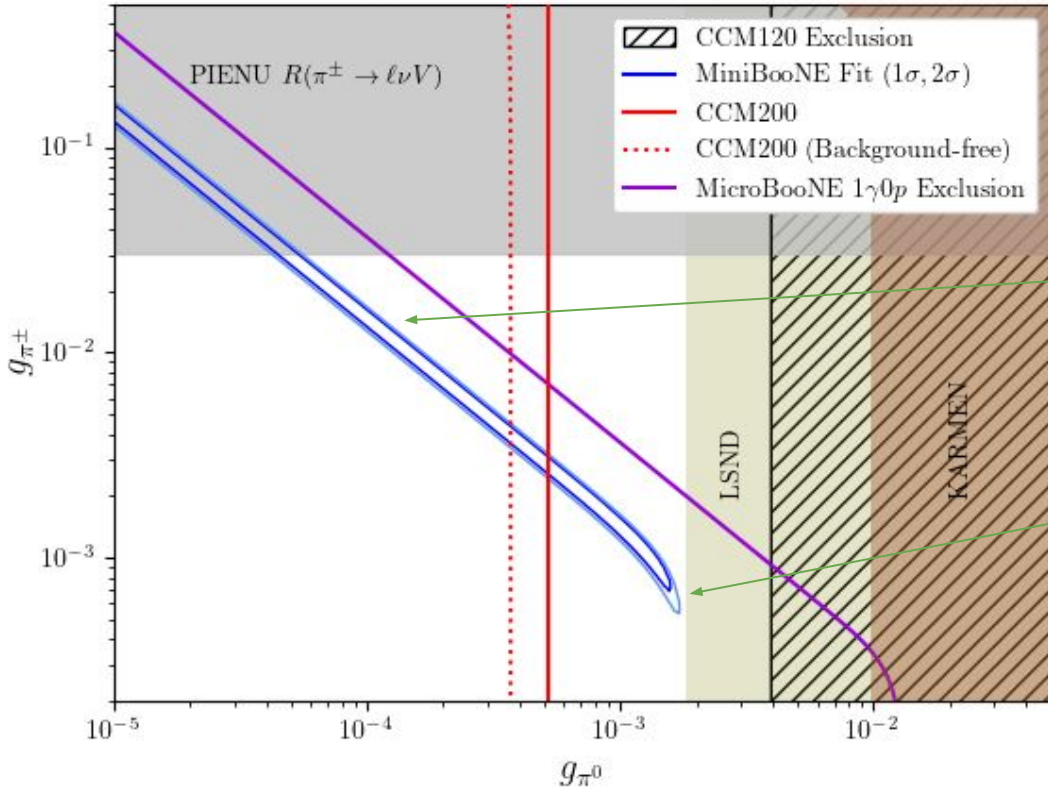
(*MicroBooNE collaboration*, [\[2110.00409\]](#) *PRL* **128**, 111801)

We obtain a conservative limit from a binned log-likelihood on the visible energy data

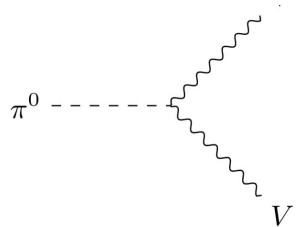


# Single Vector LLP Coupling to Pions: $m_V=10$ MeV Fits and Projections

Vector IB2 ( $m_V = 10$  MeV),  $\pi^0$ -mediated scattering



Charged pion production limit

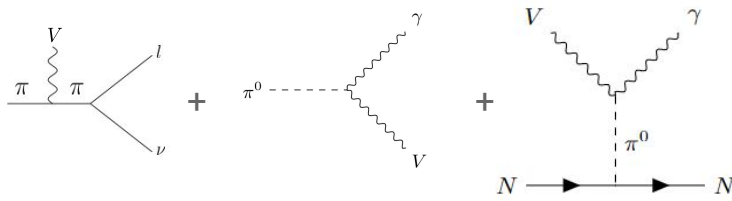
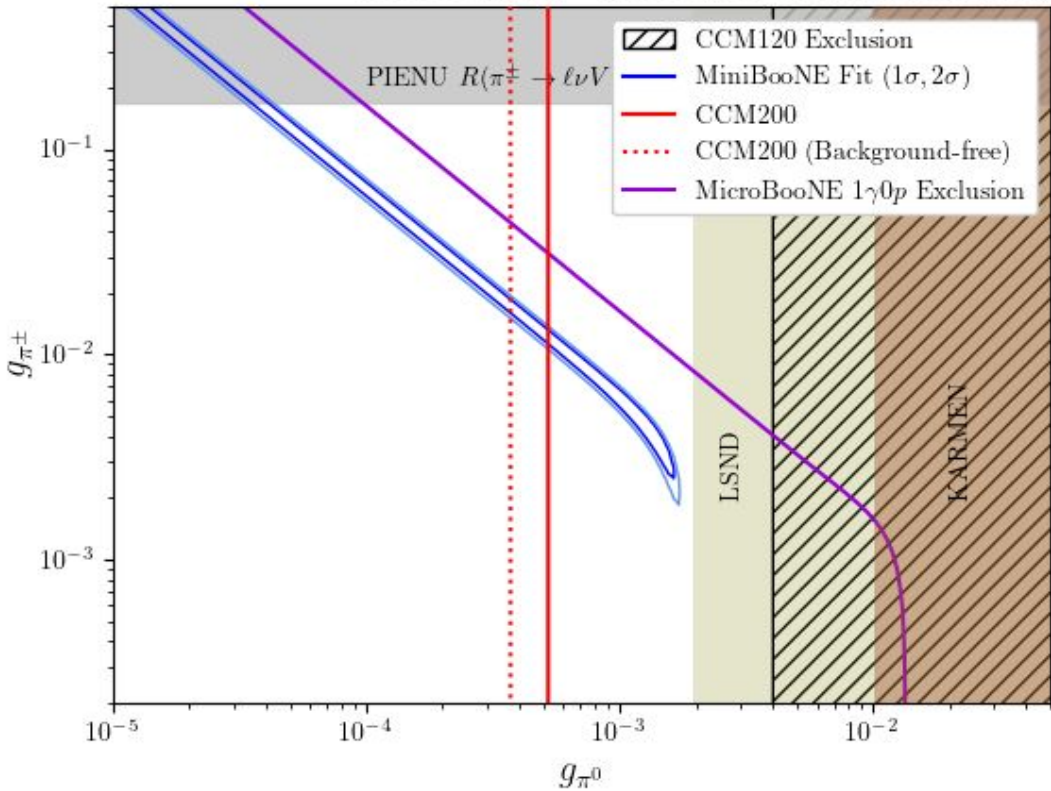


Neutral pion production limit

$$\mathcal{L}_{int} \supset ig_{\pi^\pm} V_\mu \pi^\pm (\partial^\mu \pi^\mp) + g_{\pi^0} \frac{e}{16\pi f_\pi} \pi^0 F_{\mu\nu} \tilde{H}^{\mu\nu} - ig_{\pi NN} \pi^0 \bar{N} \gamma^5 \tau_3 N$$

# Single Vector LLP Coupling to Pions: $m_V=20$ MeV Fits and Projections

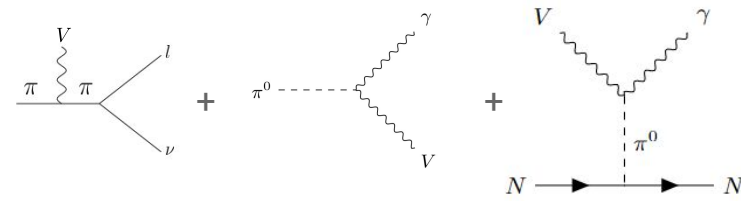
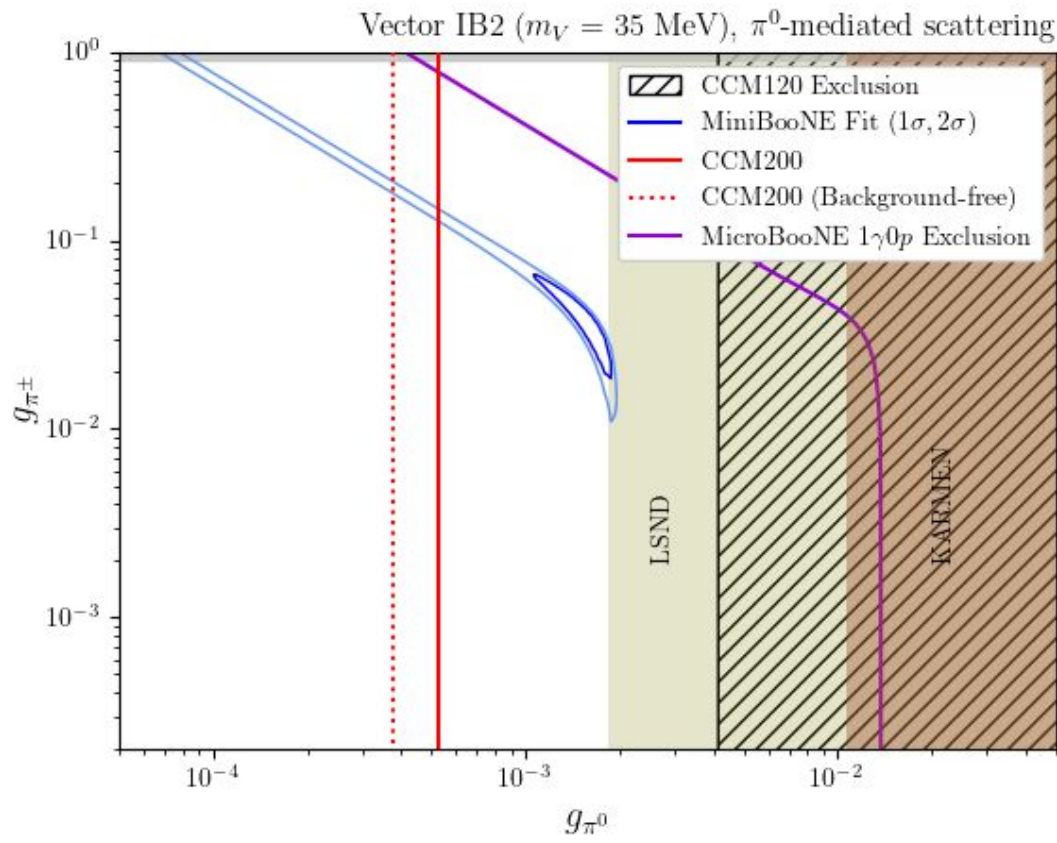
Vector IB2 ( $m_V = 20$  MeV),  $\pi^0$ -mediated scattering



- Moving to higher mass LLP vector bosons, the branching ratio for charged pion production drops
  - PIENU constraint becomes weaker on the coupling

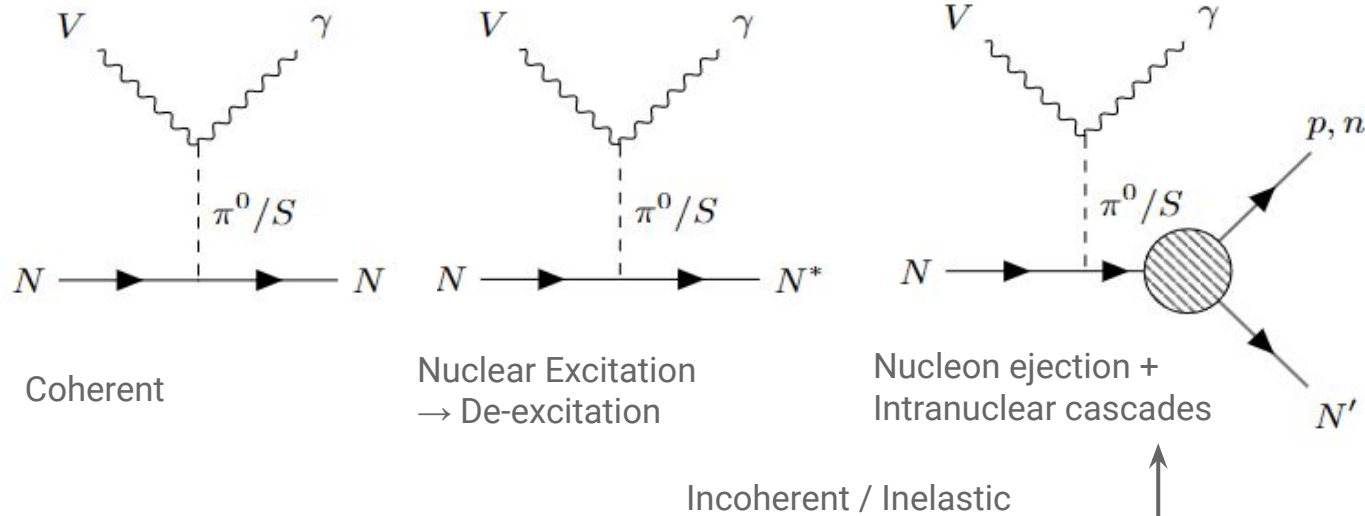


# Single Vector LLP Coupling to Pions: $m_V = 35$ MeV Fits and Projections



- Moving to higher mass LLP vector bosons, the branching ratio for charged pion production drops
  - PIENU constraint becomes weaker on the coupling
- Moving beyond 35 MeV in the vector mass, we see that the preferred region for MB at 1sigma favours a combination of neutral and charged components
  - The softer spectra from neutral pion decays balances the harder spectra from the 3-body decays at high mass
  - Dump mode also constrains arbitrarily large neutral pion coupling

# Achilles Integration: Getting the full picture of Coherent + Incoherent Scattering Topologies



$$1\gamma 0p + 1\gamma 1p + 1\gamma 1n$$

**Final state topologies!**

**ACHILLES: A novel event generator for electron- and neutrino-nucleus scattering**

Joshua Isaacson<sup>a</sup>, William I. Jay<sup>d</sup>, Alessandro Lovato<sup>b,c</sup>, Pedro A. N. Machado<sup>a</sup>, Noemi Rocco<sup>a</sup>,  
<sup>a</sup>Theoretical Physics Department, Fermi National Accelerator Laboratory, P.O. Box 500, Batavia, IL 60510, USA

<sup>b</sup>Physics Division, Argonne National Laboratory, Argonne, Illinois 60439, USA

<sup>c</sup>INFN-TIFPA Trento Institute of Fundamental Physics and Applications, Via Sommarive, 14, 38123 Trento, Italy

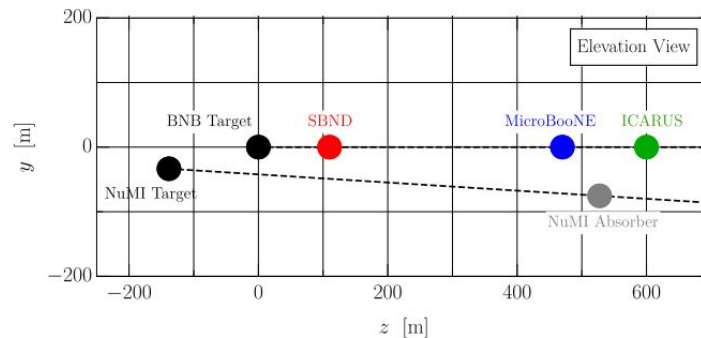
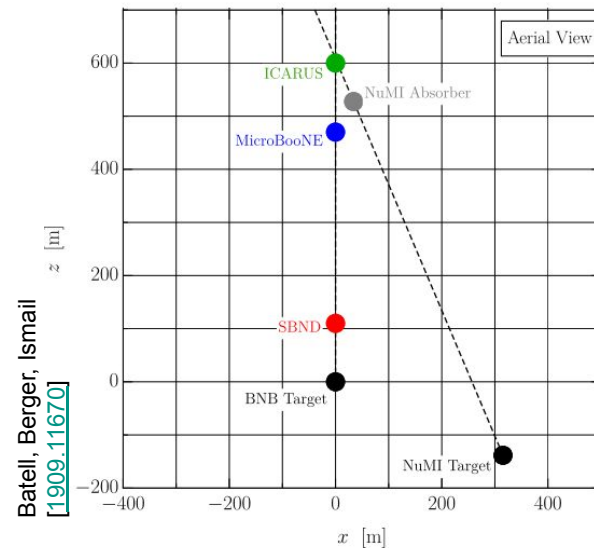
<sup>d</sup>Center for Theoretical Physics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA

How does this picture look  
for the SBN program?

# The Short Baseline Program: SBND, MicroBooNE & ICARUS

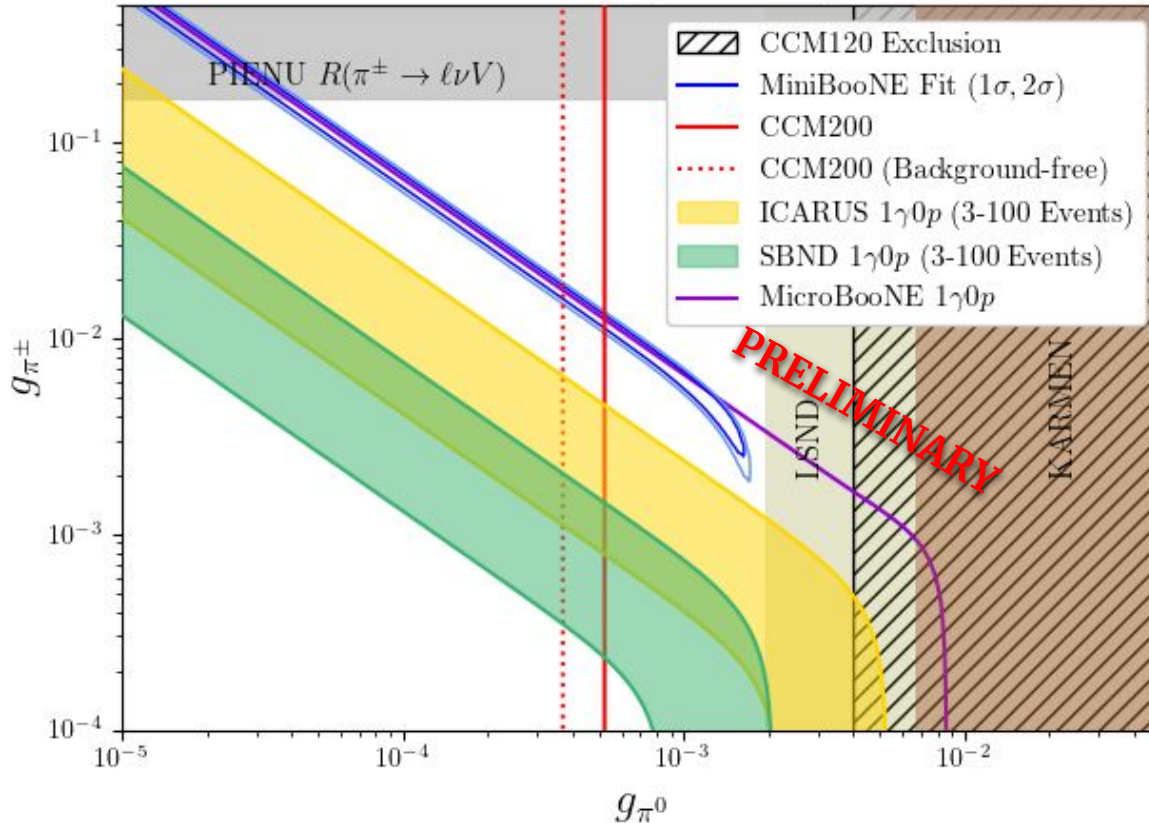
## Forecasts:

Experiment	Distance (m)	Fiducial Volume (m <sup>3</sup> )	Energy Threshold	POT
SBND	110	4m x 4m x 5m long	100 MeV	6.6e20
MicroBooNE	470	2.3m x 2.6m x 10.4 m long	100 MeV	13.2e20
ICARUS	600	2 x (3.0m x 3.16m x 17.95 m long)	100 MeV	6.6e20



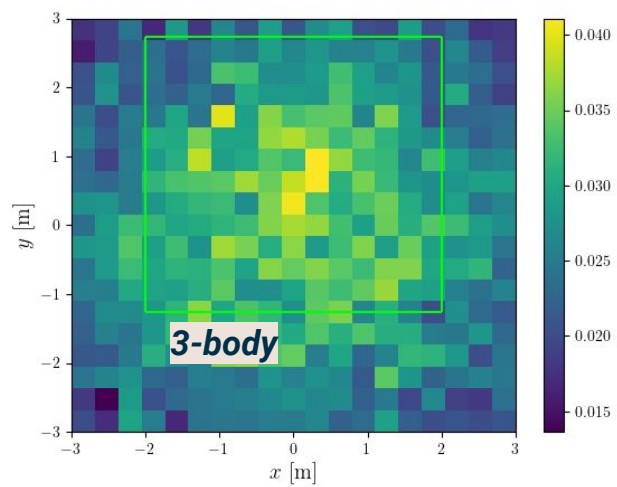
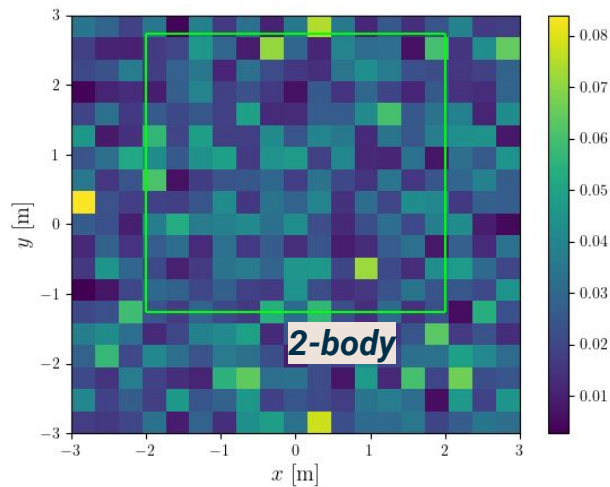
# Projections for Sensitivity to the MiniBooNE Excess: SBND, MicroBooNE, ICARUS

Vector IB2 ( $m_V = 20$  MeV),  $\pi^0$ -mediated scattering

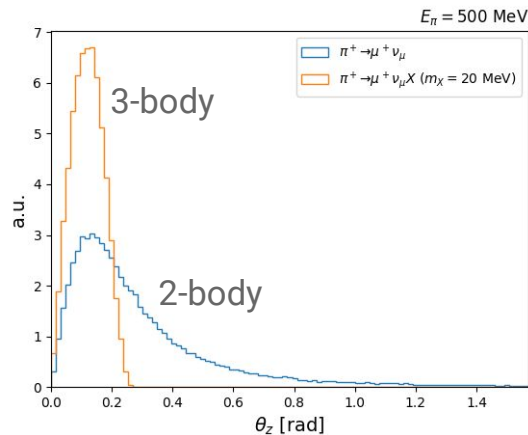


- We now forecast future sensitivity reaches for
- MicroBooNE
  - assuming  $\sim 2x$  POT and  $3x$  efficiency w.r.t. the delta resonance search, scaling backgrounds
- SBND and ICARUS for 50% signal efficiency and a range of critical event rates (3-100) which may depend on backgrounds
- In each case, we see that the SBN program is sensitive to MB excess!

# The spatial distribution at the detector face: [X,Y]



- Variations in the angular distribution of the signal flux vs. that of the neutrino signal
- may be visible in the x,y distributions at the detector



The different kinematics of 2-body and 3-body pion decays may be differentiable...

... depending on the mass, decay model

**PRELIMINARY**

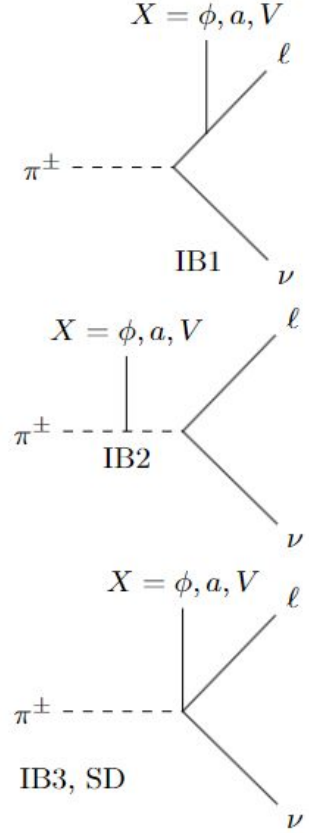
# Conclusions

- We explored a **large variety of LLP models** as solutions to the MB excess
- We find a **valuable complementarity between stopped-pion / 1 GeV-scale proton target experiments and the higher energy beam dumps / SBN program**
  - BSM signal may be connected to both the neutral and charged pions
- Better modeling of scattering in generic MB-anomaly-solving models (e.g. photoconversion and upscattering) is needed to model the  $1\gamma Np$  or inelastic + intranuclear cascade channels → **Achilles**
- Ultimately the SBN program with MicroBooNE, SBND, and ICARUS will be sensitive to the MB anomaly

# Backup

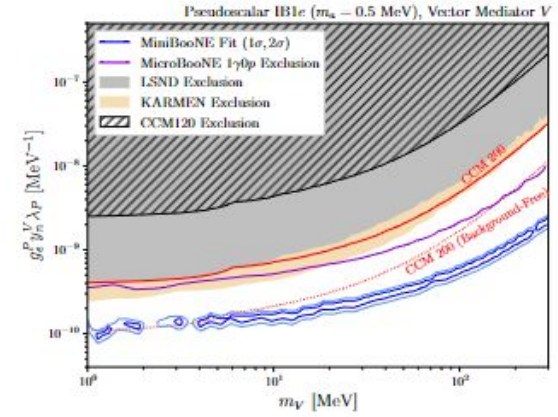
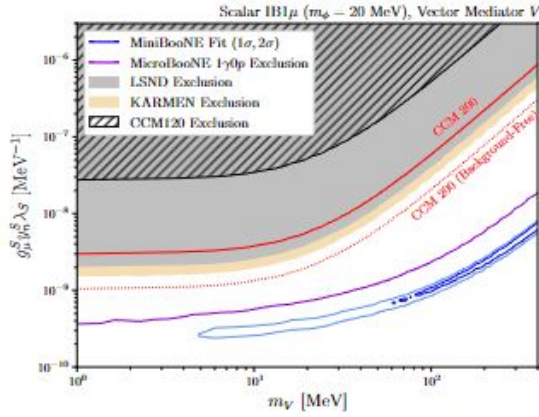


# More Models!

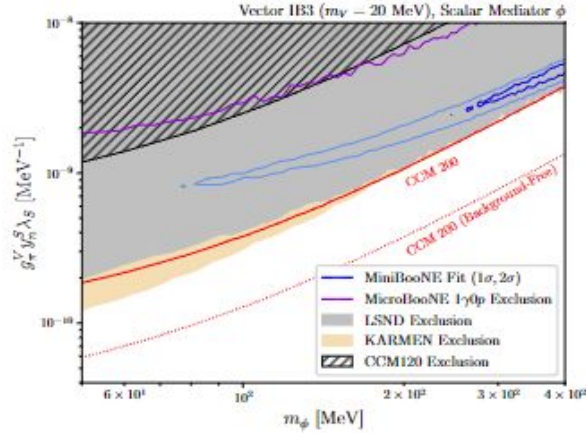


$$\mathcal{L}_{int} \supset g_\mu^S \phi \bar{\mu} \mu + y_n^V V_\mu \bar{N} \gamma^\mu N - \frac{\lambda_S}{4} \phi F_{\mu\nu} H^{\mu\nu} + \text{h.c.}$$

$$\mathcal{L}_{int} \supset -ig_e^P a \bar{\mu} \gamma^5 \mu + y_n^V V_\mu \bar{N} \gamma^\mu N - \frac{\lambda_P}{4} a F_{\mu\nu} \tilde{H}^{\mu\nu}$$



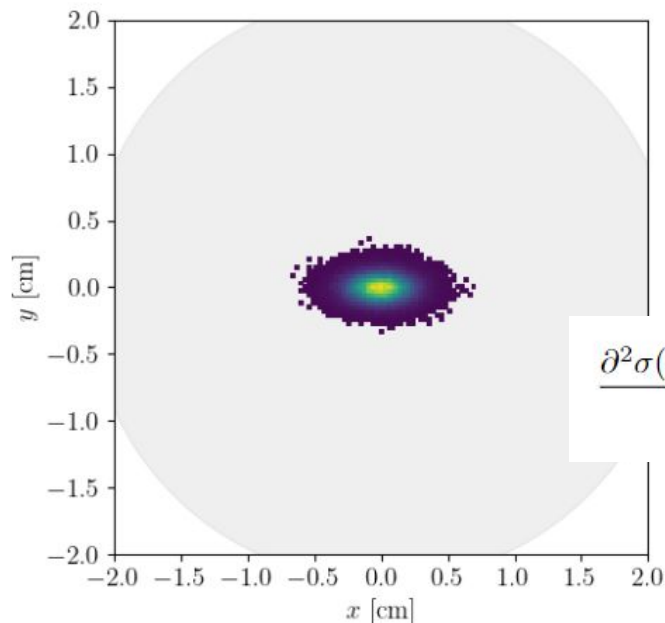
CCM Collaboration, [2309.02599]



$$\mathcal{L}_{int} \supset y_n^S \phi \bar{N} N - \frac{\lambda_S}{4} a F_{\mu\nu} \tilde{H}^{\mu\nu} - ig_\pi^V \pi^+ \bar{\mu} \gamma^\rho (1 - \gamma^5) \nu V_\rho + \text{h.c.}$$

# Modeling the BNB Beam spot

Beam spot parameters: see D. W. Schmitz thesis



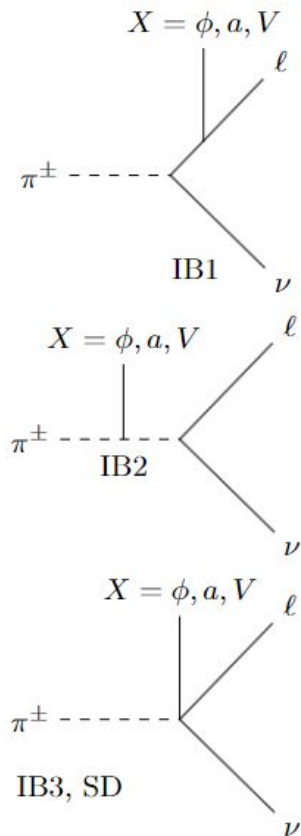
Type	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$\pi^+$	220.7	1.080	1.0	1.978	1.32	5.572	0.0868	9.686	1.0
$\pi^-$	213.7	0.9379	5.454	1.210	1.284	4.781	0.07338	8.329	1.0

$$\frac{\partial^2 \sigma(p + \text{Be} \rightarrow \pi^\pm + X)}{\partial p \partial \Omega} = c_1 p^{c_2} \left( 1 - \frac{p}{p_B - c_9} \right) \exp \left( -c_3 \frac{p^{c_4}}{p_B^{c_5}} - c_6 \theta (p - c_7 p_B (\cos \theta)^{c_8}) \right)$$

Sanford-Wang parameterization of the pion production XS:  
see e.g. *MiniBooNE collaboration* [[0806.1449](https://arxiv.org/abs/0806.1449)]

# General Pion Decays

See Khodjamirian, Wyler, 2001



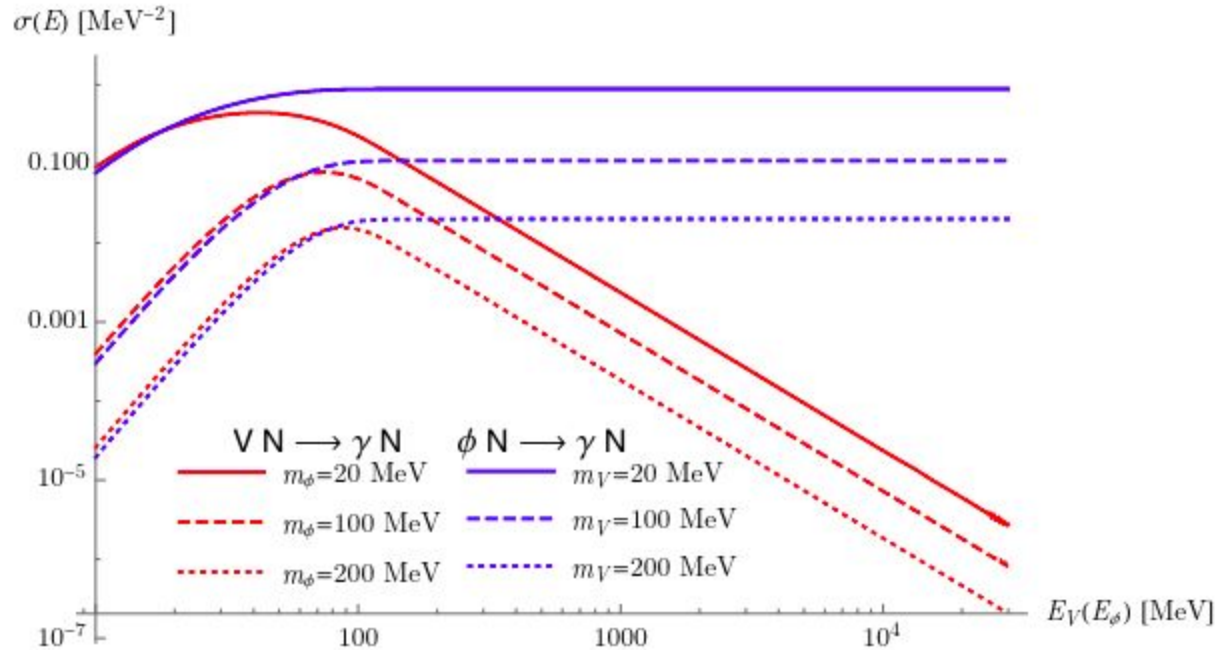
$$\mathcal{M} = i \frac{G_F}{\sqrt{2}} \varepsilon^\mu [\bar{u}_\ell \gamma^\rho (1 - \gamma^5) v_\nu] T_{\mu\rho}$$

$$T_{\mu\rho} = i \int d^4x e^{ikx} \langle 0 | T [j_\mu^V(x) j_\rho^+(0)] | \pi^+(p) \rangle$$

$$T_{\mu\rho} = \tilde{a}_0 g_{\mu\rho} + \tilde{b}_0 L_\mu k_\rho + \tilde{b}_1 L_\rho k_\mu + \tilde{b}_2 L_\mu L_\rho + \tilde{b}_3 k_\mu k_\rho + \epsilon_{\rho\mu\lambda\sigma} L^\lambda k^\sigma F_V$$

- $L$  is total lepton momentum
- $k$  is the massive vector momentum

# Cross Sections: Photoconversion for a variety of Lorentz Representations



# Branching Fractions: Rare 3-body decays

